



YEARLY STATUS REPORT - 2022-2023

Part A

Data of the Institution

1.Name of the Institution

**SCHOOL OF MANAGEMENT SCIENCES
LUCKNOW**

- Name of the Head of the institution **DR. ASHISH BHATNAGAR**
- Designation **DIRECTOR**
- Does the institution function from its own campus? **Yes**
- Phone no./Alternate phone no. **05224341777**
- Mobile no **9919777701**
- Registered e-mail **registrar@smslucknow.com**
- Alternate e-mail **director@smslucknow.ac.in**
- Address **19TH KM STONE, SULTANPUR ROAD,
GOSAINGANJ, LUCKNOW**
- City/Town **LUCKNOW**
- State/UT **Uttar Pradesh**
- Pin Code **226501**

2.Institutional status

- Affiliated /Constituent **Affiliated College**
- Type of Institution **Co-education**
- Location **Semi-Urban**

- Financial Status **Self-financing**
- Name of the Affiliating University **Dr. A.P.J. Abdul Kalam Technical University & University of Lucknow**
- Name of the IQAC Coordinator **ASAD KAREEM USMANI**
- Phone No. **05224341777**
- Alternate phone No. **9792633331**
- Mobile **9919876678**
- IQAC e-mail address **iqac@smslucknow.com**
- Alternate Email address **asadkareemusmani@smslucknow.ac.in**

3. Website address (Web link of the AQAR (Previous Academic Year))

<https://www.smslucknow.ac.in/uploads/topics/16977808547925.pdf>

4. Whether Academic Calendar prepared during the year?

Yes

- if yes, whether it is uploaded in the Institutional website Web link:

<https://www.smslucknow.ac.in/uploads/topics/16894039777486.pdf>

5. Accreditation Details

Cycle	Grade	CGPA	Year of Accreditation	Validity from	Validity to
Cycle 1	B++	2.8	2017	30/10/2017	30/10/2022
Cycle 2	A+	3.45	2023	02/08/2023	01/08/2028

6. Date of Establishment of IQAC

29/09/2013

7. Provide the list of funds by Central / State Government

UGC/CSIR/DBT/ICMR/TEQIP/World Bank/CPE of UGC etc.,

Institutional/Department /Faculty	Scheme	Funding Agency	Year of award with duration	Amount
NA	NA	NA	NA	0

8. Whether composition of IQAC as per latest NAAC guidelines

Yes

- Upload latest notification of formation of IQAC [View File](#)

9.No. of IQAC meetings held during the year 4

- Were the minutes of IQAC meeting(s) and compliance to the decisions have been uploaded on the institutional website? **Yes**

- If No, please upload the minutes of the meeting(s) and Action Taken Report No File Uploaded

10.Whether IQAC received funding from any of the funding agency to support its activities during the year? **No**

- If yes, mention the amount

11.Significant contributions made by IQAC during the current year (maximum five bullets)

1. On the recommendation of IQAC conduct of Value added/Add-on courses are being introduced in all programs. Internal and external sources are being utilised for the purpose.
2. Principals' Conclave 2023 was organised at the SMS campus on "National Education Policy - Challenges in Implementation" on 4th of February 2023
3. National Conference on 'SDG9: Industry, Innovation and Infrastructure' organised on 24th and 26th February, 2023
4. National Seminar on Sources of Planet Energy, Environmental & Disaster Science: Environmental & Disaster Science: Impact of Glacier Melting & Climate Change (SPEEDS-2022-23) organised on 26th March 2023

12.Plan of action chalked out by the IQAC in the beginning of the Academic year towards Quality Enhancement and the outcome achieved by the end of the Academic year

Plan of Action	Achievements/Outcomes
To apply for the second cycle of NAAC accreditation	Second cycle of assessment was done on July 17th and 18th. Institute accredited with A+ grade
To apply for NIRF	Institute applied for NIRF
To conduct FDPs and workshop for faculty so as to make teaching learning process effective and impactful	Several FDPs and workshop organised
To organise Conference, Seminars and Workshop in various topics of importance	Principal's Conclave 2023 on NEP, National Conference on SDG9 and National Seminar SPEEDS 2022-23 were organised.

13. Whether the AQAR was placed before statutory body? Yes

- Name of the statutory body

Name	Date of meeting(s)
Institute's Governing Council	23/12/2023

14. Whether institutional data submitted to AISHE

Part A

Data of the Institution

1.Name of the Institution	SCHOOL OF MANAGEMENT SCIENCES LUCKNOW
• Name of the Head of the institution	DR. ASHISH BHATNAGAR
• Designation	DIRECTOR
• Does the institution function from its own campus?	Yes
• Phone no./Alternate phone no.	05224341777
• Mobile no	9919777701
• Registered e-mail	registrar@smslucknow.com
• Alternate e-mail	director@smslucknow.ac.in
• Address	19TH KM STONE, SULTANPUR ROAD, GOSAINGANJ, LUCKNOW
• City/Town	LUCKNOW
• State/UT	Uttar Pradesh
• Pin Code	226501
2.Institutional status	
• Affiliated /Constituent	Affiliated College
• Type of Institution	Co-education
• Location	Semi-Urban
• Financial Status	Self-financing
• Name of the Affiliating University	Dr. A.P.J. Abdul Kalam Technical University & University of Lucknow

• Name of the IQAC Coordinator	ASAD KAREEM USMANI				
• Phone No.	05224341777				
• Alternate phone No.	9792633331				
• Mobile	9919876678				
• IQAC e-mail address	iqac@smslucknow.com				
• Alternate Email address	asadkareemusmani@smslucknow.ac.in				
3.Website address (Web link of the AQAR (Previous Academic Year))	https://www.smslucknow.ac.in/uploads/topics/16977808547925.pdf				
4.Whether Academic Calendar prepared during the year?	Yes				
• if yes, whether it is uploaded in the Institutional website Web link:	https://www.smslucknow.ac.in/uploads/topics/16894039777486.pdf				
5.Accreditation Details					
Cycle	Grade	CGPA	Year of Accreditation	Validity from	Validity to
Cycle 1	B++	2.8	2017	30/10/2017	30/10/2022
Cycle 2	A+	3.45	2023	02/08/2023	01/08/2028
6.Date of Establishment of IQAC			29/09/2013		
7.Provide the list of funds by Central / State Government UGC/CSIR/DBT/ICMR/TEQIP/World Bank/CPE of UGC etc.,					
Institutional/Department /Faculty	Scheme	Funding Agency	Year of award with duration	Amount	
NA	NA	NA	NA	0	
8.Whether composition of IQAC as per latest NAAC guidelines			Yes		
• Upload latest notification of formation of IQAC			View File		

9.No. of IQAC meetings held during the year	4	
<ul style="list-style-type: none"> Were the minutes of IQAC meeting(s) and compliance to the decisions have been uploaded on the institutional website? 	Yes	
<ul style="list-style-type: none"> If No, please upload the minutes of the meeting(s) and Action Taken Report 	No File Uploaded	
10.Whether IQAC received funding from any of the funding agency to support its activities during the year?	No	
<ul style="list-style-type: none"> If yes, mention the amount 		
11.Significant contributions made by IQAC during the current year (maximum five bullets)		
1. On the recommendation of IQAC conduct of Value added/Add-on courses are being introduced in all programs. Internal and external sources are being utilised for the purpose.		
2. Principals' Conclave 2023 was organised at the SMS campus on "National Education Policy - Challenges in Implementation" on 4th of February 2023		
3. National Conference on 'SDG9: Industry, Innovation and Infrastructure' organised on 24th and 26th February, 2023		
4. National Seminar on Sources of Planet Energy, Environmental & Disaster Science: Environmental & Disaster Science: Impact of Glacier Melting & Climate Change (SPEEDS-2022-23) organised on 26th March 2023		
12.Plan of action chalked out by the IQAC in the beginning of the Academic year towards Quality Enhancement and the outcome achieved by the end of the Academic year		

Plan of Action	Achievements/Outcomes
To apply for the second cycle of NAAC accreditation	Second cycle of assessment was done on July 17th and 18th. Institute accredited with A+ grade
To apply for NIRF	Institute applied for NIRF
To conduct FDPs and workshop for faculty so as to make teaching learning process effective and impactful	Several FDPs and workshop organised
To organise Conference, Seminars and Workshop in various topics of importance	Principal's Conclave 2023 on NEP, National Conference on SDG9 and National Seminar SPEEDS 2022-23 were organised.

13. Whether the AQAR was placed before statutory body?

Yes

- Name of the statutory body

Name	Date of meeting(s)
Institute's Governing Council	23/12/2023

14. Whether institutional data submitted to AISHE

Year	Date of Submission
2021-22	12/01/2023

15. Multidisciplinary / interdisciplinary

The Institute is envisioned to offer multidisciplinary and interdisciplinary education as proposed in the NEP 2020. However the Institute is bound by the syllabi of the affiliating universities (University of Lucknow and Dr. APJ Abdul Kalam Technical University, Lucknow). LU has pioneered in implementation of NEP 2020 from the academic session 2021-22. The Institute follows the NEP syllabus as implemented by LU for BBA, B.Com., B.Sc. and B.Voc (Software Development) programs and BCA, having a multidisciplinary flexible curriculum that enables multiple entry and exits options. SMS currently offers 7 Programs of B.Tech. (CSE, AI & DS, CSE (AI&ML), CSE (IOT), ME, CE, & EE);

and MBA program with dual specialization from a choice of 4 specializations. AKTU has also introduced a system of obtaining 20 credits through NPTEL SWAYAM courses for getting B.Tech. degree with Honours. The students are required to obtain 10 credits from courses on Humanities, Management, Commerce and other streams of their choice.

16.Academic bank of credits (ABC):

SMS Lucknow is registered member of National Academic Depository (NAD) and it will follow the guidelines of affiliating universities Page 4/118 18-10-2023 03:07:22 Annual Quality Assurance Report of SCHOOL OF MANAGEMENT SCIENCES LUCKNOW to register the credits earned by the students in the ABC when implemented.

17.Skill development:

Value-added and Add-on certification courses are offered in all programs that mainly focus on skill enhancement. Courses are regularly conducted at SMS Lucknow to equip the students with life-skills. The Employability Enhancement Programme (EEP) is implemented as one of the Best Practices at the Institute. B.Voc (SD) is a skill development program that follows the NSQF. SMS had also earlier operated skill based courses under the PMKVY. Internships, live projects, role plays and hands on laboratory training also contribute in developing the skills of the students. The Institute also provides value-based education like universal human values and Constitutional rights and duties.

18.Appropriate integration of Indian Knowledge system (teaching in Indian Language, culture, using online course)

The Institute is committed to encourage multiculturalism through the courses offered as well the cultural activities of various clubs. The Vedic Science Centre functions to inculcate a sense of application of the rich knowledge hidden in the Vedas and the Indian Scriptures for the enhancement of scientific pursuits and benefit of mankind. Bi-lingual method of teaching is followed for B.Com program and students have the choice of answering in English or Hindi in the semester end examination. Books in vernacular language for B.Tech programs also available.

19.Focus on Outcome based education (OBE):Focus on Outcome based education (OBE):

The Institute has adopted outcome based education (OBE) system as envisaged in NEP. The aim of OBE is to monitor and improve teaching-learning quality and to evaluate the knowledge and skills attainment of the students. The learning level attainment

is assessed both by direct and indirect methods of the attainment of POs, and COs.

20.Distance education/online education:

Regular online quiz, assignments and other assessments are conducted. Some of the faculty members have recorded their lectures and uploaded on YouTube channel of the institute. A few of the faculty members have live recorded their lectures at AKTU and which are relayed on Swayamprabha Channel 15 for the students. The Institute can only offer distance/online programs when allowed by the regulatory bodies.

Extended Profile

1.Programme

1.1	616
Number of courses offered by the institution across all programs during the year	

File Description	Documents
Data Template	View File

2.Student

2.1	1806
Number of students during the year	

File Description	Documents
Institutional Data in Prescribed Format	View File

2.2	496
Number of seats earmarked for reserved category as per GOI/ State Govt. rule during the year	

File Description	Documents
Data Template	View File

2.3	368
Number of outgoing/ final year students during the year	

File Description	Documents
Data Template	View File
3.Academic	
3.1 Number of full time teachers during the year	86
File Description	Documents
Data Template	View File
3.2 Number of sanctioned posts during the year	125
File Description	Documents
Data Template	View File
4.Institution	
4.1 Total number of Classrooms and Seminar halls	45
4.2 Total expenditure excluding salary during the year (INR in lakhs)	944.07
4.3 Total number of computers on campus for academic purposes	453
Part B	
CURRICULAR ASPECTS	
1.1 - Curricular Planning and Implementation	
1.1.1 - The Institution ensures effective curriculum delivery through a well planned and documented process	
<p>School of Management Sciences, Lucknow has an effective system of teaching learning and assessment. Academic planning is done prior to start of each semester and is regularly monitored. SMS Lucknow, as an affiliated institute adheres to the Academic Calendar published by the Affiliating Universities. Institute's Academic</p>	

Calendar is accordingly adapted at the commencement of each academic year specifying timelines for teaching, co-curricular and extra-curricular activities. Information about institute and its processes are provided to new students through orientation. Courses are allotted to faculty members as per their choice and specialization They prepare Lesson Plan / Lecture Schedule stating course outcome, total numbers of classes to be held, pedagogy to be used. Teachers are encouraged to use ICT tools. Faculty members are required to regularly fill Academic Control Sheet (ACS) in physical mode in their Course File. ACS is kept as record for each semester. Periodic review of the course coverage is also done by the Director / Principal and Dean to ensure attainment of learning outcomes. Post review of course coverage timetables is altered as per requirements. To focus on experiential learning of students, faculty members use case studies, role plays, projects and practical assignments.

File Description	Documents
Upload relevant supporting document	View File
Link for Additional information	Nil

1.1.2 - The institution adheres to the academic calendar including for the conduct of Continuous Internal Evaluation (CIE)

- Teachers prepare their teaching schedules, class assessments and assignments in line with assigned timetables and conduct Continuous Internal Evaluation (CIE), keeping the academic calendar and scheduled co-curricular activities of the college in mind. The deadlines for assignment submissions, class tests and presentations, along with criteria for assessment are communicated to students in advance. Multiple evaluations are conducted to help students improve after each assessment. The written internal assessments are done by designing questions as per Bloom's Taxonomy.
- The schedule for Internal Assessments are prepared and released by Controller of Examination and the same is displayed on noticeboards and communicated to students through respective HODs/Program coordinators.
- Faculty members also use assignments and digital platforms for CIE, like MCQs, quizzes, and other sorts for assessing their learning outcome.
- In Lucknow University governed programmes, internal evaluation marks are compiled out of 25 marks in NEP

regulated courses. In AKTU governed programs the internal evaluation is done out of 50 marks for each course.

File Description	Documents
Upload relevant supporting document	View File
Link for Additional information	Nil

1.1.3 - Teachers of the Institution participate in following activities related to curriculum development and assessment of the affiliating University and/are represented on the following academic bodies during the year. Academic council/BoS of Affiliating University Setting of question papers for UG/PG programs Design and Development of Curriculum for Add on/ certificate/ Diploma Courses Assessment /evaluation process of the affiliating University

C. Any 2 of the above

File Description	Documents
Details of participation of teachers in various bodies/activities provided as a response to the metric	View File
Any additional information	View File

1.2 - Academic Flexibility

1.2.1 - Number of Programmes in which Choice Based Credit System (CBCS)/ elective course system has been implemented

1.2.1.1 - Number of Programmes in which CBCS/ Elective course system implemented

13

File Description	Documents
Any additional information	No File Uploaded
Minutes of relevant Academic Council/ BOS meetings	No File Uploaded
Institutional data in prescribed format (Data Template)	View File

1.2.2 - Number of Add on /Certificate programs offered during the year**1.2.2.1 - How many Add on /Certificate programs are added during the year. Data requirement for year: (As per Data Template)**

12

File Description	Documents
Any additional information	No File Uploaded
Brochure or any other document relating to Add on /Certificate programs	No File Uploaded
List of Add on /Certificate programs (Data Template)	View File

1.2.3 - Number of students enrolled in Certificate/ Add-on programs as against the total number of students during the year

299

File Description	Documents
Any additional information	No File Uploaded
Details of the students enrolled in Subjects related to certificate/Add-on programs	View File

1.3 - Curriculum Enrichment**1.3.1 - Institution integrates crosscutting issues relevant to Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum**

Being an affiliated Institute, it functions within the curriculum prescribed by University of Lucknow and Dr. APJ Abdul Kalam Technical University (AKTU), Lucknow. SMS Lucknow goes beyond this to develop gender sensitivity, and cross cutting issues of environment and sustainability, human values and professional ethics.

- AKTU has included compulsory course "Universal Human Values" for B. Tech. 4th Semester; "Understanding the Human Being Comprehensively-Human Aspirations and its Fulfilment" for B. Tech. 7th Semester; and "Human Values and Professional Ethics" for MBA 3rd Semester as part of the Curriculum.

- There are several other courses or parts of the courses offered

in UG Programs that focus on 'Law' and 'Ethics' as part of the curriculum.

- 'Constitution of India, Laws & Engineering' and 'Indian Tradition Society and Culture' are common courses for all B. Tech. students providing them opportunity to develop into responsible citizens of India.

- "Environmental Engineering" is a part of the curriculum in B. Tech. (Civil) 6th Semester; "Water Resources" is a course in B. Tech. 7th Semester; "Solid Waste Management" and "Renewable Energy Resources" are courses in B. Tech. 8th Semester.

- The Institute has a Women Anti-Harassment Committee regularly conducts gender sensitization workshops for the students.

File Description	Documents
Any additional information	No File Uploaded
Upload the list and description of courses which address the Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum.	View File

1.3.2 - Number of courses that include experiential learning through project work/field work/internship during the year

19

File Description	Documents
Any additional information	No File Uploaded
Programme / Curriculum/ Syllabus of the courses	No File Uploaded
Minutes of the Boards of Studies/ Academic Council meetings with approvals for these courses	No File Uploaded
MoU's with relevant organizations for these courses, if any	No File Uploaded
Institutional Data in Prescribed Format	View File

1.3.3 - Number of students undertaking project work/field work/ internships	
563	
File Description	Documents
Any additional information	No File Uploaded
List of programmes and number of students undertaking project work/field work/ /internships (Data Template)	View File
1.4 - Feedback System	
1.4.1 - Institution obtains feedback on the syllabus and its transaction at the institution from the following stakeholders Students Teachers Employers Alumni	A. All of the above
File Description	Documents
URL for stakeholder feedback report	https://smslucknow.ac.in/uploads/media/1.4.1%20&%201.4.2%20(Feedback%20Report).pdf
Action taken report of the Institution on feedback report as stated in the minutes of the Governing Council, Syndicate, Board of Management	View File
Any additional information	No File Uploaded
1.4.2 - Feedback process of the Institution may be classified as follows	A. Feedback collected, analyzed and action taken and feedback available on website
File Description	Documents
Upload any additional information	No File Uploaded
URL for feedback report	https://smslucknow.ac.in/uploads/media/1.4.1%20&%201.4.2%20(Feedback%20Report).pdf
TEACHING-LEARNING AND EVALUATION	
2.1 - Student Enrollment and Profile	

2.1.1 - Enrolment Number Number of students admitted during the year	
2.1.1.1 - Number of students admitted during the year	
724	
File Description	Documents
Any additional information	No File Uploaded
Institutional data in prescribed format	View File
2.1.2 - Number of seats filled against seats reserved for various categories (SC, ST, OBC, Divyangjan, etc. as per applicable reservation policy during the year (exclusive of supernumerary seats)	
2.1.2.1 - Number of actual students admitted from the reserved categories during the year	
307	
File Description	Documents
Any additional information	No File Uploaded
Number of seats filled against seats reserved (Data Template)	View File
2.2 - Catering to Student Diversity	
2.2.1 - The institution assesses the learning levels of the students and organizes special Programmes for advanced learners and slow learners	
<p>In order to obtain excellence, the institute has a major objective to identify respective learning levels of the students by identifying slow learners and advanced learners at the initial stage.</p> <p>Specific teaching-learning methodologies suited to the needs of such students are then adopted. Following strategies institute follow for advance learner & slow learner:</p> <p>Special strategies for advanced learners:</p> <ul style="list-style-type: none"> • Preference to undertake live projects and Industrial visits. • Provision of extra books from library. Career oriented counseling is provided for improved placement outcomes. • Encouraging upgrading their knowledge through add-on courses, 	

and online courses through Swayam NPTEL, and other MOOC platforms.

- Facilitating them to publish research papers jointly with faculty members.
- Encouraging them to participate in co-curricular activities and inter-college competitions.

Special strategies for slow learners:

- Tutorials and Remedial classes are organized.
- Question paper solving of previous years is done.
- Bilingual explanations and discussions are done.
- Career guidance and personal counseling is provided.
- Special doubt clarification sessions are held before university examination.
- The classes are made more interactive for easy understanding.
- Encouraged to meet the faculty members beyond class hours to help clear their doubts/difficulties.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	No File Uploaded

2.2.2 - Student- Full time teacher ratio (Data for the latest completed academic year)

Number of Students	Number of Teachers
1806	86

File Description	Documents
Any additional information	View File

2.3 - Teaching- Learning Process

2.3.1 - Student centric methods, such as experiential learning, participative learning and problem solving methodologies are used for enhancing learning experiences

In modern education, the teaching dynamic has shifted towards educators becoming facilitators and mentors, guiding students toward independent learning. At SMS, we adopt a student-centric approach, utilizing SMS platforms to foster contemporary skills and encourage interactive and experiential learning. By integrating participatory, experiential, and problem-solving methodologies, SMS enhances traditional learning across diverse student groups.

Experiential learning: It includes Practical experiments, role plays, summer internships, and projects on cutting-edge technologies. Industrial visits offer firsthand industry insights, reinforcing theoretical knowledge.

Participatory learning: It is promoted through seminars, guest lecture, workshops, group discussions, value added courses. Annual tech and management fests, organized by engineering and management students, encourage collaboration and knowledge exchange. Cultural programs, joint publications, and student-driven events further enrich participatory experiences.

Problem-solving methodologies: Our curriculum emphasizes problem-solving methodologies, nurturing critical thinking through brainstorming sessions, assignments, hackathons, quizzes, case studies, and debates, fostering a culture of innovative problem-solving.

Ultimately, SMS is committed to empowering students by creating an educational environment that sparks creativity and cultivates lifelong learning skills through a holistic blend of experiential, participatory, and problem-solving approaches.

File Description	Documents
Upload any additional information	View File
Link for additional information	Nil

2.3.2 - Teachers use ICT enabled tools for effective teaching-learning process. Write description in maximum of 200 words

SMS Lucknow boasts its IT facilities to meet the diverse requirements of both students and faculty members, ensuring uninterrupted 24/7 access to a robust 75 Mbps Internet connection and Wi-Fi. All classrooms and conference halls are fully

integrated with ICT, featuring LAN connectivity, Portable LCD projectors, and laptops.

Faculty members employ PowerPoint presentations enriched with images, flowcharts and animations. Various online tools such as Zoom, Google Meet, CISCO WebEx/Teachmint, and YouTube Live facilitate seamless virtual teaching experiences. E-learning initiatives include the creation and uploading of video lectures on platforms like YouTube and Moodle. Quizzes and e-content delivery utilize platforms like Google Classroom, Google Forms, email, and WhatsApp applications.

E-content, presented in the form of videos and PowerPoint slides, is disseminated through SMS Lucknow YouTube channel and SWAYAM Prabha. The institute promotes online learning by encouraging students to leverage resources like NPTEL videos, e-books, and courses available on SWAYAM and other Massive Open Online Course (MOOC) platforms. As a Remote and Resource Centre of IIT Mumbai, the institute also offers additional online certificate courses.

The digital library extends its services to faculty and students, providing access to resources such as the DELNET Database, Nalanda e-consortium, and the National Digital Library.

File Description	Documents
Upload any additional information	No File Uploaded
Provide link for webpage describing the ICT enabled tools for effective teaching-learning process	View File

2.3.3 - Ratio of mentor to students for academic and other related issues (Data for the latest completed academic year)

2.3.3.1 - Number of mentors

86

File Description	Documents
Upload, number of students enrolled and full time teachers on roll	View File
Circulars pertaining to assigning mentors to mentees	View File
Mentor/mentee ratio	No File Uploaded

2.4 - Teacher Profile and Quality

2.4.1 - Number of full time teachers against sanctioned posts during the year

86

File Description	Documents
Full time teachers and sanctioned posts for year (Data Template)	View File
Any additional information	No File Uploaded
List of the faculty members authenticated by the Head of HEI	View File

2.4.2 - Number of full time teachers with Ph. D. / D.M. / M.Ch. /D.N.B Superspeciality / D.Sc. / D.Litt. during the year (consider only highest degree for count)

2.4.2.1 - Number of full time teachers with Ph. D. / D.M. / M.Ch. /D.N.C Superspeciality / D.Sc. / D.Litt. during the year

31

File Description	Documents
Any additional information	No File Uploaded
List of number of full time teachers with Ph. D. / D.M. / M.Ch./ D.N.B Super specialty / D.Sc. / D.Litt. and number of full time teachers for year (Data Template)	View File

2.4.3 - Number of years of teaching experience of full time teachers in the same institution (Data for the latest completed academic year)

2.4.3.1 - Total experience of full-time teachers

380

File Description	Documents
Any additional information	No File Uploaded
List of Teachers including their PAN, designation, dept. and experience details(Data Template)	View File

2.5 - Evaluation Process and Reforms

2.5.1 - Mechanism of internal assessment is transparent and robust in terms of frequency and mode. Write description within 200 words.

The examination cell operates under the guidance of the Head of the institute, ensuring a systematic and comprehensive evaluation process. Continuous assessment takes place throughout the academic year, incorporating a variety of methods such as regular assignments, class participation, class tests, sessionals, projects, student presentations, and MCQs.

- For Theory Examinations, the college internally administers exams through the examination cell. Faculty members responsible for the course set the question papers, aligning them with the university pattern. The questions are strategically designed based on the Bloom's taxonomy, and a meticulous mapping of each question with course outcomes is undertaken to ensure comprehensive coverage.
- Practical Examinations involve ongoing internal assessment throughout the semester. Students' performance is evaluated based on active participation, lab results, report writing, attendance, and mid-term/end-term viva. This holistic approach ensures a thorough assessment of practical skills.
- Events like Seminars, Presentations, Industrial Training Viva, and Projects involve a panel of 3-6 faculty members who assess the students' performance. This diverse evaluation team ensures a well-rounded perspective on students' capabilities.

Timely result declaration, question paper moderation, and proper assessment are the primary objectives of the exam cell committee, highlighting its commitment to efficiency and fairness in the evaluation process.

File Description	Documents
Any additional information	No File Uploaded
Link for additional information	Nil

2.5.2 - Mechanism to deal with internal examination related grievances is transparent, time- bound and efficient

Continuous evaluation throughout the semester is a pivotal element in assessing students' progress. The mechanism for addressing examination-related grievances is not only transparent but also time-bound and efficient. The internal assessment process is characterized by complete transparency, ensuring that students are well-informed about the guidelines from the university pertaining to exams and results at the commencement of each semester. Additionally, the institution's internal examination policy is thoroughly explained to the students.

Schedules for internal assessment tests are meticulously prepared and communicated well in advance to the students. To uphold the integrity of the testing environment, a minimum of two invigilators is assigned to each examination hall.

Prompt evaluation is a priority, with course instructors completing the assessment within three working days of the examination date. Students are encouraged to review their evaluated answer sheets, signifying their consent and satisfaction with the evaluation process. In the event of any reported grievance, immediate redressal is undertaken.

Continuous evaluation encompasses various aspects, including theory lectures, labs, assignments, and unit tests. To ensure the smooth conduct of examinations, the institute appoints an examination controller who oversees the entire process. Finally, the internal marks are diligently forwarded to the university, completing the comprehensive evaluation cycle.

File Description	Documents
Any additional information	No File Uploaded
Link for additional information	Nil

2.6 - Student Performance and Learning Outcomes

2.6.1 - Programme and course outcomes for all Programmes offered by the institution are stated and displayed on website and communicated to teachers and students.

School of Management Sciences, Lucknow offers programmes affiliated to University of Lucknow (BBA, BCA, BCom, BCom-H, BVOC-SD and BSc) and Dr. A.P.J Abdul Kalam Technical University, Lucknow (MBA, BTECH). The universities have developed curriculum in accordance with the framework of Outcome Based Education (in alignment with stakeholder and societal interest), which is duly followed by the institute.

Methods of Communication of PO, PSO and CO

- The IQAC communicates the Programme Outcomes, and Course Outcomes to the respective HODs, teachers and the students.
- POs, PSOs and COs are visibly displayed on the institute website (Website Link is given) and on Notice Boards.
- POs and COs are also included in the AKTU Syllabi itself.
- The syllabi of some programs of University of Lucknow have also spelt out the POs and COs.
- The students are also apprised about the POs and COs during the Orientation Program.
- The HOD/ Program Coordinators/ Faculties explain various programme outcomes to the students in Program Orientation meeting and in routine workshops.
- Every faculty member prepares a lesson plan in which they mention course outcome of respective course.
- Teachers also mention course outcomes in the internal question papers.

File Description	Documents
Upload any additional information	View File
Paste link for Additional information	Nil
Upload COs for all Programmes (exemplars from Glossary)	View File

2.6.2 - Attainment of Programme outcomes and course outcomes are evaluated by the institution.

Our Institute offers MBA and B.Tech Programs affiliated to AKTU and undergraduate programs like BBA, BCA, B.COM (H), B.COM, B.VOC and B.Sc from University of Lucknow.

In order to evaluate the attainment level of program wise and course wise outcome we have used both direct and indirect assessment instruments.

POs & COs ATTAINMENT

Direct method

Evaluation of attainment of CO and PO will be done by processing internal and external marks scored by students in each course within a particular Program. In the process of evaluation of outcome attainment, 30% and 70 % weightage has been given to the internal and external marks respectively.

Indirect Method

In order to understand the level of attainment of PO we have taken Exit Survey from the Students on the completion of Program. We have also taken feedback from employer to evaluate the level of attainment of PSO in various technical programs.

- Measuring Level of Attainment

MARKS SCORED ATTAINMENT LEVEL

0-40% 0 (Poor Attainment)

40-60% 1 (Average Attainment)

60-80% 2 (Good Attainment)

80% and Above 3 (Excellent Attainment)

The Overall attainment of POs and COs are computed by adding direct and indirect attainment values in the proportion of 70:30 respectively.

File Description	Documents
Upload any additional information	View File
Paste link for Additional information	Nil

2.6.3 - Pass percentage of Students during the year	
2.6.3.1 - Total number of final year students who passed the university examination during the year	
368	
File Description	Documents
Upload list of Programmes and number of students passed and appeared in the final year examination (Data Template)	View File
Upload any additional information	No File Uploaded
Paste link for the annual report	Nil
2.7 - Student Satisfaction Survey	
2.7.1 - Student Satisfaction Survey (SSS) on overall institutional performance (Institution may design its own questionnaire) (results and details need to be provided as a weblink)	
https://www.smslucknow.ac.in/uploads/topics/17024510424589.pdf	
RESEARCH, INNOVATIONS AND EXTENSION	
3.1 - Resource Mobilization for Research	
3.1.1 - Grants received from Government and non-governmental agencies for research projects / endowments in the institution during the year (INR in Lakhs)	
3.1.1.1 - Total Grants from Government and non-governmental agencies for research projects / endowments in the institution during the year (INR in Lakhs)	
0	
File Description	Documents
Any additional information	No File Uploaded
e-copies of the grant award letters for sponsored research projects /endowments	No File Uploaded
List of endowments / projects with details of grants(Data Template)	No File Uploaded
3.1.2 - Number of teachers recognized as research guides (latest completed academic year)	

3.1.2.1 - Number of teachers recognized as research guides

4

File Description	Documents
Any additional information	No File Uploaded
Institutional data in prescribed format	View File

3.1.3 - Number of departments having Research projects funded by government and non government agencies during the year**3.1.3.1 - Number of departments having Research projects funded by government and non-government agencies during the year**

0

File Description	Documents
List of research projects and funding details (Data Template)	No File Uploaded
Any additional information	No File Uploaded
Supporting document from Funding Agency	No File Uploaded
Paste link to funding agency website	Nil

3.2 - Innovation Ecosystem**3.2.1 - Institution has created an ecosystem for innovations and has initiatives for creation and transfer of knowledge**

The Institute SMS, established in 2008, is a prominent management and engineering institution in India, accredited by UGC and affiliated with AKTU under AICTE. Recognized for excellence in the "Indian Best B-School Survey 2012," it offers programs in Management and Technology, emphasizing MBA, B.Tech courses, and Diploma Courses. The institute's modern campus, equipped with cutting-edge technology and experienced faculty, aims to cultivate future entrepreneurs and professionals.

A key highlight is the "Sir C V Raman Centre for Research & Innovation" established in 2011, focusing on global techno-managerial principles for researches on environmental protection to contribute to sustainable development. Another center, the Vedic Science Centre, established in 2015, focuses on Yoga and

ancient sciences, with 20 books published in various languages.

The Institutions of Innovation Council (IIC) by the MHEs appreciates the institute's activities for innovation, startups, entrepreneurship, and intellectual property rights. The institute also houses an Incubation Centre from the UP Industries Department since 2017.

The institute's commitment is evident through seminars, conferences, workshops, journal publications and collaborations with international institutions. Notably, many patents for eco-friendly technical projects, Air Engine received, contributing to check climate change and global warming shows its presence in the international academic arena.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	Nil

3.2.2 - Number of workshops/seminars conducted on Research Methodology, Intellectual Property Rights (IPR) and entrepreneurship during the year

3.2.2.1 - Total number of workshops/seminars conducted on Research Methodology, Intellectual Property Rights (IPR) and entrepreneurship year wise during the year

4

File Description	Documents
Report of the event	View File
Any additional information	No File Uploaded
List of workshops/seminars during last 5 years (Data Template)	View File

3.3 - Research Publications and Awards

3.3.1 - Number of Ph.Ds registered per eligible teacher during the year

3.3.1.1 - How many Ph.Ds registered per eligible teacher within the year

2

File Description	Documents
URL to the research page on HEI website	Nil
List of PhD scholars and their details like name of the guide , title of thesis, year of award etc (Data Template)	View File
Any additional information	No File Uploaded

3.3.2 - Number of research papers per teachers in the Journals notified on UGC website during the year

3.3.2.1 - Number of research papers in the Journals notified on UGC website during the year

3

File Description	Documents
Any additional information	View File
List of research papers by title, author, department, name and year of publication (Data Template)	View File

3.3.3 - Number of books and chapters in edited volumes/books published and papers published in national/ international conference proceedings per teacher during the year

3.3.3.1 - Total number of books and chapters in edited volumes/books published and papers in national/ international conference proceedings year wise during year

17

File Description	Documents
Any additional information	View File
List books and chapters edited volumes/ books published (Data Template)	View File

3.4 - Extension Activities

3.4.1 - Extension activities are carried out in the neighborhood community, sensitizing students to social issues, for their holistic development, and impact thereof during the year

Unnat Bharat Abhiyan is inspired by the vision of transformational change in rural development processes by leveraging knowledge institutions to help build the architecture of an Inclusive India.

Their mission is conceptualised as a movement to enable processes that connect institutes of higher education with local communities to address the development challenges of rural India through participatory processes and appropriate technologies for accelerating sustainable growth.

'Har Ghar Tiranga' is a campaign under the aegis of Azadi Ka Amrit Mahotsav to encourage people to bring the Tiranga home and to hoist it to mark the 75th year of India's independence. Our relationship with the flag has always been more formal and institutional than personal. Bringing the flag home collectively as a nation in the 75th year of independence thus becomes symbolic of not only an act of personal connection to the Tiranga but also an embodiment of our commitment to nation-building. The idea behind the initiative is to invoke the feeling of patriotism in the hearts of the people and to promote awareness about the Indian National Flag.

File Description	Documents
Paste link for additional information	https://smslucknow.ac.in/uploads/media/Har%20Ghar%20Tiranga.pdf
Upload any additional information	No File Uploaded

3.4.2 - Number of awards and recognitions received for extension activities from government / government recognized bodies during the year

3.4.2.1 - Total number of awards and recognition received for extension activities from Government/ Government recognized bodies year wise during the year

2

File Description	Documents
Any additional information	No File Uploaded
Number of awards for extension activities in last 5 year (Data Template)	View File
e-copy of the award letters	View File

3.4.3 - Number of extension and outreach programs conducted by the institution through NSS/NCC/Red cross/YRC etc., (including the programmes such as Swachh Bharat, AIDS awareness, Gender issues etc. and/or those organized in collaboration with industry, community and NGOs) during the year

3.4.3.1 - Number of extension and outreach Programs conducted in collaboration with industry, community and Non- Government Organizations through NSS/ NCC/ Red Cross/ YRC etc., during the year

6

File Description	Documents
Reports of the event organized	View File
Any additional information	No File Uploaded
Number of extension and outreach Programmes conducted with industry, community etc for the during the year (Data Template)	View File

3.4.4 - Number of students participating in extension activities at 3.4.3. above during year

3.4.4.1 - Total number of Students participating in extension activities conducted in collaboration with industry, community and Non- Government Organizations such as Swachh Bharat, AIDs awareness, Gender issue etc. year wise during year

700

File Description	Documents
Report of the event	View File
Any additional information	No File Uploaded
Number of students participating in extension activities with Govt. or NGO etc (Data Template)	View File

3.5 - Collaboration

3.5.1 - Number of Collaborative activities for research, Faculty exchange, Student exchange/ internship during the year

3.5.1.1 - Number of Collaborative activities for research, Faculty exchange, Student exchange/ internship year wise during the year

87

File Description	Documents
e-copies of related Document	View File
Any additional information	No File Uploaded
Details of Collaborative activities with institutions/industries for research, Faculty	View File

3.5.2 - Number of functional MoUs with institutions, other universities, industries, corporate houses etc. during the year

3.5.2.1 - Number of functional MoUs with Institutions of national, international importance, other universities, industries, corporate houses etc. year wise during the year

9

File Description	Documents
e-Copies of the MoUs with institution./ industry/corporate houses	View File
Any additional information	No File Uploaded
Details of functional MoUs with institutions of national, international importance, other universities etc during the year	View File

INFRASTRUCTURE AND LEARNING RESOURCES

4.1 - Physical Facilities

4.1.1 - The Institution has adequate infrastructure and physical facilities for teaching- learning. viz., classrooms, laboratories, computing equipment etc.

The institution has adequate facilities for teaching, learning Provision of adequate infrastructural facilities for teaching and learning. The institute abides by the norms provided by AICTE and AKTU. The Institute has a total land area of 16 Acres of land which is constructed with robust structures for various academic purposes. The institute has planned and constructed the infrastructure which facilitates the curricular and co-curricular activities. All the departments are fully equipped with the necessary infrastructure.

Class & Tutorial Rooms: Each classroom & tutorial room is of adequate size and has enough lighting, air ventilation and good

ambience.

Seminar Hall: Institute has 3 ICT enabled seminar halls with public addressing systems.

Computing Equipment: Institute has sufficient Computing facilities with total 576 computers for staff and students with internet of 150 MBPS speed.

Sports Facility: There are multiple sport facilities indoor & outdoor to keep our student engaged and physically fit and organizing under the aegis of Vedic Science Centre a Yoga Training session for Faculties, students and staffs.

Cultural activity

Cultural activities are conducted on different occasions.

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	https://www.smslucknow.ac.in/infrastructure

4.1.2 - The Institution has adequate facilities for cultural activities, sports, games (indoor, outdoor), gymnasium, yoga centre etc.

Sports play a pivotal role in shaping one's personality and maintaining good health and give a global experience to all our students.

Basketball: We have a dedicated basketball court for boys and girls.

Football: The SMS campus has a football ground with lush green grass for the most widely played.

Cricket :The SMS institute has cricket ground with natural Turf pitch.

Table Tennis :We have well equipped common room with the modern facility for playing table tennis.

Sports Activities

Outdoor and indoor sports facilities:-

SMS College has setup facilities/ grounds for cricket, football, basketball, volley ball, table tennis, chess, carom etc. We organize "SPARDHA" in which various indoor and outdoor sports event are organized.

Gymnasium

A modern gym, with all the latest gadgets has been setup in the common room of the campus.

Athletics

An athletic track surrounds the football field for the athletics.

Common Room/ Multipurpose Hall

Common Room Hall with facilities for table tennis, snooker, carrom, chess and an Indoor Gym in the strong hold of the SMS campus.

Cultural activity:

Cultural activities are conducted on different occasions like first year induction, fresher, teacher's day, Annual Festival Abhyudaya every academic year.

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	https://www.smslucknow.ac.in/infrastructur e

4.1.3 - Number of classrooms and seminar halls with ICT- enabled facilities such as smart class, LMS, etc.

21

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	Nil
Upload Number of classrooms and seminar halls with ICT enabled facilities (Data Template)	View File

4.1.4 - Expenditure, excluding salary for infrastructure augmentation during the year (INR in Lakhs)

4.1.4.1 - Expenditure for infrastructure augmentation, excluding salary during the year (INR in lakhs)

240.80402

File Description	Documents
Upload any additional information	No File Uploaded
Upload audited utilization statements	View File
Upload Details of budget allocation, excluding salary during the year (Data Template)	View File

4.2 - Library as a Learning Resource

4.2.1 - Library is automated using Integrated Library Management System (ILMS)

SMS Library established in the year 2008, had around 6375 books including that of Engineering and Management. Presently the collection encompasses 28,653 books of 694 titles. In year 2022-23 Library purchased 1961 books of 220 titles.

In addition to books, there are 1562 e-books, 1076 CDs & Videos, and 84 Journals (66 Subscribed + 18 Journals in Exchange with in-house Journals of SMS Samridhi & Adhyayan). Library also subscribes DELNET, NDLI & NPTEL.

The Library is partially automated in its operations using the SOUL 2.0 software in its operations since the year 2015 & now upgrade to SOUL 3.0 with OPAC facility.

The Library encompasses an area of 706 sq meters and has two reading halls of capacity 150 (in Engineering) and of 60 (in Management). Reprographics facility is also provided through two heavy duty Canon photocopy machines.

The average number of footfall in the library is 33142 & calculated for a period of 290 working days in a year, it works to around 114.28 (students + faculty) per day usage of the library.

File Description	Documents
Upload any additional information	View File
Paste link for Additional Information	https://www.smslucknow.ac.in/library-2

4.2.2 - The institution has subscription for the following e-resources e-journals e-ShodhSindhu Shodhganga Membership e-books Databases Remote access toe-resources

B. Any 3 of the above

File Description	Documents
Upload any additional information	No File Uploaded
Details of subscriptions like e-journals,e-ShodhSindhu, Shodhganga Membership etc (Data Template)	View File

4.2.3 - Expenditure for purchase of books/e-books and subscription to journals/e- journals during the year (INR in Lakhs)

4.2.3.1 - Annual expenditure of purchase of books/e-books and subscription to journals/e-journals during the year (INR in Lakhs)

9.88

File Description	Documents
Any additional information	No File Uploaded
Audited statements of accounts	View File
Details of annual expenditure for purchase of books/e-books and journals/e- journals during the year (Data Template)	View File

4.2.4 - Number per day usage of library by teachers and students (foot falls and login data for online access) (Data for the latest completed academic year)**4.2.4.1 - Number of teachers and students using library per day over last one year**

114

File Description	Documents
Any additional information	No File Uploaded
Details of library usage by teachers and students	View File

4.3 - IT Infrastructure**4.3.1 - Institution frequently updates its IT facilities including Wi-Fi**

SMS Lucknow provides all the IT facilities for smooth academic and administrative functioning. 21 classrooms are equipped with LCD projectors supported by audio visual system and 5 classrooms with smart boards. For enhanced security inside the campus CCTV cameras are installed at various places like campus gate, hostels, Library etc.

The Internet speed of 150 Mbps is shared throughout the campus.

The institute has Windows Volume license that includes Windows 10, Microsoft Office etc. and open source software like Ubuntu and softwares like JDK, Eclipse, NetBeans, Android, Python. The library uses SOUL 3.0 Software. Wi-Fi access is provided to all the students, staff and faculty. Following is details of purchase of IT equipment in year 2022-23

Year of Purchase**IT equipments / software purchased****2022-2023**

24 Port D-link switch 11, Epson Projector 2, HP Laser Printer 02, HP Color Laser Printer 01, Logitech Meet up 01, TP-Link AP Controller 01, Access Point 5Ghz 17, Quick Heal Antivirus 20 user, View Sonic IFP Smart Board 02, HP Keyboard 45, HP Mouse 80 and ESSL E9 Biometrics 07, Upgraded 100 Mbps Internet Speed.

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	Nil

4.3.2 - Number of Computers

453

File Description	Documents
Upload any additional information	No File Uploaded
List of Computers	View File

4.3.3 - Bandwidth of internet connection in the Institution

A. ? 50MBPS

File Description	Documents
Upload any additional Information	No File Uploaded
Details of available bandwidth of internet connection in the Institution	View File

4.4 - Maintenance of Campus Infrastructure

4.4.1 - Expenditure incurred on maintenance of infrastructure (physical and academic support facilities) excluding salary component during the year (INR in Lakhs)

4.4.1.1 - Expenditure incurred on maintenance of infrastructure (physical facilities and academic support facilities) excluding salary component during the year (INR in lakhs)

891.53548

File Description	Documents
Upload any additional information	No File Uploaded
Audited statements of accounts	View File
Details about assigned budget and expenditure on physical facilities and academic support facilities (Data Templates)	View File

4.4.2 - There are established systems and procedures for maintaining and utilizing physical, academic and support facilities - laboratory, library, sports complex, computers, classrooms etc.

The regular maintenance and support activity is the ground for the academic enhancement and up gradation to ensure the activity.

Academic Support Facilities: Includes Class rooms, laboratories, library

Physical Facilities: IT Infrastructure, Hostel and Mess, Recreational and sports, Medical, roof top solar plant, rain water harvesting

- Flexibility is there for the class room allocation.
- Proper Maintenance/ Complaint handling procedure is laid down.
- Annual audits are the key for identification of need of additional resource and to check the functioning of the available resources.
- Instruments requirement up gradation through in-house up gradation / outsourced vendor is properly channelized, New Instruments required - Purchase Requisition - Central Store - approval by CGM
- The college is also running a mess and canteen facility on contractual basis with a vendor.
- The institutes is having sufficient space for outdoor games and having basket ball, volley ball and badminton courts and grounds for cricket and football. The equipment's are issued by central store to the warden and then to users.
- Stock of basic medicines is kept and a register is maintained to administer the use of these medicines and to trace out the demand of the basic medicines.

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	https://www.smslucknow.ac.in/infrastructur e

STUDENT SUPPORT AND PROGRESSION

5.1 - Student Support

5.1.1 - Number of students benefited by scholarships and free ships provided by the Government during the year

5.1.1.1 - Number of students benefited by scholarships and free ships provided by the Government during the year

273

File Description	Documents
Upload self attested letter with the list of students sanctioned scholarship	View File
Upload any additional information	No File Uploaded
Number of students benefited by scholarships and free ships provided by the Government during the year (Data Template)	View File

5.1.2 - Number of students benefitted by scholarships, free ships etc. provided by the institution / non- government agencies during the year**5.1.2.1 - Total number of students benefited by scholarships, free ships, etc provided by the institution / non- government agencies during the year**

544

File Description	Documents
Upload any additional information	View File
Number of students benefited by scholarships and free ships institution / non- government agencies in last 5 years (Date Template)	View File

5.1.3 - Capacity building and skills enhancement initiatives taken by the institution include the following: Soft skills Language and communication skills Life skills (Yoga, physical fitness, health and hygiene) ICT/computing skills

A. All of the above

File Description	Documents
Link to Institutional website	https://smslucknow.ac.in/uploads/media/5.1.3%20(Support%20Docs.).pdf
Any additional information	View File
Details of capability building and skills enhancement initiatives (Data Template)	View File

5.1.4 - Number of students benefitted by guidance for competitive examinations and career counseling offered by the institution during the year

590

5.1.4.1 - Number of students benefitted by guidance for competitive examinations and career counseling offered by the institution during the year

590

File Description	Documents
Any additional information	No File Uploaded
Number of students benefitted by guidance for competitive examinations and career counseling during the year (Data Template)	View File

5.1.5 - The Institution has a transparent mechanism for timely redressal of student grievances including sexual harassment and ragging cases Implementation of guidelines of statutory/regulatory bodies Organization wide awareness and undertakings on policies with zero tolerance Mechanisms for submission of online/offline students' grievances Timely redressal of the grievances through appropriate committees

A. All of the above

File Description	Documents
Minutes of the meetings of student redressal committee, prevention of sexual harassment committee and Anti Ragging committee	View File
Upload any additional information	No File Uploaded
Details of student grievances including sexual harassment and ragging cases	View File

5.2 - Student Progression

5.2.1 - Number of placement of outgoing students during the year

5.2.1.1 - Number of outgoing students placed during the year

180

File Description	Documents
Self-attested list of students placed	View File
Upload any additional information	No File Uploaded
Details of student placement during the year (Data Template)	View File

5.2.2 - Number of students progressing to higher education during the year

5.2.2.1 - Number of outgoing student progression to higher education

10

File Description	Documents
Upload supporting data for student/alumni	View File
Any additional information	No File Uploaded
Details of student progression to higher education	View File

5.2.3 - Number of students qualifying in state/national/ international level examinations during the year (eg: JAM/CLAT/GATE/ GMAT/CAT/GRE/ TOEFL/ Civil Services/State government examinations)

5.2.3.1 - Number of students qualifying in state/ national/ international level examinations (eg: JAM/CLAT/NET/ SLET/ GATE/ GMAT/CAT/GRE/ TOEFL/ Civil Services/ State government examinations) during the year

0

File Description	Documents
Upload supporting data for the same	No File Uploaded
Any additional information	No File Uploaded
Number of students qualifying in state/ national/ international level examinations during the year (Data Template)	No File Uploaded

5.3 - Student Participation and Activities

5.3.1 - Number of awards/medals for outstanding performance in sports/cultural activities at university/state/national / international level (award for a team event should be counted as one) during the year

5.3.1.1 - Number of awards/medals for outstanding performance in sports/cultural activities at university/state/ national / international level (award for a team event should be counted as one) during the year.

0

File Description	Documents
e-copies of award letters and certificates	No File Uploaded
Any additional information	No File Uploaded
Number of awards/medals for outstanding performance in sports/cultural activities at university/state/national/international level (During the year) (Data Template)	No File Uploaded

5.3.2 - Institution facilitates students' representation and engagement in various administrative, co-curricular and extracurricular activities (student council/ students representation on various bodies as per established processes and norms)

- o School of Management Sciences, Lucknow has constituted a student club to promote and facilitate student activities at the campus. The student club is empowered to promote and

facilitate student activities of the campus.

- Each Program HoD / Program Coordinator selects the Class Representative, every year for their respective Programs.
- Representation of students in various cells - There are several statutory bodies where the student members are duly represented and are actively engaged in the activity of these bodies like the Proctorial Board, IQAC, Anti Ragging Committee, Student's Grievance Redressal Cell and Anti-women Harassment Committee.
- Student club along with hobby club organizes Cultural, Sports, Technical Fest, Fresher's/Farewell and other commemorative days like the Earth Day, International Yoga Day, World Environment Day, etc. from time to time.
- Hostel committee is functioning with student co-ordinator to ensure quality of food as well as the discipline in the hostel premises.

In every bus, there is student co-ordinator along with a faculty co-ordinator and a link officer who are nominated by the official in order to ensure timely departure and arrival of the bus at the destination and also control the student and maintain discipline in the bus.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

5.3.3 - Number of sports and cultural events/competitions in which students of the Institution participated during the year (organized by the institution/other institutions)

5.3.3.1 - Number of sports and cultural events/competitions in which students of the Institution participated during the year

13

File Description	Documents
Report of the event	View File
Upload any additional information	No File Uploaded
Number of sports and cultural events/competitions in which students of the Institution participated during the year (organized by the institution/other institutions (Data Template)	View File

5.4 - Alumni Engagement

5.4.1 - There is a registered Alumni Association that contributes significantly to the development of the institution through financial and/or other support services

The Alumni Association of SMS Lucknow is registered under the UP Societies Registration Act 1860, on 28/05/2022 at Lucknow (Registration No. LUC/01928/2022-2023).

The broad objectives of SMS Alumni Association are:

- To plan and organize successful reunions / meets, which SMS has been doing during the fourth quarter of the calendar year.
-
- To promote the philosophy and values of SMS Alumni Association.

All those students who have successfully completed their academics at SMS Lucknow are eligible for membership in SMS Lucknow Alumni Association.

SMS Lucknow Alumni Association has developed three chapters. One at Lucknow and other is at Delhi and Pune- Mumbai, taking care of the respective locale of the former students of SMS Lucknow.

SMS Lucknow Alumni Association Cell Contact Details:

President: Dr. Dharmendra Singh (Additional Director SMS Lucknow)

Sr. Vice President: Dr. Pramod Kumar

Sr. Vice President: Dr. Amar Jeet Singh

Vice President: Mr. Surendra Srivastava

Vice President: Mr. Asad Kareem Usmani

General Secretary: Mr. Pankaj Kumar Yadav

Joint Secretary: Mr. Vikram Singh

Treasurer: Dr. Hemant Kumar Singh

Members:

1. Mr. Atul Tiwari
2. Dr. Rahul Singh
3. Mr. Gaurav Ojha
4. Mr. Satyajeet Asthana
5. Ms Sujata Sinha
6. Mr. Abhinav Dwivedi

E-mail: alumni@smslucknow.com

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

5.4.2 - Alumni contribution during the year (INR in Lakhs)

E. <1Lakhs

File Description	Documents
Upload any additional information	No File Uploaded

GOVERNANCE, LEADERSHIP AND MANAGEMENT

6.1 - Institutional Vision and Leadership

6.1.1 - The governance of the institution is reflective of and in tune with the vision and mission of the institution

The governance of SMS Lucknow stands as a testament to our unwavering commitment to realizing our vision and mission. It is

our collective endeavor to provide a nurturing environment that moulds individuals into global-centric professionals with a strong ethical foundation. Allow me to elucidate how:

1. Our Leadership is dedicated to fostering a quality-oriented mindset among both faculty and students. Our Governing Council include individuals with diverse international exposure and expertise, ensuring that decisions are made in consideration of global perspectives.

2. Our governance model places a strong emphasis on ethical and moral standards. All decision-making processes are underpinned by a commitment to integrity, transparency, and accountability. This resonates with our mission to produce professionals who embody these values in their professional and personal lives.

Through strategic partnerships and collaborations, we ensure that our institution actively engages with industries, translating our mission into practical applications that benefit both students and the global community.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	No File Uploaded

6.1.2 - The effective leadership is visible in various institutional practices such as decentralization and participative management.

Decision-making authority is delegated to department heads, allowing for more responsive and agile responses to department-specific needs and challenges.

The leadership at SMS Lucknow promotes open communication channels at all levels. Regular meetings provide opportunities for faculty and staff to express their opinions and concerns, fostering a collaborative environment where everyone feels heard and valued.

Participative management extends to professional development initiatives. Faculty and staff are encouraged to participate in training programs and workshops, aligning them with their professional growth needs and the institution's strategic objectives.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

6.2 - Strategy Development and Deployment

6.2.1 - The institutional Strategic/ perspective plan is effectively deployed

School of Management Sciences, Lucknow has a well-planned perspective for development and committed to imparting quality education in the areas of Management and Technology. Presently around 3 lakh sq.ft. area has been constructed out of the approved plan of 6.9 lakh sq.ft.

A Broad Strategic Plan 2025 as formulated by the Management Committee of the SMS Society of which some of the major highlights are mentioned below:

- Becoming one of the best Institute (among top 50) in Management & Technical Education and Research in the country.
- To be admired as an Institute that is contributing in nation building through its various activities.
- To have world class infrastructure for effective teaching, learning and research.
- To be recognized as an Institute that has a healthy work environment for faculty and staff and be able to attract best talents from the country.
- Be a model Institute campus in terms of sustainability, usage of energy and water and recycling of materials.
- Generating at least 10% of revenue through consultancy and outreach programmes.
- Having international collaborations with world's leading institutes in management and technical education and research.

The deployment of the plan is reviewed by Governing Council annually.

File Description	Documents
Strategic Plan and deployment documents on the website	View File
Paste link for additional information	Nil
Upload any additional information	No File Uploaded

6.2.2 - The functioning of the institutional bodies is effective and efficient as visible from policies, administrative setup, appointment and service rules, procedures, etc.

Governing Council of SMS Lucknow is fundamental head of institution & provides leadership to the institute and frames the policies that are to be executed by committees of institute. The meetings of the Governing Council are held annually. The Council takes note of activities done and gives direction about actions required in the coming year.

The Director, is responsible for both the academic and administrative functioning of the Institute. The Dean, HOD and Program Coordinators are responsible for the smooth academic functioning.

The IQAC is the axial agency acting for internal quality assurance and enhancement. The Institute also has different Committees/ Cells which play an important role in planning and implementation of various activities.

The institute has dedicated unit for maintenance and repair work under Chief General Manager. The CGM gets the requirements for maintenance and upkeep of various facilities and equipments through the in-charges on a time bound basis which is then sanctioned for implementation as per the need. The Institute has a well defined recruitment policy & service rules for teaching and non-teaching staff. The Performance Appraisal System and the promotion policy (HR Policy) are duly implemented and available with the office of the Registrar at the Institute.

File Description	Documents
Paste link for additional information	Nil
Link to Organogram of the institution webpage	https://www.smslucknow.ac.in/organogram
Upload any additional information	View File

6.2.3 - Implementation of e-governance in areas of operation Administration Finance and Accounts Student Admission and Support Examination

C. Any 2 of the above

File Description	Documents
ERP (Enterprise Resource Planning) Document	View File
Screen shots of user inter faces	View File
Any additional information	No File Uploaded
Details of implementation of e-governance in areas of operation, Administration etc(Data Template)	View File

6.3 - Faculty Empowerment Strategies

6.3.1 - The institution has effective welfare measures for teaching and non- teaching staff

The institute has a very distinct human resource policy by which it practises Human resource planning, development and recruitment, salary administration, training and development and staff welfare. Duties and task are assigned to teaching and non-teaching staff based on their capabilities.

- The institute provide transport facility and staff residence to its teaching and non-teaching staff at subsidized rates.
- The institution Provides Group Insurance Policy, EPF and ESIC to all the eligible members.
- Faculty members and staff members on regular appointment are eligible to take leaves as defined in service policy. Institute gives leaves such as Casual and Maternity Leave. A provision to avail study leave who are willing to pursue higher studies is given who has completed 5 years of continuous service and on half pay. OD for doing official

work out side the campus as per need is also provided.

- The performance of faculty members and staff members are evaluated on certain parameters and they are being recognised /awarded by the management.
- Class IV employees are given uniform by college from time to time.
- Institute provide financial assistance to faculty members for presenting papers in conferences.

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/uploads/topics/16771339354840.pdf
Upload any additional information	View File

6.3.2 - Number of teachers provided with financial support to attend conferences/ workshops and towards membership fee of professional bodies during the year

6.3.2.1 - Number of teachers provided with financial support to attend conferences/workshops and towards membership fee of professional bodies during the year

17

File Description	Documents
Upload any additional information	No File Uploaded
Details of teachers provided with financial support to attend conference, workshops etc during the year (Data Template)	View File

6.3.3 - Number of professional development /administrative training programs organized by the institution for teaching and non-teaching staff during the year

6.3.3.1 - Total number of professional development /administrative training Programmes organized by the institution for teaching and non teaching staff during the year

7

File Description	Documents
Reports of the Human Resource Development Centres (UGCASC or other relevant centres).	No File Uploaded
Reports of Academic Staff College or similar centers	No File Uploaded
Upload any additional information	No File Uploaded
Details of professional development / administrative training Programmes organized by the University for teaching and non teaching staff (Data Template)	View File

6.3.4 - Number of teachers undergoing online/face-to-face Faculty development Programmes (FDP) during the year (Professional Development Programmes, Orientation / Induction Programmes, Refresher Course, Short Term Course etc.)

6.3.4.1 - Total number of teachers attending professional development Programmes viz., Orientation / Induction Programme, Refresher Course, Short Term Course during the year

29

File Description	Documents
IQAC report summary	View File
Reports of the Human Resource Development Centres (UGCASC or other relevant centers)	No File Uploaded
Upload any additional information	No File Uploaded
Details of teachers attending professional development programmes during the year (Data Template)	View File

6.3.5 - Institutions Performance Appraisal System for teaching and non- teaching staff

A performance appraisal system for teaching staff is in place. Annual Increments and Promotions are dependent on the appraisal. The system has a self-appraisal format that needs to be filled up by each faculty annually and submitted to the HOD.

The HOD does their evaluation and submits it to the Director and who in turn send his recommendation to the CEO & Secretary, for final decision and outcome of the appraisal.

As far as staff is concerned the immediate supervisor/departmental in-charge does an evaluation of the work of the staff and submits the recommendations to the registrar/CGM (Administration) thereafter submitted to the CEO & Secretary for final decision.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

6.4 - Financial Management and Resource Mobilization

6.4.1 - Institution conducts internal and external financial audits regularly Enumerate the various internal and external financial audits carried out during the year with the mechanism for settling audit objections within a maximum of 200 words

With regard to internal audit, it is conducted every six months and it was conducted from 17th to 18th October 2022 for the period of April to September 2022 and from 27th to 28th April, 2023 for the period of October 2022 to March 2023. Mechanism for Internal and External Audit is as follows.

Internal Audit: The officer in-charge scrutinizes and verifies the financial data, again scrutinized by the Finance and Account Officer for clarity, authenticity, transparency and accuracy. Income/Expenditure is closely monitored by the Accounts department, secretary and treasurer of the society. Proper purchase procedure is adopted.

External Audit: Like internal, external audit is also conducted every six months from a professional registered chartered accountant or firm. It was conducted on 28th to 30th October, 2022 for the period of April to September 2022 and from 4th to 7th May 2023 for the period of October 2022 to March 2023. The bills and vouchers of the revenue and capital expenditure are checked and verified. The audit objections/compliance, if any, is handled by the Accounts Department and verified.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	No File Uploaded

6.4.2 - Funds / Grants received from non-government bodies, individuals, philanthropers during the year (not covered in Criterion III)

6.4.2.1 - Total Grants received from non-government bodies, individuals, Philanthropers during the year (INR in Lakhs)

0

File Description	Documents
Annual statements of accounts	No File Uploaded
Any additional information	No File Uploaded
Details of Funds / Grants received from of the non-government bodies, individuals, Philanthropers during the year (Data Template)	No File Uploaded

6.4.3 - Institutional strategies for mobilization of funds and the optimal utilization of resources

Majority of the funds is mobilized through realization of the fee for the various course.

Budget is prepared for the optimal utilization of funds.

Expenditure is regularly monitored to keep the funds flow in the right order.

Alternative sources for mobilization of resources through other channels are also explored such as funding for Seminars / Conferences / Workshops / Faculty Development Programs. Grants from AICTE, DST, CST, IEI, Handicraft Department of Government of India, Dr. APJ Abdul Kalam, Technical University, etc. are being explored and also have received grants from such bodies during the past years. Additional Revenue source is through Testing Centre of ETS Testing Partner conducting global level tests like TOEFL, GRE etc

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

6.5 - Internal Quality Assurance System

6.5.1 - Internal Quality Assurance Cell (IQAC) has contributed significantly for institutionalizing the quality assurance strategies and processes

IQAC conducts regular meeting wherein all the members of IQAC meet make strategies and decides on the future course of action to improve the education, administration, and other co-curricular activities of the institute.

IQAC is also responsible for making academic calendar. Three calendars are prepared namely Academic calendar, administrativecalendar, and co-curricular activity calendar.

Value added programs for different courses such as Professional selling for MBA 1st semester, GST for MBA 2nd year, and MATLAB for B.Tech students.

Seminars and FDP are organised under IQAC initiative like Placement preparation workshop, CISCO certificate and training, personality training and assessment workshop, NISM training and many more.

Training programs, seminars, and MDPs for faculty members and encourage them to use modern technology and pedagogy for teaching learning process.

It has also established a centre for employability enhancement.

Organised national conference SDG-9 and SPEEDS.

IQAC regularly monitors and periodically conduct Internal Quality Audits to check on the effectiveness of the measures taken. IQAC focuses on outcome-based education learning by defining program objective and program outcome for each program.

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/uploads/topics/17022891899827.pdf
Upload any additional information	No File Uploaded

6.5.2 - The institution reviews its teaching learning process, structures & methodologies of operations and learning outcomes at periodic intervals through IQAC set up as per norms and recorded the incremental improvement in various activities

At the beginning of the academic session faculty members are asked to give at least 8 subject preferences. After taking the preferences, subjects are allocated while making the time table as per the choice and specialisation. Faculty members prepare the course file which has lecture plan that includes the learning outcome. They also maintain a record of their classes manually in "Academic control sheet" which is reviewed for the course coverage, and the delivery of the teaching learning in accordance with the plan. The attendance is recorded in the ERP on regular basis. The director regularly reviews the teaching learning process by each faculty, in each semester.

Students are continuously assessed using different component like sessional exams, presentations, assignments. Class room activities are regularly reviewed by the directors/HODs/Coordinators. At the end of the session faculty feedback is taken from the students which is again reviewed by the heads and improvements are suggested based on the feedback.

In the engineering department the mentoring of the lesson plans as per prepared by each faculty are duly recorded in the lecture schedule. This is periodically reviewed by the director general (Technical) and dean to suggest areas of improvement that can improve the quality outcome of the teaching-learning process.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	No File Uploaded

6.5.3 - Quality assurance initiatives of the institution include: Regular meeting of

A. All of the above

**Internal Quality Assurance Cell (IQAC);
Feedback collected, analyzed and used for
improvements Collaborative quality
initiatives with other institution(s)
Participation in NIRF any other quality audit
recognized by state, national or international
agencies (ISO Certification, NBA)**

File Description	Documents
Paste web link of Annual reports of Institution	Nil
Upload e-copies of the accreditations and certifications	View File
Upload any additional information	No File Uploaded
Upload details of Quality assurance initiatives of the institution (Data Template)	View File

INSTITUTIONAL VALUES AND BEST PRACTICES

7.1 - Institutional Values and Social Responsibilities

7.1.1 - Measures initiated by the Institution for the promotion of gender equity during the year

Gender equality is a human right and a pre-condition for sustainable, people-oriented development.

SMS Lucknow has zero tolerance policy towards sexual harassment and is committed to provide a safe environment.

Some of the important Commemorative Days and Festivals observed at SMS Lucknow

S.No.

Event& Festivals

1

New Year

2

Republic Day

3

National Youth Day

4

Basant Panchami (Saraswati Puja)

5

Mahashivratri

6

National Girl Child Day

7

National Voter's Day

8

International Women's Day

9

Holi

10

National Vaccination Day

11

Ram Navmi

12

Ambedkar Jayanti

13

World Earth Day

14

Mother's Day

15

World Environment Day

16

World Blood Donor Day

17

International Yoga Day

18

Independence Day

19

Eid-e-Milad

20

Janamasthmi

21

Father's Day

22

National Sports Day

23

Teacher's Day

24

World Literacy Day

25

Engineer's Day

26

World Ozone Day

27

Vishwakarma Puja

28

NSS Day

29

Gandhi Jayanti

30

National Unity Day (Ekta Divas)

31

Dussehra

32

Diwali

33

Children's Day

34

National Constitution Day

35

Guru Purnima

36

Christmas

File Description	Documents
Annual gender sensitization action plan	https://smslucknow.ac.in/uploads/media/7.1.1%20Action%20Plan.pdf
Specific facilities provided for women in terms of: a. Safety and security b. Counseling c. Common Rooms d. Day care center for young children e. Any other relevant information	https://smslucknow.ac.in/uploads/media/7.1.1%20Facilities%20&%20security%20measures.pdf

7.1.2 - The Institution has facilities for alternate sources of energy and energy conservation measures Solar energy Biogas plant Wheeling to the Grid Sensor-based energy conservation Use of LED bulbs/ power efficient equipment

A. 4 or All of the above

File Description	Documents
Geo tagged Photographs	View File
Any other relevant information	View File

7.1.3 - Describe the facilities in the Institution for the management of the following types of degradable and non-degradable waste (within 200 words) Solid waste management Liquid waste management Biomedical waste management E-waste management Waste recycling system Hazardous chemicals and radioactive waste management

File Description: SMS Lucknow is committed to "zero waste" and reducing the environmental impact of its activities through its philosophy of "reduce-reuse-recycle". A prudent budgeting approach is adopted in what we purchase as a first step towards reducing waste.

- **Solid waste: Solid waste produced from the campus in form of glass, plastics, food wastes, wood, paper, metals, cardboard materials are deposed to the local vendor (Kabadi) on a regular basis..**

• **E-waste:** The E -waste generated due to disposable and redundant computer systems and accessories are disposed off as a buy-back option from the suppliers.

Liquid waste: The liquid generated from the human excreta from the hostel and the campus are disposed into the five soak pits throughout the campus.

File Description	Documents
Relevant documents like agreements / MoUs with Government and other approved agencies	No File Uploaded
Geo tagged photographs of the facilities	View File

7.1.4 - Water conservation facilities available in the Institution: Rain water harvesting Bore well /Open well recharge Construction of tanks and bunds Waste water recycling Maintenance of water bodies and distribution system in the campus

A. Any 4 or all of the above

File Description	Documents
Geo tagged photographs / videos of the facilities	View File
Any other relevant information	View File

7.1.5 - Green campus initiatives include

7.1.5.1 - The institutional initiatives for greening the campus are as follows:

1. Restricted entry of automobiles
2. Use of bicycles/ Battery-powered vehicles
3. Pedestrian-friendly pathways
4. Ban on use of plastic
5. Landscaping

A. Any 4 or All of the above

File Description	Documents
Geo tagged photos / videos of the facilities	View File
Various policy documents / decisions circulated for implementation	View File
Any other relevant documents	No File Uploaded

7.1.6 - Quality audits on environment and energy are regularly undertaken by the institution

7.1.6.1 - The institutional environment and energy initiatives are confirmed through the following 1.Green audit 2. Energy audit 3.Environment audit 4.Clean and green campus recognitions/awards 5. Beyond the campus environmental promotional activities	A. Any 4 or all of the above
--	-------------------------------------

File Description	Documents
Reports on environment and energy audits submitted by the auditing agency	View File
Certification by the auditing agency	View File
Certificates of the awards received	No File Uploaded
Any other relevant information	No File Uploaded

7.1.7 - The Institution has disabled-friendly, barrier free environment Built environment with ramps/lifts for easy access to classrooms. Disabled-friendly washrooms Signage including tactile path, lights, display boards and signposts Assistive technology and facilities for persons with disabilities (Divyangjan) accessible website, screen-reading software, mechanized equipment 5. Provision for enquiry and information : Human assistance, reader, scribe, soft copies of reading material, screen reading	B. Any 3 of the above
---	------------------------------

File Description	Documents
Geo tagged photographs / videos of the facilities	View File
Policy documents and information brochures on the support to be provided	View File
Details of the Software procured for providing the assistance	No File Uploaded
Any other relevant information	No File Uploaded

7.1.8 - Describe the Institutional efforts/initiatives in providing an inclusive environment i.e., tolerance and harmony towards cultural, regional, linguistic, communal socioeconomic and other diversities (within 200 words).

SMS Lucknow exhibits a culture of tolerance towards amalgamation of multi-cultural student diversity.

- Students of diverse religion, caste, geographic regions, languages, cultural backgrounds in the hostels.
- Merit based scholarships are provided to the deserving students, without any discrimination of culture, caste, religion or region.
- Employees of diverse religion, caste and religious beliefs work as a team for the goal of the Institute.
- The Institute celebrates cultural events and festivals
- The Constitution Day, National Integration Day, Human Rights Day etc. are also observed.
- Educational walks are organized to historical sites to sensitize the students.
- Hobby Clubs and the Cultural Committee organize various activities.
- The volunteers under the Unnat Bharat Abhiyan and the NSS conduct community development activities. .
- The Institute encourages the students and also contributes in extension activities that benefit the less privileged persons.
- The Institute provides scholarships to students of socio-economically underprivileged.

In nutshell, it can be stated that SMS Lucknow fosters universal brotherhood, and tolerance towards diversity.

File Description	Documents
Supporting documents on the information provided (as reflected in the administrative and academic activities of the Institution)	View File
Any other relevant information	No File Uploaded

7.1.9 - Sensitization of students and employees of the Institution to the constitutional obligations: values, rights, duties and responsibilities of citizens

School of Management Sciences, Lucknow believes in holistic development of its students and employees and in integration of society. Universal Human Values and Professional Ethics is a common course for all B.Tech and MBA students. Constitution of India, Laws & Engineering is a common subject in B.Tech 3rd year and at UG level in Management, Rashtriya Gaurav and Environmental Studies is a common subject.

- Awareness is created amongst students and employees through the observation of the patriotic fervor on Independence Day and Republic Day, Constitution Day, National Integration Day, Human Rights Day, Ekta Diwas, National Unity Days etc.
- The Institute organizes several interactive sessions through the student clubs, Hobby Clubs and the Cultural Activities Committee and organizes street plays, lectures, and discussion forums, Seminars, Workshops, Expert talks, legal aid and legal awareness camps related to Fundamental Rights and Duties and visit to historical sites to sensitize the students.

Banners and Posters related to Fundamental Rights and Duties have been installed at various strategic locations in the campus to sensitize both the students and the employees.

File Description	Documents
Details of activities that inculcate values; necessary to render students in to responsible citizens	https://smslucknow.ac.in/uploads/media/7.1.9%20(Support%20Docs.).pdf
Any other relevant information	Nil

7.1.10 - The Institution has a prescribed code

A. All of the above

of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard. The Code of Conduct is displayed on the website There is a committee to monitor adherence to the Code of Conduct Institution organizes professional ethics programmes for students, teachers, administrators and other staff 4. Annual awareness programmes on Code of Conduct are organized

File Description	Documents
Code of ethics policy document	View File
Details of the monitoring committee composition and minutes of the committee meeting, number of programmes organized, reports on the various programs etc., in support of the claims	View File
Any other relevant information	No File Uploaded

7.1.11 - Institution celebrates / organizes national and international commemorative days, events and festivals

File Description: SMS Lucknow organizes national festivals as well as Anniversaries for the great Indian Personalities this include.

1. Teachers' day
2. International Women's day
3. International Yoga day
4. Independence day
5. Republic day
6. World Environment Day
7. NSS day
8. Earth Day

Birth and Death of anniversary:

1. Mahatma Gandhi Jayanti
2. Dr. B. R. Ambedkar Jayanti

Institute organizes national festivals and birth anniversaries of great Indian personalities.

1. 26th January - Republic Day is celebrated every year on January 26 to commemorate the adoption of our constitution.
2. 15th August - It is celebrated every year on August 15, and is a grand event marked with the flag hosting .
3. 5th September - we celebrate Dr. Sarvpalli Radha Krishnan's birthday as Teacher's Day.
4. 2nd October - Gandhi Jayanti is praised in our Institute on 2nd October of consistently to stamp the birth commemoration of Mahatma Gandhi.
5. Engineers day - We celebrate this day on 15th September as an exceptional tribute to Bharat Ratna Mokshagundam Visvesvaraya.

File Description	Documents
Annual report of the celebrations and commemorative events for the last (During the year)	View File
Geo tagged photographs of some of the events	View File
Any other relevant information	No File Uploaded

7.2 - Best Practices

7.2.1 - Describe two best practices successfully implemented by the Institution as per NAAC format provided in the Manual.

Best Practice 1

- Title of the Practice:

Conduct of Employability Enhancement Programme (EEP).

- Objectives of the Practice:

SMS Lucknow aims at churning out industry ready professionals. The T&P Cell provides trainings to fill the gaps of the demands from the industry and enhance the employability.

- The Context:

Due to the poor level of employability of graduating students.

- The Practice:

The conduct of EEP program includes:

Taking feedback from the recruiters, alumni and the corporate experts about skills required by the industry, a EEP syllabus is worked out for each year for B.Tech and MBA students.

- Problem Encountered & Resources Required:

Training the vernacular medium learners to attain expertise in English communication is a real challenge.

Best Practice 2

- Title of the Practice:

Implementation of the "Yoga, Meditation and Prayer"

- Objectives of the Practice:

To provide alignment of the physical body, the mental state and the energy level of the students.

- The Practice:

"Vedic Science Centre" was established as a Centre of Excellence in 2015.

- Problems Encountered and Resources Required:

The academic curriculum, fixed time frame poses a constraint in finding additional time for the regular practice of Yoga.

File Description	Documents
Best practices in the Institutional website	https://www.smslucknow.ac.in/training-program
Any other relevant information	Nil

7.3 - Institutional Distinctiveness

7.3.1 - Portray the performance of the Institution in one area distinctive to its priority and thrust within 200 words

The institute aims at instilling a sense of self-discipline and accountability among students and developing respect for democratic, ethical, and moral values.

Process:

- The Institute has Training & Placement Cell which impart Employability Enhancement Program (EEP) and soft skills to students.
- Students work SMS to create meaningful and innovative Projects. One of the projects of the design of Air Engine that runs on air is patented and features in Limca Book of Records.
- Value-added courses and certifications on relevant topics bridge the gap between the universities governed syllabi and the requirements of industry.
- To bring out the concealed talents, students regularly conducts various extra-curricular activities and cultural events under the Hobby Clubs and the Students Club.
- Academic and administrative planning and execution are well coordinated by the IQAC for a smooth progress, and fulfil the development objectives, directives, and specific plans for the same.

Evidence of Success:

- . There have been over 98% placements in the PG Programs, over 70% placements in the technical programs and over 60% placements in the UG programs.

File Description	Documents
Appropriate web in the Institutional website	View File
Any other relevant information	No File Uploaded

7.3.2 - Plan of action for the next academic year

School of Management Sciences, Lucknow has made step-wise plans to implement all round improvement strategies in the coming year 2023-24. Some of these annual strategic initiatives are enumerated below:

- Focus on recruitment of more faculties with Ph.D. qualification in all the programs.
- Offer more add-on Courses so that the students gain additional knowledge which is required by the industry.
- Participate in NIRF.
- Aim to get NBA Accreditation for the eligible programs.
- Strengthen the ICT infrastructure by adding Smart Boards for teaching and learning and also making more classes equipped with ICT facilities.
- Secure membership of Professional Bodies for our faculty members.
- Conduct Gender sensitization initiatives.
- Implement a full ERP for the major processes at the campus like admission, examination etc.
- Increase the CSR (Extension) Activities.
- Get more faculties to publish research papers in SCI/Scopus / Web of Sciences/UGC Care Journals etc.
- Get more faculties to publish patents.
- Implementation of MoUs for enhanced quality of Internships / Final Placements and MDPs.
- Strengthen the Alumni Data base with opening of more Alumni Chapters.
- Aim to get autonomy.