



YEARLY STATUS REPORT - 2020-2021

Part A

Data of the Institution

Part A	
Data of the Institution	
1.Name of the Institution	SCHOOL OF MANAGEMENT SCIENCES LUCKNOW
• Name of the Head of the institution	DR. MANOJ MEHROTRA
• Designation	DIRECTOR
• Does the institution function from its own campus?	Yes
• Phone no./Alternate phone no.	05222238116
• Mobile No:	9919777701
• Registered e-mail	director@smslucknow.ac.in
• Alternate e-mail	registrar@smslucknow.ac.in
• Address	19TH KM STONE, SULTANPUR ROAD, GOSAINGANJ, LUCKNOW
• City/Town	LUCKNOW
• State/UT	Uttar pradesh
• Pin Code	226501
2.Institutional status	
• Affiliated / Constitution Colleges	Affiliated College
• Type of Institution	Co-education
• Location	Semi-Urban

• Financial Status	Self-financing				
• Name of the Affiliating University	Dr. A.P.J. Abdul Kalam Technical University & University of Lucknow				
• Name of the IQAC Coordinator	DR. SUCHITA VISHWAKARMA				
• Phone No.	05222238116				
• Alternate phone No.	9792633331				
• Mobile	9839323650				
• IQAC e-mail address	iqac@smslucknow.com				
• Alternate e-mail address	suchitavishwakarma@smslucknow.ac.in				
3.Website address (Web link of the AQAR (Previous Academic Year))	https://smlucknow.ac.in/uploads/topics/16554711449410.pdf				
4.Whether Academic Calendar prepared during the year?	Yes				
• if yes, whether it is uploaded in the Institutional website Web link:	https://smlucknow.ac.in/uploads/topics/16599325915253.pdf				
5.Accreditation Details					
Cycle	Grade	CGPA	Year of Accreditation	Validity from	Validity to
Cycle 1	B++	2.8	2017	30/10/2017	30/10/2022
6.Date of Establishment of IQAC			29/09/2013		
7.Provide the list of funds by Central / State Government UGC/CSIR/DBT/ICMR/TEQIP/World Bank/CPE of UGC etc.,					
Institutional/Department /Faculty	Scheme	Funding Agency	Year of award with duration	Amount	
Institutional 1	PMRPY & PMPRPY/ABRY	EPFO	2020-2021	712584	
8.Whether composition of IQAC as per latest NAAC guidelines			Yes		

<ul style="list-style-type: none"> • Upload latest notification of formation of IQAC 	View File
9.No. of IQAC meetings held during the year	4
<ul style="list-style-type: none"> • Were the minutes of IQAC meeting(s) and compliance to the decisions have been uploaded on the institutional website? 	Yes
<ul style="list-style-type: none"> • If No, please upload the minutes of the meeting(s) and Action Taken Report 	No File Uploaded
10.Whether IQAC received funding from any of the funding agency to support its activities during the year?	No
<ul style="list-style-type: none"> • If yes, mention the amount 	
11.Significant contributions made by IQAC during the current year (maximum five bullets)	
<p>1.A CEO's Conclave titled "Managing Excellence with New Normal: CEO's Perspective" was conducted through a Webinar on 18th July 2020. 2. Dr. Mahendra Srivastava conducted a MDP session for Employees of VIVO Mobiles on the topic "Stop Wishing Start Doing- Leadership Skills, Team Management and How to Set Priorities" on the 20th of January, 2021. More than 80 senior officials of VIVO (i.e. from AVP - DGM) and amongst them 4 Chinese Officials attended. 3. Workshop on "Gender Sensitization" was organized on 30th January, 2021 as an IQAC initiative. The workshop was conducted by Ms.Tulika Srivastava, Executive Director, Women's Fund Asia. 4. A Workshop on "Intellectual Property Rights" was conducted on 13th February 2021 by Advocate Rakesh Kumar Singh, Founder & Partner, RKS Associate, Mumbai. 5. A Seminar on "National Education Policy 2020" was conducted on 25th March 2021.</p>	
12.Plan of action chalked out by the IQAC in the beginning of the Academic year towards Quality Enhancement and the outcome achieved by the end of the Academic year	

Plan of Action	Achievements/Outcomes
To get NBA for MBA, Conduct of FDP, Conduct of workshop on IPR, To get NIRF ranking	Workshops on IPR and FDP were conducted, NIRF also submitted, Application for NBA is in process
13. Whether the AQAR was placed before statutory body?	Yes
<ul style="list-style-type: none"> Name of the statutory body 	
Name	Date of meeting(s)
Governing Council	31/10/2020
14. Whether institutional data submitted to AISHE	
Year	Date of Submission
2020	25/02/2020
15. Multidisciplinary / interdisciplinary	
<p>The Institute is rightly envisioned to offer multidisciplinary and interdisciplinary education as was prevalent during the times of Aristotle and Kautilya. However the Institute is bound by the syllabi of the affiliating universities (University of Lucknow and Dr. APJ Abdul Kalam Technical University, Lucknow). LU has pioneered in implementation of NEP 2020 from the academic session 2021-22. The Institute follows the NEP syllabus as implemented by LU for BBA, B.Com., B.Sc. and B.Voc (Software Development) programs, having a multidisciplinary flexible curriculum that enables multiple entry and exits at the end of 1st, 2nd and 3rd years of undergraduate education. SMS currently offers 7 Programs of B.Tech. (CSE, AI & DS, CSE (AI&ML), CSE (IOT), ME, CE, & EE); and MBA program with dual specialization from a choice of 4 specializations. AKTU has also introduced a system of obtaining 20 credits through NPTEL SWAYAM courses for getting B.Tech. degree with Honours. The students are required to obtain 10 credits from courses on Humanities, Management, Commerce and other streams of their choice.</p>	
16. Academic bank of credits (ABC):	
SMS Lucknow is registered member of National Academic Depository (NAD) and it will follow the guidelines of affiliating universities	

to register the credits earned by the students in the ABC when implemented.

17.Skill development:

Value-added Skill Enhancement Courses are regularly conducted at SMS to equip the students with life-skills. The Employability Enhancement Programme (EEP) is implemented as one of the Best Practices at the Institute. B.Voc (SD) is a skill development program that follows the NSQF. SMS had also earlier operated skill based courses under the PMKVY. Internships, live projects, role plays and hands on laboratory training also contribute in developing the skills of the students. The Institute also provides value-based education like universal human values and Constitutional rights and duties.

18.Appropriate integration of Indian Knowledge system (teaching in Indian Language, culture, using online course)

The Institute is committed to encourage multiculturalism through the courses offered as well the cultural activities of various clubs. The Vedic Science Centre functions to inculcate a sense of application of the rich knowledge hidden in the Vedas and the Indian Scriptures for the enhancement of scientific pursuits and benefit of mankind. Bi-lingual method of teaching is followed for B.Com program and students have the choice of answering in English or Hindi in the semester end examination. Books in vernacular language for B.Tech programs also available.

19.Focus on Outcome based education (OBE):Focus on Outcome based education (OBE):

The Institute has already adopted outcome based education (OBE) system as envisaged in NEP. The aim of OBE is to monitor and improve teaching-learning quality and to evaluate the knowledge and skills attainment of the students. The learning level attainment is assessed both by direct and indirect methods of the attainment of POs, and COs.

20.Distance education/online education:

During the pandemic, regular online classes were held for each course. Some of the faculty members have recorded their lectures and uploaded on YouTube channel of the institute. Online quiz, assignments and other assessments still continue to be conducted. A few of the faculty members have live recorded their lectures at AKTU and which are relayed on Swayamprabha Channel 15 for the students. The Institute can only offer distance/online programs when allowed by the regulatory bodies.

Extended Profile

1.Programme	
1.1	510
Number of courses offered by the institution across all programs during the year	
File Description	Documents
Data Template	View File
2.Student	
2.1	1387
Number of students during the year	
File Description	Documents
Data Template	View File
2.2	425
Number of seats earmarked for reserved category as per GOI/ State Govt. rule during the year	
File Description	Documents
Data Template	View File
2.3	372
Number of outgoing/ final year students during the year	
File Description	Documents
Data Template	View File
3.Academic	
3.1	85
Number of full time teachers during the year	
File Description	Documents
Data Template	View File

3.2	109
Number of Sanctioned posts during the year	
File Description	Documents
Data Template	View File
4.Institution	
4.1	45
Total number of Classrooms and Seminar halls	
4.2	533.33
Total expenditure excluding salary during the year (INR in lakhs)	
4.3	453
Total number of computers on campus for academic purposes	

Part B

CURRICULAR ASPECTS

1.1 - Curricular Planning and Implementation

1.1.1 - The Institution ensures effective curriculum delivery through a well planned and documented process

School of Management Sciences, Lucknow has developed various plans and practices for effective curriculum delivery. Academic planning is done prior to start of each semester and frequent monitoring and follow-up is taken by Director and HODs. Some of the steps adopted by the institute are as under:

- An Academic Calendar is drawn at the commencement of each academic year.
- Subjects are allotted to faculty members as per their expertise and specialization.
- All the Faculty Members prepare the Lesson Plan / Lecture Schedule .
- At the start of each semester each teacher makes students aware about the course objectives and course outcomes.
- The Faculty Members design and deliver their lectures in such a manner that make learning effective and interesting. Teachers use ICT tools.
- Faculty members regularly fill up the Academic Control Sheet

(ACS) in physical mode in their Course File and upload the same in Daily Class Performance Report (DCPR) a software developed by the college.

- Relevant text books are recommended by the teachers and the references of topics are also mentioned in the Lecture Plan.
- Recommended text books are kept in the college library.
- Periodic review of the course coverage is also done by the Director / Principal and Dean.

File Description	Documents
Upload relevant supporting document	View File
Link for Additional information	0

1.1.2 - The institution adheres to the academic calendar including for the conduct of Continuous Internal Evaluation (CIE)

SMS Lucknow, adheres to the Academic Calendar published by the University at the start of each academic year. It specifies a teaching, examination, semester break, and holiday calendar that the institution must adhere to in order for its teaching and administrative procedures to run smoothly and efficiently. Within the same framework, the college creates its own schedule of events and activities prior to the start of the academic session.

Teachers create their teaching schedules, class assessments and assignments in line with their assigned time tables for the aim of completing a Continuous Internal Evaluation.

Multiple evaluations are done with the goal of allowing students to incorporate teacher suggestions, making learning a continuous process.

The syllabus and evaluation components of project work, field work, and presentations are structured around academic calendars.

The above-mentioned field work, project work, excursions, industrial visits, and other activities that are a fundamental component of the CIE are done during the mid-semester break, which is a semester break provided by the university.

Everything we do at the college is focused on offering structured,

transformative education to our students, with accessibility, comprehensibility, and transparency as our watchwords.

File Description	Documents
Upload relevant supporting documents	View File
Link for Additional information	0

1.1.3 - Teachers of the Institution participate in following activities related to curriculum development and assessment of the affiliating University and/are represented on the following academic bodies during the year.
D. Any 1 of the above
Academic council/BoS of Affiliating University
Setting of question papers for UG/PG programs
Design and Development of Curriculum for Add on/ certificate/ Diploma Courses
Assessment /evaluation process of the affiliating University

File Description	Documents
Details of participation of teachers in various bodies/activities provided as a response to the metric	View File
Any additional information	No File Uploaded

1.2 - Academic Flexibility

1.2.1 - Number of Programmes in which Choice Based Credit System (CBCS)/ elective course system has been implemented

1.2.1.1 - Number of Programmes in which CBCS/ Elective course system implemented

9

File Description	Documents
Any additional information	No File Uploaded
Minutes of relevant Academic Council/ BOS meetings	No File Uploaded
Institutional data in prescribed format (Data Template)	View File

1.2.2 - Number of Add on /Certificate programs offered during the year**1.2.2.1 - How many Add on /Certificate programs are added during the year. Data requirement for year: (As per Data Template)**

6

File Description	Documents
Any additional information	No File Uploaded
Brochure or any other document relating to Add on /Certificate programs	View File
List of Add on /Certificate programs (Data Template)	View File

1.2.3 - Number of students enrolled in Certificate/ Add-on programs as against the total number of students during the year

180

1.2.3.1 - Number of students enrolled in subject related Certificate or Add-on programs during the year

180

File Description	Documents
Any additional information	No File Uploaded
Details of the students enrolled in Subjects related to certificate/Add-on programs	View File

1.3 - Curriculum Enrichment

1.3.1 - Institution integrates crosscutting issues relevant to Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum

Gender Sensitivity:

- Equal opportunities are provided to all genders in terms of admissions, employment, co-curricular and extra-curricular activities.
- The Institute has Women's Anti-Harassment Committee, Grievance Redressal Cell, and Proctorial Board.
- Gender sensitization workshops.

Environment and Sustainability:

- SMS has implemented usage of Solar Energy (Sustainable) for its energy needs.
- "Rashtra Gaurav and Environment Studies" is in the curriculum of UG Programs.
- Environmental Engineering, Water Resources, Solid Waste Management and Renewal Energy Resources are part of curriculum.
- SMS organizes SPEEDS Seminars.
- SMS has organized Green Quest, Run for Green, Earth Day, World Environment Day, Water Conservation Day.
- Tree Plantation drives are conducted.

Human Values and Professional Ethics:

- Universal Human Values for B.Tech 4th Semester; "Understanding the Human Being Comprehensively-Human Aspirations and Its Fulfillment" for B.Tech 7th Semester; and "Universal Human Values and Professional Ethics" for MBA 4th Semester as part of the Curriculum.
- "Leadership by Indian Ethos" was a course in PGDM 4th Semester.
- 'Law' and 'Ethics' are part of the curriculum in UG programs.
- Blood Donation Camp, Old Clothes Distribution, Blanket distribution, food packet distribution drives and have contributed in the development of 5 villages adopted under and Unnat Bharat Abhiyan 2.0.

File Description	Documents
Any additional information	View File
Upload the list and description of courses which address the Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum	View File

1.3.2 - Number of courses that include experiential learning through project work/field work/internship during the year

26

File Description	Documents
Any additional information	No File Uploaded
Programme / Curriculum/ Syllabus of the courses	View File
Minutes of the Boards of Studies/ Academic Council meetings with approvals for these courses	No File Uploaded
MoU's with relevant organizations for these courses, if any	No File Uploaded
Number of courses that include experiential learning through project work/field work/internship (Data Template)	View File

1.3.3 - Number of students undertaking project work/field work/ internships

476

File Description	Documents
Any additional information	No File Uploaded
List of programmes and number of students undertaking project work/field work/ /internships (Data Template)	View File

1.4 - Feedback System

1.4.1 - Institution obtains feedback on the syllabus and its transaction at the institution from the following stakeholders Students Teachers Employers Alumni

A. All of the above

File Description	Documents
URL for stakeholder feedback report	View File
Action taken report of the Institution on feedback report as stated in the minutes of the Governing Council, Syndicate, Board of Management (Upload)	View File
Any additional information(Upload)	View File

1.4.2 - Feedback process of the Institution may be classified as follows

A. Feedback collected, analyzed and action taken and feedback available on website

File Description	Documents
Upload any additional information	View File
URL for feedback report	https://smslucknow.ac.in/uploads/topics/16555288086562.pdf

TEACHING-LEARNING AND EVALUATION

2.1 - Student Enrollment and Profile

2.1.1 - Enrolment Number Number of students admitted during the year

2.1.1.1 - Number of sanctioned seats during the year

850

File Description	Documents
Any additional information	No File Uploaded
Institutional data in prescribed format	View File

2.1.2 - Number of seats filled against seats reserved for various categories (SC, ST, OBC, Divyangjan, etc. as per applicable reservation policy during the year (exclusive of supernumerary seats)

2.1.2.1 - Number of actual students admitted from the reserved categories during the year

195

File Description	Documents
Any additional information	View File
Number of seats filled against seats reserved (Data Template)	View File

2.2 - Catering to Student Diversity

2.2.1 - The institution assesses the learning levels of the students and organizes special Programmes for advanced learners and slow learners

Special strategies for advanced learners:

- Motivation and special guidance is provided by their mentors.
- Preference to undertake live projects and Industrial visits.
- Provision of extra books from library.
- Career oriented counseling is provided for improved placement outcomes.
- Encouraging upgrading their knowledge through add-on courses, and online courses through Swayam NPTEL, and other MOOC platforms.
- Facilitating them to publish research papers jointly with faculty members.
- Encouraging them to participate in co-curricular activities and inter-college competitions.
- Some faculty members employ the buddy system and peer learning wherein students are grouped and encouraged to undertake collaborative and co-operative learning.

Special strategies for slow learners:

- Tutorials and Remedial classes are organized.
- Question paper solving of previous years is done.
- Bilingual explanations and discussions are done.
- Home assignments are given and evaluated on a regular basis.
- Career guidance and personal counseling is provided.
- Peer learning and team projects are allotted for better understanding of the concepts..
- Special doubt clarification sessions are held before university examination.
- The classes are made more interactive for easy understanding.
- Encouraged to meet the faculty members beyond class hours to help clear their doubts/difficulties.

File Description	Documents
Link for additional Information	0
Upload any additional information	View File

2.2.2 - Student- Full time teacher ratio (Data for the latest completed academic year)

Number of Students	Number of Teachers
1387	85

File Description	Documents
Any additional information	View File

2.3 - Teaching- Learning Process

2.3.1 - Student centric methods, such as experiential learning, participative learning and problem solving methodologies are used for enhancing learning experiences

1. Experiential Learning:

- Team / individual live projects
- Summer Internship
- Add-on Courses on latest technologies with NPTEL, Udemy, Google learningplatform, Coursera and many more
- Project development on latest technologies by students where they showcase their working model in the technical fest.
- Industrial Visits to engage them in experiential learning while visiting the organization.
- Certification Courses (Value Added Courses) by the market experts such as Microsoft/ Google/ NSE etc. to develop their expertise
- Participation in hackathons where they acquire experience of working on some real-life model.

2. Participatory Learning:

- Annual Tech Fest – organized every year for engineering students.

- Management Fest- organized every year by the management students.
- Annual cultural program .
- Regular Quizzes.
- Seminar Presentation .
- Presentation and publishing of papers in conferences and journals
- MOOC Programs (NPTEL, R, COURSERA etc.)

3. Problem-solving methods:

- In-house summer training with project development
- Regular Assignments based on problems
- Mini Project development
- Regular Quizzes
- Case studies Discussion
- Class presentations
- Debates
- Participation in Inter college events

File Description	Documents
Upload any additional information	View File
Link for additional information	0

2.3.2 - Teachers use ICT enabled tools for effective teaching-learning process. Write description in maximum of 200 words

The institute has sufficient IT facilities to cater to the needs of students and faculty members. 24*7, 75 Mbps Internet connectivity & Wi-Fi facility.

All classrooms and seminar/conference halls of the institute are

100% ICT enabled and equipped with internet facilities through LAN, provision of Portable LCD projector and Laptop. Innovative PowerPoint Presentations that contains pictures, flowcharts, animations and hyperlinks are used by faculty.

The online meeting tools like Zoom, Google meet, CISCO webEx/teachmint and You Tube live were used for online teaching.

For e-learning video lectures were created and uploaded on YouTube and Moodle. Teachmint, Google classroom and Google Forms, email and what's app application are being used for conducting quizzes and e-content delivery.

E-content is developed in the form of self shoot videos and PPT slides. Various video lectures are uploaded on SMS Lucknow You Tube channel and few on SWAYAM Prabha channel.

Online Learning: Students are motivated for use of NPTEL video, ebooks and online courses through SWAYAM and other MOOC platforms.

The institute is a Remote and Resource Centre of IIT Mumbai that provides additional online certificate courses.

The digital library offers the following services to the faculty and students:

DELNET Database

Nalanda e-consortium

National Digital Library access

File Description	Documents
Upload any additional information	View File
Provide link for webpage describing the ICT enabled tools for effective teaching-learning process	https://www.smslucknow.ac.in/onlinecontent

2.3.3 - Ratio of mentor to students for academic and other related issues (Data for the latest completed academic year)

2.3.3.1 - Number of mentors

85

File Description	Documents
Upload, number of students enrolled and full time teachers on roll.	View File
Circulars pertaining to assigning mentors to mentees	View File
mentor/mentee ratio	View File

2.4 - Teacher Profile and Quality

2.4.1 - Number of full time teachers against sanctioned posts during the year

85

File Description	Documents
Full time teachers and sanctioned posts for year (Data Template)	View File
Any additional information	No File Uploaded
List of the faculty members authenticated by the Head of HEI	View File

2.4.2 - Number of full time teachers with Ph. D. / D.M. / M.Ch. /D.N.B Superspeciality / D.Sc. / D.Litt. during the year (consider only highest degree for count)

2.4.2.1 - Number of full time teachers with Ph. D. / D.M. / M.Ch. /D.N.C Superspeciality / D.Sc. / D.Litt. during the year

25

File Description	Documents
Any additional information	No File Uploaded
List of number of full time teachers with Ph. D. / D.M. / M.Ch./ D.N.B Super specialty / D.Sc. / D.Litt. and number of full time teachers for year(Data Template)	View File

2.4.3 - Number of years of teaching experience of full time teachers in the same institution (Data for the latest completed academic year)

2.4.3.1 - Total experience of full-time teachers

4.14

File Description	Documents
Any additional information	No File Uploaded
List of Teachers including their PAN, designation, dept. and experience details(Data Template)	View File

2.5 - Evaluation Process and Reforms

2.5.1 - Mechanism of internal assessment is transparent and robust in terms of frequency and mode. Write description within 200 words.

The examination cell works under the supervision of the Head of the institute.

Continuous evaluation is carried out throughout the academic year through regular assignments, class participation, class tests, sessional, projects, student's class presentations, MCQ etc.

- **Theory Examinations:** The College conducts internal examinations, centrally through exam cell. The question papers are set by the respective faculty members teaching the course, considering university pattern. The difficulty level of the questions is kept as per the blooms taxonomy. The mapping of every question with course outcome is also prepared to check for the equal coverage of all course outcomes.
- **Practical Examinations:** Internal assessment of the practical courses is done throughout the semester. The students' assessment is based on their active participation, lab results, report writing, attendance and the mid-term/end-term viva.
- **Seminar/Presentations/Industrial Training viva/Projects:** A panel of 3-6 faculty members is formed who assess the performance of the students.

The internal exam committee is responsible for the preparation of timetable, setting of the question paper, declaration of the results of sessional tests. The main focus of the exam cell committee is the timely declaration of the results, moderation of the question papers and proper assessment.

File Description	Documents
Any additional information	View File
Link for additional information	0

2.5.2 - Mechanism to deal with internal examination related grievances is transparent, time- bound and efficient

Mechanism to deal with examination related grievances is transparent, time bound and efficient. There is complete transparency in the internal assessment. At the beginning of the semester Exam and Result related guidelines of University is well communicated to students. In addition Institution internal examination policy is also explained to students. Faculty members inform the students about the various components in the assessment process during the semester. The internal assessment test schedules are prepared and communicated to the students well in advance. To ensure proper conduct of tests, two invigilators are assigned to each hall. Evaluation is done by the course handling faculty members within three days from the date of examination. The evaluated answer copies of the students are distributed to them for the verification by the students and they are expected to sign their copies which are considered as their consent that they are satisfied with their copy evaluation. If any grievance is found then it is redressed immediately. The continuous evaluation of students is carried out by faculty regarding theory lectures, labs, assignments, unit tests. The Institute appoints a examination controller for smooth conduction of examinations. The internal marks are sent to university.

File Description	Documents
Any additional information	View File
Link for additional information	0

2.6 - Student Performance and Learning Outcomes

2.6.1 - Teachers and students are aware of the stated Programme and course outcomes of the Programmes offered by the institution.

Methods of Communication of PO, PSO and CO among Teachers and students

- POs, PSOs and COs are all around showed on the website. (Website Link is given).

- POs, PSOs and COs are also showed on the Programme page on College Website.
- POs, PSOs and COs are also communicated to teachers through HOD/ Course Coordinators on their Institutional Email Id.
- In some Programmes POs, PSOs and COs are also included University Syllabus itself available on University website (Website Link is given).
- IQAC of the college also has organised workshops on OBE to familiarise teachers with Blooms Taxonomy.
- Program outcomes are also displayed on Departmental Notice boards and Class Notice boards.
- While addressing the students, the HOD/ Course Coordinators/ Faculties explain various programme outcomes to the students in Program Orientation meeting and in routine workshops.
- Every faculty member prepares a lesson plan in which they mention course outcome of respective course.
- Faculties are responsible to communicate and make student understand the course outcomes of their respective Course.
- Teachers also include the questions and its connection with the course outcomes in the internal question papers.

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for Additional information	https://smslucknow.ac.in/naac-details/po-co-1
Upload COs for all courses (exemplars from Glossary)	View File

2.6.2 - Attainment of Programme outcomes and course outcomes are evaluated by the institution.

Our Institute offers MBA and B.Tech Programs affiliated to AKTU and undergraduate programs like BBA, BCA, B.COM (H), B.COM, B.VOC and B.Sc from University of Lucknow.

In order to evaluate the attainment level of program wise and course wise outcome we have used both direct and indirect assessment instruments.

1. Direct assessment methods:

Evaluation of attainment of CO and PO will be done by processing internal and external marks scored by students in each course within a particular Program. In the process of evaluation of outcome

attainment, 30% and 70 % weightage has been given to the internal and external marks respectively.

LEVEL OF ATTAINMENT

MARKS SCORED

ATTAINMENT LEVEL

0-40%

0

40-60%

1

60-80%

2

80% and Above

3

Where 0 - Poor attainment, 1 - Average Attainment, 2- Good Attainment, 3- Excellent attainment

1. Indirect assessment methods:

In order to understand the level of attainment of PO we have taken Exit Survey from the Students on the completion of Program. We have also taken feedback from employer to evaluate the level of attainment of PSO in various technical programs.

While evaluating overall attainment of PO we have given 80% and 29% weightage to Direct and Indirect method respectively.

File Description	Documents
Upload any additional information	View File
Paste link for Additional information	0

2.6.3 - Pass percentage of Students during the year

2.6.3.1 - Total number of final year students who passed the university examination during the year**365**

File Description	Documents
Upload list of Programmes and number of students passed and appeared in the final year examination (Data Template)	View File
Upload any additional information	No File Uploaded
Paste link for the annual report	Nil

2.7 - Student Satisfaction Survey**2.7.1 - Student Satisfaction Survey (SSS) on overall institutional performance (Institution may design its own questionnaire) (results and details need to be provided as a weblink)**

<https://smslucknow.ac.in/uploads/topics/16553854863179.pdf>

RESEARCH, INNOVATIONS AND EXTENSION**3.1 - Resource Mobilization for Research****3.1.1 - Grants received from Government and non-governmental agencies for research projects / endowments in the institution during the year (INR in Lakhs)****3.1.1.1 - Total Grants from Government and non-governmental agencies for research projects / endowments in the institution during the year (INR in Lakhs)****0**

File Description	Documents
Any additional information	No File Uploaded
e-copies of the grant award letters for sponsored research projects /endowments	No File Uploaded
List of endowments / projects with details of grants(Data Template)	No File Uploaded

3.1.2 - Number of departments having Research projects funded by government and non government agencies during the year**3.1.2.1 - Number of departments having Research projects funded by government and non-**

government agencies during the year

0

File Description	Documents
List of research projects and funding details (Data Template)	No File Uploaded
Any additional information	No File Uploaded
Supporting document from Funding Agency	No File Uploaded
Paste link to funding agency website	0

3.1.3 - Number of Seminars/conferences/workshops conducted by the institution during the year**3.1.3.1 - Total number of Seminars/conferences/workshops conducted by the institution during the year**

7

File Description	Documents
Report of the event	View File
Any additional information	No File Uploaded
List of workshops/seminars during last 5 years (Data Template)	View File

3.2 - Research Publications and Awards**3.2.1 - Number of papers published per teacher in the Journals notified on UGC website during the year****3.2.1.1 - Number of research papers in the Journals notified on UGC website during the year**

15

File Description	Documents
Any additional information	View File
List of research papers by title, author, department, name and year of publication (Data Template)	View File

3.2.2 - Number of books and chapters in edited volumes/books published and papers published

in national/ international conference proceedings per teacher during the year**3.2.2.1 - Total number of books and chapters in edited volumes/books published and papers in national/ international conference proceedings during the year**

15

File Description	Documents
Any additional information	View File
List books and chapters edited volumes/ books published (Data Template)	View File

3.3 - Extension Activities

3.3.1 - Extension activities are carried out in the neighborhood community, sensitizing students to social issues, for their holistic development, and impact thereof during the year

SMS Lucknow regularly conducts the extension activities in the neighborhood community to sensitize students to social issues for their holistic development. The Social Responsibility Committee, NSS & UBA Unit conduct various extensions activities regularly. Swatchhta Abhiyaan, Blood Donation Camp in association with Lion's Club, Tree Plantation Drive with LU, Cleanliness Drive through NSS, Household & Village Survey and Plastic Free Campaign through Unnat Bharat 2 campaign have been organized. In previous years SMS through its NSS unit conducted - Cleanliness Drive, Social Justice & Equality Rally, Rally on School Enrollment & need for Education, Women Empowerment Drive, One week camp/drive of NSS at Village Dularmau. Institute also conducted programs like Old Cloth Collection Activities, Spread a Smile Campaign, Joy of Giving Campaign and Kerala Relief Fund Collection and donation to PM fund.

The following activities were done during this academic year:

1. Sanitation drive on 20th Jan. 2021 through NSS.
2. Workshop on "Gender Sensitization" was organized on 30th January 2021.
3. Workshop on "Traffic Awareness Rules" was organized on 8th February, 2021.
4. 5 km "Run for Green" in Lucknow on 28th Feb, 2021.
5. Blanket Distribution Program on 13th Jan. 2021.
6. Distributed food during Covid 19 Pandemic.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

3.3.2 - Number of awards and recognitions received for extension activities from government / government recognized bodies during the year

3.3.2.1 - Total number of awards and recognition received for extension activities from Government/ government recognized bodies during the year

3

File Description	Documents
Any additional information	No File Uploaded
Number of awards for extension activities in last 5 year(Data Template)	View File
e-copy of the award letters	View File

3.3.3 - Number of extension and outreach programs conducted by the institution through NSS/NCC/Red cross/YRC etc., (including the programmes such as Swachh Bharat, AIDS awareness, Gender issues etc. and/or those organized in collaboration with industry, community and NGOs) during the year

3.3.3.1 - Number of extension and outreach Programs conducted in collaboration with industry, community and Non- Government Organizations through NSS/ NCC/ Red Cross/ YRC etc., during the year

6

File Description	Documents
Reports of the event organized	View File
Any additional information	No File Uploaded
Number of extension and outreach Programmes conducted with industry, community etc for the last year (Data Template)	View File

3.3.4 - Number of students participating in extension activities at 3.3.3. above during the year

3.3.4.1 - Total number of Students participating in extension activities conducted in collaboration with industry, community and Non- Government Organizations through NSS/

NCC/ Red Cross/ YRC etc., during the year

800

File Description	Documents
Report of the event	View File
Any additional information	No File Uploaded
Number of students participating in extension activities with Govt. or NGO etc (Data Template)	View File

3.4 - Collaboration**3.4.1 - The Institution has several collaborations/linkages for Faculty exchange, Student exchange, Internship, Field trip, On-the- job training, research etc during the year**

8

File Description	Documents
e-copies of linkage related Document	View File
Details of linkages with institutions/industries for internship (Data Template)	View File
Any additional information	No File Uploaded

3.4.2 - Number of functional MoUs with national and international institutions, universities, industries, corporate houses etc. during the year**3.4.2.1 - Number of functional MoUs with Institutions of national, international importance, other universities, industries, corporate houses etc. during the year**

6

File Description	Documents
e-Copies of the MoUs with institution./ industry/corporate houses	View File
Any additional information	No File Uploaded
Details of functional MoUs with institutions of national, international importance, other universities etc during the year	View File

INFRASTRUCTURE AND LEARNING RESOURCES

4.1 - Physical Facilities

4.1.1 - The Institution has adequate infrastructure and physical facilities for teaching- learning. viz., classrooms, laboratories, computing equipment etc.

The institution has adequate facilities for teaching -learning, viz., classrooms, laboratories, computing equipment, etc. The Institute has a total land area of 16 Acres of land. With a fore sight of additional intake, addition of new courses and change in syllabus, the institute forwards the requirement like building space, laboratory equipment, books and journals for library, IT resources, etc. to the management.

Class rooms: Each classroom is of adequate size and has enough lighting, air ventilation and good ambience. The institution has sufficient number of well-furnished, well ventilated, spacious classrooms for conducting theory classes. The institute has Laboratories to carry out Practical courses, projects and research works. All laboratories are operational and well maintained not only for carrying out curriculum oriented lab practical's but also to carry out research activities and value added courses.

Tutorial rooms: The Institute has separate tutorial room to address the personal level doubts and queries of the students.

Seminar Hall: The Institute has 3 seminar halls with public addressing systems, LCD projectors, etc. Nearly 380 members can be accommodated in the seminar halls.

Computing Equipments: Institute has 505 computers for staff and students. All computers are equipped with good speed internet of 50 MBPS speed.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	https://www.smslucknow.ac.in/uploads/topics/16555289662591.pdf

4.1.2 - The Institution has adequate facilities for cultural activities, sports, games (indoor, outdoor), gymnasium, yoga centre etc.

There are multiple sport facilities to keep our student engaged and

physically fit.

Basketball

Being one of the most popular sports in college, basketball is played by a large no. of students. We have a dedicated basketball court for boys and girls.

Football

The SMS campus has a football ground with lush green grass for the most widely played and followed sports in the world.

Cricket

The SMS institute has cricket ground with natural Turf pitch.

Table Tennis

We have well equipped common room with the modern facility for playing table tennis.

Sports Activities

Outdoor and indoor sports facilities :-

Every student is encouraged to take active part in at least one activity. SMS college has setup facilities/ grounds for cricket, football, basketball, volley ball, table tennis, chess, carom etc.

Gymnasium

In order to ensure a sound body and mind, students are giving special attention on health and fitness. A modern gym, with all the latest gadgets has been setup in the common room of the campus.

Athletics

An athletic track surrounds the football field for the athletics.

Common Room/ Multi purpose Hall

Common Room Hall with facilities for table tennis, snooker, carrom, chess and an Indoor Gym.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	https://smslucknow.ac.in/life-at-sms/ https://smslucknow.ac.in/sports

4.1.3 - Number of classrooms and seminar halls with ICT- enabled facilities such as smart class, LMS, etc.

45

4.1.3.1 - Number of classrooms and seminar halls with ICT facilities

45

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	https://smslucknow.ac.in/uploads/topics/16555289662591.pdf
Upload Number of classrooms and seminar halls with ICT enabled facilities (Data Template)	View File

4.1.4 - Expenditure, excluding salary for infrastructure augmentation during the year (INR in Lakhs)

4.1.4.1 - Expenditure for infrastructure augmentation, excluding salary during the year (INR in lakhs)

8.52

File Description	Documents
Upload any additional information	No File Uploaded
Upload audited utilization statements	View File
Upload Details of budget allocation, excluding salary during the year (Data Template)	View File

4.2 - Library as a Learning Resource

4.2.1 - Library is automated using Integrated Library Management System (ILMS)

School of Management Sciences (SMS) Library & Documentation Centre has established in 2008 around 5500 books including engineering & management. Now the collection in the library encompasses 19,529 books of 5442 titles varying in subjects like Engineering, Management, Humanities & Sciences. In addition to books, there are 1562 e-books including Taylor & Francis book, NPTEL and open source e-books & 92 Journals (49 Subscribe + 43 Exchange Journals with Adhyayan & Samirddhi)

The SMS Library is partially automated with using SOUL 2.0 software in its operation since 2015 which including Accessioning, Cataloging, Circulation, Barcode & OPAC facility etc. It works on the DDC (22nd edition) platform.

The Library encompasses an area of 706 sq meters and has two reading hall of capacity 150 (90 in IET & 60 in IMS). Two stack rooms (IET & IMS) hold the books and other reading materials in hard copies. Further, four rich repository of online resources have been made available to the users through the 'Digital Harbor' in the form of e-books and e-journals also through Nalanda e-Consortium, DELNET, NDL (National Digital Library of India) & NPTEL of which membership exists regularly.

File Description	Documents
Upload any additional information	View File
Paste link for Additional Information	https://www.smslucknow.ac.in/library-features

4.2.2 - The institution has subscription for the following e-resources e-journals e-ShodhSindhu Shodhganga Membership e-books Databases Remote access toe-resources **B. Any 3 of the above**

File Description	Documents
Upload any additional information	No File Uploaded
Details of subscriptions like e-journals,e-ShodhSindhu, Shodhganga Membership etc (Data Template)	View File

4.2.3 - Expenditure for purchase of books/e-books and subscription to journals/e- journals during the year (INR in Lakhs)

4.2.3.1 - Annual expenditure of purchase of books/e-books and subscription to journals/e-journals during the year (INR in Lakhs)

4.36

File Description	Documents
Any additional information	View File
Audited statements of accounts	View File
Details of annual expenditure for purchase of books/e-books and journals/e- journals during the year (Data Template)	View File

4.2.4 - Number per day usage of library by teachers and students (foot falls and login data for online access) (Data for the latest completed academic year)

4.2.4.1 - Number of teachers and students using library per day over last one year

118

File Description	Documents
Any additional information	View File
Details of library usage by teachers and students	View File

4.3 - IT Infrastructure

4.3.1 - Institution frequently updates its IT facilities including Wi-Fi

IT facilities have been updated on regular basis.

In2017-18, 11 antivirus licence, 2 Printer were purchased.1 Access Point was purchased to upgrade to Campus Wi-Fi facility. Regular purchasing of required accessories like mouse, Keyboard and other

hardware components were also done to maintain the exiting IT infrastructure.

In2018-19, Antivirus licences renewed, 2 Laser Printer and 1 Color Printer were purchased. Two Access Point were purchased to upgrade Campus Wi-Fi and 1 managed switch was purchased to maintain computer laboratories, regular purchasing of mouse, Keyboard and other hardware components.

In2019-20, New 32 desktop (with i3, 4GB RAM, 1 TB HDD) were purchased to upgrade existing computer laboratories. Three New Epson projectors were purchased to support ICT. Four laptop (i3, 4 GB RAM, 1TB HDD) were purchased for various uses. Regular purchasing of mouse, Keyboard and other hardware components.

In 2020-21, 1 laptop (i3, 4 GB RAM, 1TB HDD), 19 Logitech webcam, 7 Qhmp1 webcam, 9 Boya Microphone , 21 Quantum Headphone, 26 Tripod , 1 Norton Antivirus and 1 Printer Epson 2150 were purchased to support ICT. Regular purchasing of mouse, Keyboard and other hardware components.

Since 2008, continuous upgradation of internet speed to 75 Mbps.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	0

4.3.2 - Number of Computers

453

File Description	Documents
Upload any additional information	No File Uploaded
Student – computer ratio	View File

4.3.3 - Bandwidth of internet connection in the Institution A. ? 50MBPS

File Description	Documents
Upload any additional Information	No File Uploaded
Details of available bandwidth of internet connection in the Institution	View File

4.4 - Maintenance of Campus Infrastructure

4.4.1 - Expenditure incurred on maintenance of infrastructure (physical and academic support facilities) excluding salary component during the year (INR in Lakhs)

4.4.1.1 - Expenditure incurred on maintenance of infrastructure (physical facilities and academic support facilities) excluding salary component during the year (INR in lakhs)

524.82

File Description	Documents
Upload any additional information	No File Uploaded
Audited statements of accounts.	View File
Details about assigned budget and expenditure on physical facilities and academic support facilities (Data Templates)	View File

4.4.2 - There are established systems and procedures for maintaining and utilizing physical, academic and support facilities - laboratory, library, sports complex, computers, classrooms etc.

The regular maintenance and support activity is the ground for the academic enhancement and up gradation to ensure the activity.

Academic Support Facilities: Includes Class rooms, laboratories, library

Physical Facilities: IT Infrastructure, Hostel and Mess, Recreational and sports, Medical, roof top solar plant, rain water harvesting

- Flexibility is there for the class room allocation.
- Proper Maintenance/ Complaint handling procedure is laid down
- Annual audits are the key for identification of need of additional resource and to check the functioning of the available resources.
- Instruments requirement up gradation through in-house up

gradation / outsourced vendor is properly channelized, New Instruments required - Purchase Requisition - Central Store - approval by CGM

- The college is also running a mess and canteen facility on contractual basis with a vendor
- The institutes is having sufficient space for outdoor games and having basket ball, volley ball and badminton courts and grounds for cricket and football. The equipment's are issued by central store to the warden and then to users.
- Stock of basic medicines is kept and a register is maintained to administer the use of these medicines and to trace out the demand of the basic medicines.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	0

STUDENT SUPPORT AND PROGRESSION

5.1 - Student Support

5.1.1 - Number of students benefited by scholarships and free ships provided by the Government during the year

5.1.1.1 - Number of students benefited by scholarships and free ships provided by the Government during the year

220

File Description	Documents
Upload self attested letter with the list of students sanctioned scholarship	View File
Upload any additional information	No File Uploaded
Number of students benefited by scholarships and free ships provided by the Government during the year (Data Template)	View File

5.1.2 - Number of students benefited by scholarships, free ships etc. provided by the institution /

non- government agencies during the year**5.1.2.1 - Total number of students benefited by scholarships, free ships, etc provided by the institution / non- government agencies during the year**

567

File Description	Documents
Upload any additional information	View File
Number of students benefited by scholarships and free ships institution / non- government agencies in last 5 years (Date Template)	View File

5.1.3 - Capacity building and skills enhancement initiatives taken by the institution include the following: Soft skills Language and communication skills Life skills (Yoga, physical fitness, health and hygiene) ICT/computing skills

A. All of the above

File Description	Documents
Link to institutional website	https://www.smslucknow.ac.in/center-of-vedic-science;https://smslucknow.ac.in/training-program/
Any additional information	No File Uploaded
Details of capability building and skills enhancement initiatives (Data Template)	View File

5.1.4 - Number of students benefitted by guidance for competitive examinations and career counseling offered by the institution during the year

653

5.1.4.1 - Number of students benefitted by guidance for competitive examinations and career counseling offered by the institution during the year

653

File Description	Documents
Any additional information	No File Uploaded
Number of students benefited by guidance for competitive examinations and career counseling during the year (Data Template)	View File

5.1.5 - The Institution has a transparent mechanism for timely redressal of student grievances including sexual harassment and ragging cases Implementation of guidelines of statutory/regulatory bodies Organization wide awareness and undertakings on policies with zero tolerance Mechanisms for submission of online/offline students' grievances Timely redressal of the grievances through appropriate committees

A. All of the above

File Description	Documents
Minutes of the meetings of student redressal committee, prevention of sexual harassment committee and Anti Ragging committee	View File
Upload any additional information	No File Uploaded
Details of student grievances including sexual harassment and ragging cases	View File

5.2 - Student Progression

5.2.1 - Number of placement of outgoing students during the year

5.2.1.1 - Number of outgoing students placed during the year

124

File Description	Documents
Self-attested list of students placed	View File
Upload any additional information	View File

5.2.2 - Number of students progressing to higher education during the year**5.2.2.1 - Number of outgoing student progression to higher education**

32

File Description	Documents
Upload supporting data for student/alumni	View File
Any additional information	No File Uploaded
Details of student progression to higher education	View File

5.2.3 - Number of students qualifying in state/national/ international level examinations during the year (eg: JAM/CLAT/GATE/ GMAT/CAT/GRE/ TOEFL/ Civil Services/State government examinations)**5.2.3.1 - Number of students qualifying in state/ national/ international level examinations (eg: JAM/CLAT/NET/ SLET/ GATE/ GMAT/CAT/GRE/ TOEFL/ Civil Services/ State government examinations) during the year**

0

File Description	Documents
Upload supporting data for the same	No File Uploaded
Any additional information	No File Uploaded

5.3 - Student Participation and Activities**5.3.1 - Number of awards/medals for outstanding performance in sports/cultural activities at university/state/national / international level (award for a team event should be counted as one) during the year****5.3.1.1 - Number of awards/medals for outstanding performance in sports/cultural activities at university/state/ national / international level (award for a team event should be counted as one) during the year.**

0

File Description	Documents
e-copies of award letters and certificates	No File Uploaded
Any additional information	No File Uploaded
Number of awards/medals for outstanding performance in sports/cultural activities at university/state/national/international level (During the year) (Data Template)	No File Uploaded

5.3.2 - Institution facilitates students' representation and engagement in various administrative, co-curricular and extracurricular activities (student council/ students representation on various bodies as per established processes and norms)

SMS Institute has constituted a student club to promote and facilitate student activities of the campus.

- This club facilitates students to engage themselves in co-curricular and extracurricular activities of the College.
- The members are elected from the CRs of each year.
- Consists of a President, Vice President, Treasurer and members from each program.
- All students' related activities are carried under the leadership of this club.
- Representation of students in various cells - Proctorial Board, Anti Ragging Committee ,Student's Grievance Redressal, Cell, Women Anti Harassment Committee and Training Placement Cell.
- Organize Cultural, sports, Technical, Fresher's/Farewell and other commemorative days.
- Students coordinate placement activities.
- Students volunteers in various committees of Seminar/Conferences organized by the institute.
- Students take initiatives under NSS campaign.
- Students showcase their projects in various exhibitions and Expos at different places.
- Hostel committee is functioning with student co-ordinator to ensure quality of food as well as the discipline in the hostel premises. The committee convenes periodical meetings to monitor and evaluate the functioning of hostel in the campus.
- In every bus, there is student co-ordinator along with faculty co-ordinator to ensure timely departure & arrival of the bus and also control the student & maintained discipline in the bus.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

5.3.3 - Number of sports and cultural events/competitions in which students of the Institution participated during the year (organized by the institution/other institutions)

5.3.3.1 - Number of sports and cultural events/competitions in which students of the Institution participated during the year

8

File Description	Documents
Report of the event	View File
Upload any additional information	No File Uploaded
Number of sports and cultural events/competitions in which students of the Institution participated during the year (organized by the institution/other institutions) (Data Template)	View File

5.4 - Alumni Engagement

5.4.1 - There is a registered Alumni Association that contributes significantly to the development of the institution through financial and/or other support services

An Alumni Association exists at SMS Lucknow but it is not registered.

SMS Luckknow Alumni Association has been established to provide an active institutional framework for professional development, interaction and networking for the holistic development of Alumni Association of SMS Lucknow. All those students who have successfully completed their academics at SMS Lucknow are eligible for membership in SMS Lucknow Alumni Association.

SMS Lucknow Alumni Association facilitates networking among alumni by organizing alumni reunions, batch meets and other related activities. It also assists alumni in lateral career movement and career development.

SMS Lucknow Alumni Association has developed three chapters. One at Lucknow and other is at Delhi and Pune, taking care of the respective locale of the former students of SMS Lucknow. The broad objectives of SMS Alumni Association are:

1. To work with Alma mater on continuing education programs for the benefit of SMS Students.
2. To promote the philosophy and values of SMS Alumni Association.
3. To cater the networking needs of the SMS alumni by enabling frequent exchanges of views at meets and reunions.
4. To constitute a Core Committee for the Different Chapters to impress upon the composition, constitution and working of the members.

SMS Lucknow Alumni Association Cell Contact Details:

Chairman: Dr. Dharmendra Singh (Dean SMS IT)

Co-Chairman: Mr. Surendra Srivastava

Coordinator: Dr. Amarjeet Singh

Members:

1. Mr. Vikram Singh
2. Dr. Asha Kulshrestha
3. Mr. Sunit Mishra
4. Mr. Ravi
5. Mr. Asad Kareem Usmani
6. Dr. Suchita Vishwakarma
7. Mr. Abhinav Dwivedi
8. Mr. Shyam Mani Tripathi

E-mail: alumni@smslucknow.com

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/alumni-certificate
Upload any additional information	No File Uploaded

5.4.2 - Alumni contribution during the year (INR in Lakhs) **E. <1Lakhs**

File Description	Documents
Upload any additional information	No File Uploaded

GOVERNANCE, LEADERSHIP AND MANAGEMENT

6.1 - Institutional Vision and Leadership

6.1.1 - The governance of the institution is reflective of and in tune with the vision and mission of the institution

The institute policies, the impetus to grow, direction and the methodology are given by the "Governing Council" and the "Academic Council". The Guiding Values help in defining the path of progress.

- Trust,
- Sense of Ownership,
- Sense of Contribution,
- Innovation,
- Modesty
- Mutual Respect

The Management plays a stellar role in supporting the Director, Deans and faculty. It provides the requisite financial support for infrastructural development of the campus. The faculty and non-teaching staff are encouraged for skill enhancement and updation. The institution carry the essence of moral integrity and ethical values in their functioning. Students are industry exposure through regular Guest Lectures, Departmental Workshops, Summer Trainings, Industry visits, and Live Projects.

Innovation in teaching, learning through practice, and research is encouraged. C V Raman Centre for Research & Innovation, Vedic Science Centre and publication of two Journals are a testimony to research activities at the institute. The Management contributes towards nation building through CSR activities, NSS activities and activities under Unnat Bharat Abhiyan.

Thus it can be clearly seen that the major components of the Vision and Mission Statements are all incorporated in the administrative and academic functioning of the institute.

File Description	Documents
Paste link for additional information	https://smslucknow.ac.in/vision-mission/ https://smslucknow.ac.in/governance
Upload any additional information	View File

6.1.2 - The effective leadership is visible in various institutional practices such as decentralization and participative management.

The Institute has decentralized and participative system of management.

The Governing Council while formulating policies bestows the autonomy on all Units in the organizational structure to instill responsibility and accountability to execute duties effectively.

Academic administration is done Director and Deans. The HoDs and Program Coordinators are responsible for smooth conduct of classes, assigning classes and workloads, monitoring, conduct of internal examinations, and teaching-learning outcomes and are empowered to decide and implement the necessary changes for improved quality outcomes of each program.

The Registrar under the guidance of Director looks after students enrolment, maintaining records, perform university related work, employee recruitment and other staff welfare activities.

The Chief General Manager empowered to look into administration of all support activities like general cleanliness, civil and electrical maintenance, upkeep of Library, Computer Labs, hostels, gardens, and other facilities like electric generators sets, buses, drinking water facilities, store purchases, gymnasium, etc.

The Training and Placement Cell functions independently, under the supervision of the General Manager (Corporate Affairs) and is responsible for summer training, and final placement. It also implements the EEP.

The Director through the assistance of several cells and committees ensures effective functioning and implementation of various activities of the Institute.

File Description	Documents
Paste link for additional information	https://smslucknow.ac.in/vision-mission/ https://smslucknow.ac.in/governance
Upload any additional information	View File

6.2 - Strategy Development and Deployment

6.2.1 - The institutional Strategic/ perspective plan is effectively deployed

The development plan is formulated for 5 years and necessary up gradation and directional changes are made accordingly.

A long-term strategic plan is drawn for development considering the availability resources.

In future institute plans to grow as a private university.

The Institute also does benchmarking with other established Institutes.

A Broad Strategic Plan 2025 as formulated by the Management Committee of the SMS Society of which some of the major highlights are mentioned below:

- Becoming one of the best institutes in the country.
- To be admired as an Institute that is contributing to nation building.
- To have world class infrastructure for effective teaching, learning and research.
- To be recognized as an Institute that has a healthy work environment for faculty and staff.
- Most pro-active and innovative Institute in UG and PG studies.
- Attracting good students across India.
- Strong Alumni involvement.
- Be a model Institute campus in terms of sustainability.
- Generating at least 10% of its revenue through consultancy and outreach programmes.
- Having international collaborations.

Specific Plan Implementation

- Quality enhancement opportunities to the faculties
- Implementation of ERP.

- Enhancements of ICT infrastructure.
- E-Books and E-Journals have enriched the Library facilities.
- Value added Certifications courses offered.

File Description	Documents
Strategic Plan and deployment documents on the website	View File
Paste link for additional information	0
Upload any additional information	No File Uploaded

6.2.2 - The functioning of the institutional bodies is effective and efficient as visible from policies, administrative setup, appointment and service rules, procedures, etc.

Governing Council provides the leadership to the institute and frames the policies that are to be executed by other committees and the employees and staff of the Institute.

The Director holds the responsibility for both the academic and administrative functioning of the College. Dean, HOD and Program Coordinators are responsible for academic functioning.

The IQAC is the axial agency acting for internal quality assurance and enhancement. Anti-Ragging Committee and Proctorial Board deal with issues of indiscipline at the campus. Women Anti-Harassment Committee dealing with issues related to sexual harassment. Hostel and Campus Grievance Redressal Cells are involved in speedy redressal of student grievances related to the hostel. Sports Committee, Cultural & Events Committee are responsible for the extra-curricular activities. The Student Welfare Committee, Library Committee, provide the needed support. Training & Placement Cell provides assistance in Summer Training / Internships and Final Placements.

The institute has dedicated unit for maintenance and repair work under a CGM.

The Institute has well defined recruitment policy, Service Rules which spells out details like Casual Leaves, Earned Leaves, Medical Leaves, Compensatory Leaves, Special Leaves, Duty Leaves, Study Leaves, etc. The Performance Appraisal System and promotion policy are available with the Registrar.

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/naac-details/code-of-conduct; https://www.smslucknow.ac.in/uploads/topics/16614272789855.pdf
Link to Organogram of the Institution webpage	0
Upload any additional information	View File

6.2.3 - Implementation of e-governance in areas of operation Administration Finance and Accounts Student Admission and Support Examination

D. Any 1 of the above

File Description	Documents
ERP (Enterprise Resource Planning) Document	View File
Screen shots of user interfaces	No File Uploaded
Any additional information	No File Uploaded
Details of implementation of e-governance in areas of operation, Administration etc (Data Template)	View File

6.3 - Faculty Empowerment Strategies

6.3.1 - The institution has effective welfare measures for teaching and non-teaching staff

Leave Rules:- Faculty and staff members on regular appointment are eligible to take leaves as defined in service policy. A provision to avail Study Leave willing to pursue higher studies is given to teacher who has completed 5 years of continuous service and on half pay. Both Teaching & Non-Teaching Staff are allowed OD for doing official works outside the campus as per the need.

Provision of EPF and ESI to employees:- Eligible employees are given EPF and ESI facility as per norms.

Group Insurance of Faculty and Staff members:- The College has group insurance of our faculty and staff members from Life Insurance Corporation of India.

Best Faculty and Staff Award:- The performance of faculty and staff members are evaluated on certain parameters and they are being recognized/awarded by the management.

Uniform to Class IV employees:- Class IV employees are being given Uniform by the college time to time.

Conduction of Faculty and Staff Development Programmes:- Faculty and Staff Development Programmes are being conducted in the college on regular.

Transport Facility:- We have sufficient number of buses for commuting our faculty and staff members from Lucknow City on concessional rates.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

6.3.2 - Number of teachers provided with financial support to attend conferences/ workshops and towards membership fee of professional bodies during the year

6.3.2.1 - Number of teachers provided with financial support to attend conferences/workshops and towards membership fee of professional bodies during the year

19

File Description	Documents
Upload any additional information	View File
Details of teachers provided with financial support to attend conference, workshops etc during the year (Data Template)	View File

6.3.3 - Number of professional development /administrative training programs organized by the institution for teaching and non-teaching staff during the year

6.3.3.1 - Total number of professional development /administrative training Programmes organized by the institution for teaching and non teaching staff during the year

5

File Description	Documents
Reports of the Human Resource Development Centres (UGCASC or other relevant centres).	No File Uploaded
Reports of Academic Staff College or similar centers	No File Uploaded
Upload any additional information	View File
Details of professional development / administrative training Programmes organized by the University for teaching and non teaching staff (Data Template)	View File

6.3.4 - Number of teachers undergoing online/face-to-face Faculty development Programmes (FDP) during the year (Professional Development Programmes, Orientation / Induction Programmes, Refresher Course, Short Term Course etc.)

6.3.4.1 - Total number of teachers attending professional development Programmes viz., Orientation / Induction Programme, Refresher Course, Short Term Course during the year

16

File Description	Documents
IQAC report summary	View File
Reports of the Human Resource Development Centres (UGCASC or other relevant centers)	No File Uploaded
Upload any additional information	View File
Details of teachers attending professional development programmes during the year (Data Template)	View File

6.3.5 - Institutions Performance Appraisal System for teaching and non- teaching staff

Yes, a Performance Appraisal System for teaching staff is in place. Annual Increments and Promotions are dependent on the appraisal. The system has a self-appraisal format that needs to be filled-up by each faculty annually and submitted to the HoD. The HoD does their evaluation and submits it to the Director and who in turn send his recommendation to the CEO & Secretary, for final decision and

outcome of that appraisal.

As far as staff is concerned the immediate supervisor / departmental in-charge does an evaluation of the work of the staff and submits the recommendations to the Registrar / CGM (Administration) thereafter submitted to the CEO & Secretary for final decision.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

6.4 - Financial Management and Resource Mobilization

6.4.1 - Institution conducts internal and external financial audits regularly Enumerate the various internal and external financial audits carried out during the year with the mechanism for settling audit objections within a maximum of 200 words

With regard to internal audit, it is conducted every six months and it was conducted from 15th to 18 October 2020 for the period of April to September 2020 and from 12 to 15 July, for the period of October 2020 to March 2021.

Mechanism for Internal and External Audit is as follows.

Internal Audit:

- The officer in-charge scrutinizes and verifies the financial data, again scrutinized by the Finance and Account Officer for clarity, authenticity, transparency and accuracy.
- Income/Expenditure is closely monitored by the Accounts department, secretary and treasurer of the society.
- Proper purchase procedure is adopted.

External Audit: Like internal, external audit is also conducted every six months from a professional registered chartered accountant or firm. It was conducted on 26th to 28th October 2020 for the period of April to September 2020 and from 28th July to 30th July 2021 for the period of October 2020 to March 2021.

The bills and vouchers of the revenue and capital expenditure are checked and verified. The audit objections/compliance, if any, is handled by the Accounts Department and verified.

File Description	Documents
Paste link for additional information	0
Upload any additional information	No File Uploaded

6.4.2 - Funds / Grants received from non-government bodies, individuals, philanthropers during the year (not covered in Criterion III)

6.4.2.1 - Total Grants received from non-government bodies, individuals, Philanthropers during the year (INR in Lakhs)

2.54

File Description	Documents
Annual statements of accounts	View File
Any additional information	No File Uploaded
Details of Funds / Grants received from of the non-government bodies, individuals, Philanthropers during the year (Data Template)	View File

6.4.3 - Institutional strategies for mobilization of funds and the optimal utilization of resources

Majority of the funds is mobilized through realization of the FEE for the various courses.

Budget is prepared for the optimal utilization of the funds.

Expenditure is regularly monitored to keep the funds flow in the right order.

Alternative sources for mobilization of resources through other channels are also explored such as funding for Seminars / Conferences / Workshops / Faculty Development Programs. Grants from AICTE, DST, CST, IEI, Handicraft Department of Government of India, Dr. APJ Abdul Kalam, Technical University, etc. are being explored and also have received grants from such bodies during the past years.

Additional Revenue source is through Testing Centre of ETS Testing Partner conducting global level tests like TOEFL, GRE etc.

CISCO Networking Academy offers global level Certifications in Networking at the same time generates additional funds.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

6.5 - Internal Quality Assurance System

6.5.1 - Internal Quality Assurance Cell (IQAC) has contributed significantly for institutionalizing the quality assurance strategies and processes

YES. The Internal Quality Assurance Cell has contributed in many ways over the year in improving the quality performance aspects in many spheres of the functioning of the Institute. The regular meetings of IQAC bring out the qualitative improvements in each quarter and also suggest further improvements in the time to come. Some of the significant contributions as an outcome of IQAC are stated below:

1.A CEO's Conclave titled "Managing Excellence with New Normal: CEO's Perspective" was conducted through a Webinar on 18th July 2020.

2. Dr. Mahendra Srivastava conducted a MDP session for Employees of VIVO Mobiles on the topic "Stop Wishing Start Doing-Leadership Skills, Team Management and How to Set Priorities" on the 20th of January, 2021. More than 80 senior officials of VIVO (i.e. from AVP - DGM) and amongst them 4 Chinese Officials attended.

3. Workshop on "Gender Sensitization" was organized on 30th January, 2021 as an IQAC initiative. The workshop was conducted by Ms. Tulika Srivastava, Executive Director, Women's Fund Asia.

4. A Workshop on "Intellectual Property Rights" was conducted on 13th February 2021 by Advocate Rakesh Kumar Singh, Founder & Partner, RKS Associate, Mumbai.

5. A Seminar on "National Education Policy 2020" was conducted on 25th March 2021.

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/webinar
Upload any additional information	View File

6.5.2 - The institution reviews its teaching learning process, structures & methodologies of operations and learning outcomes at periodic intervals through IQAC set up as per norms and recorded the incremental improvement in various activities

The Director is also the Chairman of the Internal Quality Assurance Cell. The Director regularly reviews the teaching learning processes being followed by each faculty, in each semester. The course files have the Lesson Plan, the Learning Outcomes, for each allotted subject and are examined for understanding the teaching methodologies followed by the teaching fraternity. The Faculty members also maintain a record of their classes manually in the "Academic Control Sheet" that is reviewed for the course coverage, and the delivery of the teaching-learning in accordance with the plan. The record of the classes is also maintained by each faculty member on the mini ERP for academic control for Management & Commerce department through the DCPR - Daily Class Performance Report. This is also reviewed periodically by the Director and corrective actions are taken wherever they are required. Suggestions for improvements are also provided as a feedback to each faculty member.

In the Engineering Departments the monitoring of the Lesson Plans as prepared by each faculty are duly recorded in the Lecture Schedule. This is periodically reviewed by the Director General (Technical) and Dean to suggest areas of improvements that can improve the quality outcome of the teaching-learning processes.

File Description	Documents
Paste link for additional information	https://smlucknow.ac.in/naac-details/po-co-1
Upload any additional information	View File

6.5.3 - Quality assurance initiatives of the institution include: Regular meeting of Internal Quality Assurance Cell (IQAC); Feedback collected, analyzed and used for

A. All of the above

improvements Collaborative quality initiatives with other institution(s) Participation in NIRF any other quality audit recognized by state, national or international agencies (ISO Certification, NBA)

File Description	Documents
Paste web link of Annual reports of Institution	https://smslucknow.ac.in/uploads/topics/16555283519937.pdf
Upload e-copies of the accreditations and certifications	View File
Upload any additional information	View File
Upload details of Quality assurance initiatives of the institution (Data Template)	View File

INSTITUTIONAL VALUES AND BEST PRACTICES

7.1 - Institutional Values and Social Responsibilities

7.1.1 - Measures initiated by the Institution for the promotion of gender equity during the year

Gender Sensitization Policy

In pursuance of UGC (Prevention, prohibition and redressal of sexual harassment of women employees and students in higher educational institutions) Regulations, 2015 read with Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013, the Internal Complaints Committee is constituted:

1. To deal with the complaints relating to Sexual harassment at work place.
2. To spread awareness about gender-related issues and functioning of the internal committee.

The institute has a zero tolerance policy towards sexual harassment and is proactively committed to provide a safe conducive work and academic environment to students & employees. Our Internal committee constitutes various groups to resolves the issues.

Women Anti-Harassment Cell: This cell has been constituted to empower and safe guard the rights of female members of the

Institution. The WAHC works to promote gender sensitivity in the Institution and produce harmonious atmosphere on the campus. It organizes workshops and sensitization programmes by eminent psychologists and social workers.

Common Room: The Institution has established a separate room (for girls) to take rest, if required medical assistance is also available.

Awareness Initiatives- The institute conducts regularly workshops through Rangoli Competition, Poster Competition, Drama and art towards promoting gender equity.

File Description	Documents
Annual gender sensitization action plan	https://www.smslucknow.ac.in/uploads/topics/16618520401554.pdf
Specific facilities provided for women in terms of: a. Safety and security b. Counseling c. Common Rooms d. Day care center for young children e. Any other relevant information	https://www.smslucknow.ac.in/uploads/topics/16618514719492.pdf

7.1.2 - The Institution has facilities for alternate sources of energy and energy conservation measures Solar energy Biogas plant Wheeling to the Grid Sensor-based energy conservation Use of LED bulbs/ power efficient equipment

A. 4 or All of the above

File Description	Documents
Geo tagged Photographs	No File Uploaded
Any other relevant information	View File

7.1.3 - Describe the facilities in the Institution for the management of the following types of degradable and non-degradable waste (within 200 words) Solid waste management Liquid waste management Biomedical waste management E-waste management Waste recycling system Hazardous chemicals and radioactive waste management

SMS Lucknow is committed to "zero waste" and reducing the environmental impact of its activities through its philosophy of "reduce-reuse-recycle". A prudent budgeting approach is adopted in what we purchase as a first step towards reducing waste.

• **Solid waste:** Solid waste produced from the campus in form of glass, plastics, food wastes, wood, paper, metals, cardboard materials are deposed to the local vendor (Kabadi) on a regular basis..

• **E-waste:** The E -waste generated due to disposable and redundant computer systems and accessories are disposed off as a buy-back option from the suppliers.

Liquid waste: The liquid generated from the human excreta from the hostel and the campus are disposed into the five soak pits throughout the campus.

File Description	Documents
Relevant documents like agreements/MoUs with Government and other approved agencies	View File
Geo tagged photographs of the facilities	0
Any other relevant information	View File

7.1.4 - Water conservation facilities available in the Institution: Rain water harvesting Bore well /Open well recharge Construction of tanks and bunds Waste water recycling Maintenance of water bodies and distribution system in the campus A. Any 4 or all of the above

File Description	Documents
Geo tagged photographs / videos of the facilities	View File
Any other relevant information	View File

7.1.5 - Green campus initiatives include

7.1.5.1 - The institutional initiatives for greening the campus are as follows: A. Any 4 or All of the above

1. Restricted entry of automobiles
2. Use of Bicycles/ Battery powered vehicles
3. Pedestrian Friendly pathways
4. Ban on use of Plastic

5. landscaping with trees and plants

File Description	Documents
Geo tagged photos / videos of the facilities	View File
Any other relevant documents	No File Uploaded

7.1.6 - Quality audits on environment and energy are regularly undertaken by the institution

7.1.6.1 - The institutional environment and energy initiatives are confirmed through the following
1.Green audit 2. Energy audit 3.Environment audit 4.Clean and green campus recognitions/awards 5. Beyond the campus environmental promotional activities

A. Any 4 or all of the above

File Description	Documents
Reports on environment and energy audits submitted by the auditing agency	View File
Certification by the auditing agency	View File
Certificates of the awards received	No File Uploaded
Any other relevant information	No File Uploaded

7.1.7 - The Institution has disabled-friendly, barrier free environment Built environment with ramps/lifts for easy access to classrooms. Disabled-friendly washrooms Signage including tactile path, lights, display boards and signposts Assistive technology and facilities for persons with disabilities (Divyangjan) accessible website, screen-reading software, mechanized equipment 5. Provision for enquiry and information : Human assistance, reader, scribe, soft copies of reading material, screen reading

B. Any 3 of the above

File Description	Documents
Geo tagged photographs / videos of the facilities	View File
Policy documents and information brochures on the support to be provided	No File Uploaded
Details of the Software procured for providing the assistance	No File Uploaded
Any other relevant information	No File Uploaded

7.1.8 - Describe the Institutional efforts/initiatives in providing an inclusive environment i.e., tolerance and harmony towards cultural, regional, linguistic, communal socioeconomic and other diversities (within 200 words).

School of Management Sciences, Lucknow exhibits a culture of tolerance towards all religion, caste, colour and creed. In the admission process equal opportunity is provided to all prospects and there is no discrimination on the basis of religion, caste, and creed or for socioeconomic status. In fact the students of diverse religion, caste, geographic regions, languages, cultural backgrounds and socio-economic conditions study together in the same class and also live in harmony in the hostels. Merit based scholarships are also provided to the deserving students, without any discrimination.

Several employees belonging to diverse religion, caste, gender etc work as a team in the Institute. Various activities and events are organized, such as celebration of Deepawali, Eid, Holi, Guru-Parb, Christmas, Rakshabandhan, Republic Day, Independence day, Teachers, Day, Yoga Day, Engineer's Day, etc. Rangoli competitions, Poster making competitions, Essay writing competitions, Nukkad Natak, Annual Sports, etc are also organized to foster a sense of harmony and tolerance towards the cultural, religious, linguistic and socio-economic diversity.

In nutshell, it can be stated that SMS Lucknow fosters universal brotherhood, and tolerance towards diversity and attempts to create an all inclusive environment.

File Description	Documents
Supporting documents on the information provided (as reflected in the administrative and academic activities of the Institution)	View File
Any other relevant information	No File Uploaded

7.1.9 - Sensitization of students and employees of the Institution to the constitutional obligations: values, rights, duties and responsibilities of citizens

SMS, Lucknow believes in holistic development of its students and employees. We expects our staff and students to evolve into responsible citizens conscious about their Rights, committed to Duties and obligations. 'Universal Human Values and Professional Ethics' is a common course for B.Tech and MBA students aimed to instill values essential to be responsible citizens. 'Constitution of India, Laws & Engineering' is a common subject for B.Tech 3 rd year students providing them the right kinds of inputs about the Rights & Duties of responsible citizens of India. At the UG level in Management, commerce, and Computer Science Programs' Rashtriya Gaurav and Environmental Studies 'is a common subject that exposes the students to the General Awareness about the government policies and social issues, Fundamental Rights & Duties of Indian Citizens besides learning to value the Environment. Apart from pedagogical methods to inculcate social responsibilities, SMS Lucknow organizes various programs to inculcate a sense of righteousness. The Institute has organized several interactive sessions among the students and conducted Quizzes about the Rights and Duties of responsible Citizens of India. Banners and Posters are installed at various strategic locations within the campus in order to sensitize both the students and the employees.

File Description	Documents
Details of activities that inculcate values; necessary to render students in to responsible citizens	No File Uploaded
Any other relevant information	View File

7.1.10 - The Institution has a prescribed code of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard. The Code of Conduct is displayed on the website There is B. Any 3 of the above

a committee to monitor adherence to the Code of Conduct Institution organizes professional ethics programmes for students, teachers, administrators and other staff 4. Annual awareness programmes on Code of Conduct are organized

File Description	Documents
Code of ethics policy document	View File
Details of the monitoring committee composition and minutes of the committee meeting, number of programmes organized, reports on the various programs etc., in support of the claims	No File Uploaded
Any other relevant information	View File

7.1.11 - Institution celebrates / organizes national and international commemorative days, events and festivals

SMS Lucknow is committed to promote ethics and values amongst students and faculty to encourage the same, institute organizes National festivals as well as Anniversaries for the great Indian Personalities this include.

- Republic day (26th Jan)
- International Women's day (8th March)
- Dr. B. R. Ambedkar Jayanti (14th April)
- Earth Day (22nd April)
- World Environment Day (5th June)
- International Yoga day (21st June)
- Independence day (15th August)
- Dr.Sarvpalli Radha Krishnan Birth Anniversary (5th September)
- NSS day (24th Sept)
- Engineers day, Birth Anniversary of Sir Visvesvaraya (15th September)
- Mahatma Gandhi Jayanti (2nd Oct)

Our students are on a mission towards better India by breaking the boundaries of religion and caste. Thoughts of great Indian personalities sowed into the young minds through the exhibitions and programs conducted on these days. The institution practices pluralist approach towards all religion functions and encourages the students and faculty to showcase the same. Every year our institute

organizes the national festivals and birth anniversaries of the great Indian personalities.

File Description	Documents
Annual report of the celebrations and commemorative events for the last (During the year)	View File
Geo tagged photographs of some of the events	View File
Any other relevant information	No File Uploaded

7.2 - Best Practices

7.2.1 - Describe two best practices successfully implemented by the Institution as per NAAC format provided in the Manual.

A) 1. Title of Practice: Implementation of Employability Enhancement Programme.

2. Goal: To provide industry relevant training, and other skill sets to students to make them globally competitive and employable.

3. The context: The biggest challenge facing engineering management education and institutions today is the poor level of employability of graduating students. The primary reason for this, other than the disoriented quality of education, is the lack of acquiring of life skills required for surviving and performing in the industry.

(B) Vedic Science Centre, Lucknow was established under the aegis of School of Management Sciences, Lucknow on 21st April' 2015.

1. Title of Practice: Implementation of the "Yoga Classes and Prayer" 2. Goal: To provide alignment of the physical body, the mental state and the energy level to students by providing daily assembly of prayer and weekly Yoga classes. The practice is followed with a goal to enhance positivity and energy level, which increases the concentration and focus of life goals, to overcome the challenges of the society faced in the current scenario of the highly competitive professional era.

3. The context: The Engineering and Management education is facing the biggest challenge of poor rural education background of the graduating students.

File Description	Documents
Best practices in the Institutional web site	View File
Any other relevant information	View File

7.3 - Institutional Distinctiveness

7.3.1 - Portray the performance of the Institution in one area distinctive to its priority and thrust within 200 words

The Vision of the Institute clearly highlights the thrust areas that must be focused to achieve the right outcome and a righteous performance. The distinctive area that emerge from the vision statement is: "Constantly growing centre of excellence and Fostering Ethical and Moral attributes among the beneficiaries".

SMS Lucknow takes concrete steps to grow in the areas of academics and perform in a manner so as to achieve all-round excellence. Horizontal growth has been achieved by adding new programs over the years and Vertical growth has been achieved by adding more seats in the programs that have been of high demand. C. V. Raman Centre for Research and Innovation & Vedic Science Centre. Under the Research and Innovation Centre the institute has achieved a remarkable milestone by having its "Air-O-Bike" featured in the Limca Book of Records.

The Vedic Science Centre also has achieved excellence in various ways like studying the science behind our rituals and our scriptures. Some regular activities are conducted under this Centre of Excellence like the daily Morning Prayer and regular Yoga Training sessions. There are also courses on Universal Human Values and Professional Ethics that are imparted to the students as part of the curriculum.

Part B

CURRICULAR ASPECTS

1.1 - Curricular Planning and Implementation

1.1.1 - The Institution ensures effective curriculum delivery through a well planned and documented process

School of Management Sciences, Lucknow has developed various plans and practices for effective curriculum delivery. Academic planning is done prior to start of each semester and frequent monitoring and follow-up is taken by Director and HODs. Some of the steps adopted by the institute are as under:

- An Academic Calendar is drawn at the commencement of each academic year.
- Subjects are allotted to faculty members as per their expertise and specialization.
- All the Faculty Members prepare the Lesson Plan / Lecture Schedule .
- At the start of each semester each teacher makes students aware about the course objectives and course outcomes.
- The Faculty Members design and deliver their lectures in such a manner that make learning effective and interesting. Teachers use ICT tools.
- Faculty members regularly fill up the Academic Control Sheet (ACS) in physical mode in their Course File and upload the same in Daily Class Performance Report (DCPR) a software developed by the college.
- Relevant text books are recommended by the teachers and the references of topics are also mentioned in the Lecture Plan.
- Recommended text books are kept in the college library.
- Periodic review of the course coverage is also done by the Director / Principal and Dean.

File Description	Documents
Upload relevant supporting document	View File
Link for Additional information	0

1.1.2 - The institution adheres to the academic calendar including for the conduct of Continuous

Internal Evaluation (CIE)

SMS Lucknow, adheres to the Academic Calendar published by the University at the start of each academic year. It specifies a teaching, examination, semester break, and holiday calendar that the institution must adhere to in order for its teaching and administrative procedures to run smoothly and efficiently. Within the same framework, the college creates its own schedule of events and activities prior to the start of the academic session.

Teachers create their teaching schedules, class assessments and assignments in line with their assigned time tables for the aim of completing a Continuous Internal Evaluation.

Multiple evaluations are done with the goal of allowing students to incorporate teacher suggestions, making learning a continuous process.

The syllabus and evaluation components of project work, field work, and presentations are structured around academic calendars.

The above-mentioned field work, project work, excursions, industrial visits, and other activities that are a fundamental component of the CIE are done during the mid-semester break, which is a semester break provided by the university.

Everything we do at the college is focused on offering structured, transformative education to our students, with accessibility, comprehensibility, and transparency as our watchwords.

File Description	Documents
Upload relevant supporting documents	View File
Link for Additional information	0

1.1.3 - Teachers of the Institution participate in following activities related to curriculum development and assessment of the affiliating University and/are represented on the following academic bodies during the year. Academic council/BoS of Affiliating University Setting of question papers for UG/PG programs Design and Development

D. Any 1 of the above

**of Curriculum for Add on/ certificate/
Diploma Courses Assessment /evaluation
process of the affiliating University**

File Description	Documents
Details of participation of teachers in various bodies/activities provided as a response to the metric	View File
Any additional information	No File Uploaded

1.2 - Academic Flexibility

1.2.1 - Number of Programmes in which Choice Based Credit System (CBCS)/ elective course system has been implemented

1.2.1.1 - Number of Programmes in which CBCS/ Elective course system implemented

9

File Description	Documents
Any additional information	No File Uploaded
Minutes of relevant Academic Council/ BOS meetings	No File Uploaded
Institutional data in prescribed format (Data Template)	View File

1.2.2 - Number of Add on /Certificate programs offered during the year

1.2.2.1 - How many Add on /Certificate programs are added during the year. Data requirement for year: (As per Data Template)

6

File Description	Documents
Any additional information	No File Uploaded
Brochure or any other document relating to Add on /Certificate programs	View File
List of Add on /Certificate programs (Data Template)	View File

1.2.3 - Number of students enrolled in Certificate/ Add-on programs as against the total number of students during the year

180

1.2.3.1 - Number of students enrolled in subject related Certificate or Add-on programs during the year

180

File Description	Documents
Any additional information	No File Uploaded
Details of the students enrolled in Subjects related to certificate/Add-on programs	View File

1.3 - Curriculum Enrichment**1.3.1 - Institution integrates crosscutting issues relevant to Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum****Gender Sensitivity:**

- Equal opportunities are provided to all genders in terms of admissions, employment, co-curricular and extra-curricular activities.
- The Institute has Women's Anti-Harassment Committee, Grievance Redressal Cell, and Proctorial Board.
- Gender sensitization workshops.

Environment and Sustainability:

- SMS has implemented usage of Solar Energy (Sustainable) for its energy needs.
- "Rashtra Gaurav and Environment Studies" is in the curriculum of UG Programs.
- Environmental Engineering, Water Resources, Solid Waste Management and Renewal Energy Resources are part of curriculum.
- SMS organizes SPEEDS Seminars.
- SMS has organized Green Quest, Run for Green, Earth Day, World Environment Day, Water Conservation Day.
- Tree Plantation drives are conducted.

Human Values and Professional Ethics:

- Universal Human Values for B.Tech 4th Semester; "Understanding the Human Being Comprehensively-Human Aspirations and Its Fulfillment" for B.Tech 7th Semester;

and "Universal Human Values and Professional Ethics" for MBA 4th Semester as part of the Curriculum.

- "Leadership by Indian Ethos" was a course in PGDM 4th Semester.
- 'Law' and 'Ethics' are part of the curriculum in UG programs.
- Blood Donation Camp, Old Clothes Distribution, Blanket distribution, food packet distribution drives and have contributed in the development of 5 villages adopted under and Unnat Bharat Abhiyan 2.0.

File Description	Documents
Any additional information	View File
Upload the list and description of courses which address the Professional Ethics, Gender, Human Values, Environment and Sustainability into the Curriculum	View File

1.3.2 - Number of courses that include experiential learning through project work/field work/internship during the year

26

File Description	Documents
Any additional information	No File Uploaded
Programme / Curriculum/ Syllabus of the courses	View File
Minutes of the Boards of Studies/ Academic Council meetings with approvals for these courses	No File Uploaded
MoU's with relevant organizations for these courses, if any	No File Uploaded
Number of courses that include experiential learning through project work/field work/internship (Data Template)	View File

1.3.3 - Number of students undertaking project work/field work/ internships

476

File Description	Documents
Any additional information	No File Uploaded
List of programmes and number of students undertaking project work/field work/ /internships (Data Template)	View File

1.4 - Feedback System

1.4.1 - Institution obtains feedback on the syllabus and its transaction at the institution from the following stakeholders Students Teachers Employers Alumni

A. All of the above

File Description	Documents
URL for stakeholder feedback report	View File
Action taken report of the Institution on feedback report as stated in the minutes of the Governing Council, Syndicate, Board of Management (Upload)	View File
Any additional information(Upload)	View File

1.4.2 - Feedback process of the Institution may be classified as follows

A. Feedback collected, analyzed and action taken and feedback available on website

File Description	Documents
Upload any additional information	View File
URL for feedback report	https://smslucknow.ac.in/uploads/topics/16555288086562.pdf

TEACHING-LEARNING AND EVALUATION

2.1 - Student Enrollment and Profile

2.1.1 - Enrolment Number Number of students admitted during the year

2.1.1.1 - Number of sanctioned seats during the year

850	
File Description	Documents
Any additional information	No File Uploaded
Institutional data in prescribed format	View File
2.1.2 - Number of seats filled against seats reserved for various categories (SC, ST, OBC, Divyangjan, etc. as per applicable reservation policy during the year (exclusive of supernumerary seats)	
2.1.2.1 - Number of actual students admitted from the reserved categories during the year	
195	
File Description	Documents
Any additional information	View File
Number of seats filled against seats reserved (Data Template)	View File
2.2 - Catering to Student Diversity	
2.2.1 - The institution assesses the learning levels of the students and organizes special Programmes for advanced learners and slow learners	
<p>Special strategies for advanced learners:</p> <ul style="list-style-type: none"> • Motivation and special guidance is provided by their mentors. • Preference to undertake live projects and Industrial visits. • Provision of extra books from library. • Career oriented counseling is provided for improved placement outcomes. • Encouraging upgrading their knowledge through add-on courses, and online courses through Swayam NPTEL, and other MOOC platforms. • Facilitating them to publish research papers jointly with faculty members. • Encouraging them to participate in co-curricular activities and inter-college competitions. 	

- Some faculty members employ the buddy system and peer learning wherein students are grouped and encouraged to undertake collaborative and co-operative learning.

Special strategies for slow learners:

- Tutorials and Remedial classes are organized.
- Question paper solving of previous years is done.
- Bilingual explanations and discussions are done.
- Home assignments are given and evaluated on a regular basis.
- Career guidance and personal counseling is provided.
- Peer learning and team projects are allotted for better understanding of the concepts..
- Special doubt clarification sessions are held before university examination.
- The classes are made more interactive for easy understanding.
- Encouraged to meet the faculty members beyond class hours to help clear their doubts/difficulties.

File Description	Documents
Link for additional Information	0
Upload any additional information	View File

2.2.2 - Student- Full time teacher ratio (Data for the latest completed academic year)

Number of Students	Number of Teachers
1387	85

File Description	Documents
Any additional information	View File

2.3 - Teaching- Learning Process

2.3.1 - Student centric methods, such as experiential learning, participative learning and problem solving methodologies are used for enhancing learning experiences

1. Experiential Learning:

- Team / individual live projects
- Summer Internship
- Add-on Courses on latest technologies with NPTEL, Udemy, Google learningplatform, Coursera and many more
- Project development on latest technologies by students where they showcase their working model in the technical fest.
- Industrial Visits to engage them in experiential learning while visiting the organization.
- Certification Courses (Value Added Courses) by the market experts such as Microsoft/ Google/ NSE etc. to develop their expertise
- Participation in hackathons where they acquire experience of working on some real-life model.

2. Participatory Learning:

- Annual Tech Fest - organized every year for engineering students.
- Management Fest- organized every year by the management students.
- Annual cultural program .
- Regular Quizzes.
- Seminar Presentation .
- Presentation and publishing of papers in conferences and journals
- MOOC Programs (NPTEL, R, COURSERA etc.)

3. Problem-solving methods:

- In-house summer training with project development
- Regular Assignments based on problems
- Mini Project development
- Regular Quizzes
- Case studies Discussion
- Class presentations
- Debates
- Participation in Inter college events

File Description	Documents
Upload any additional information	View File
Link for additional information	0

2.3.2 - Teachers use ICT enabled tools for effective teaching-learning process. Write description in maximum of 200 words

The institute has sufficient IT facilities to cater to the needs of students and faculty members. 24*7, 75 Mbps Internet connectivity & Wi-Fi facility.

All classrooms and seminar/conference halls of the institute are 100% ICT enabled and equipped with internet facilities through LAN, provision of Portable LCD projector and Laptop. Innovative PowerPoint Presentations that contains pictures, flowcharts, animations and hyperlinks are used by faculty.

The online meeting tools like Zoom, Google meet, CISCO webEx/teachmint and You Tube live were used for online teaching.

For e-learning video lectures were created and uploaded on YouTube and Moodle. Teachmint, Google classroom and Google Forms, email and what's app application are being used for conducting quizzes and e-content delivery.

E-content is developed in the form of self shoot videos and PPT slides. Various video lectures are uploaded on SMS Lucknow YouTube channel and few on SWAYAM Prabha channel.

Online Learning: Students are motivated for use of NPTEL video, ebooks and online courses through SWAYAM and other MOOC platforms.

The institute is a Remote and Resource Centre of IIT Mumbai that provides additional online certificate courses.

The digital library offers the following services to the faculty and students:

DELNET Database

Nalanda e-consortium

National Digital Library access

File Description	Documents
Upload any additional information	View File
Provide link for webpage describing the ICT enabled tools for effective teaching-learning process	https://www.smslucknow.ac.in/onlinecontent

2.3.3 - Ratio of mentor to students for academic and other related issues (Data for the latest completed academic year)

2.3.3.1 - Number of mentors

85

File Description	Documents
Upload, number of students enrolled and full time teachers on roll.	View File
Circulars pertaining to assigning mentors to mentees	View File
mentor/mentee ratio	View File

2.4 - Teacher Profile and Quality

2.4.1 - Number of full time teachers against sanctioned posts during the year

85

File Description	Documents
Full time teachers and sanctioned posts for year (Data Template)	View File
Any additional information	No File Uploaded
List of the faculty members authenticated by the Head of HEI	View File

2.4.2 - Number of full time teachers with Ph. D. / D.M. / M.Ch. /D.N.B Superspeciality / D.Sc. / D.Litt. during the year (consider only highest degree for count)**2.4.2.1 - Number of full time teachers with Ph. D. / D.M. / M.Ch. /D.N.C Superspeciality / D.Sc. / D.Litt. during the year**

25

File Description	Documents
Any additional information	No File Uploaded
List of number of full time teachers with Ph. D. / D.M. / M.Ch./ D.N.B Super specialty / D.Sc. / D.Litt. and number of full time teachers for year(Data Template)	View File

2.4.3 - Number of years of teaching experience of full time teachers in the same institution (Data for the latest completed academic year)**2.4.3.1 - Total experience of full-time teachers**

4.14

File Description	Documents
Any additional information	No File Uploaded
List of Teachers including their PAN, designation, dept. and experience details(Data Template)	View File

2.5 - Evaluation Process and Reforms

2.5.1 - Mechanism of internal assessment is transparent and robust in terms of frequency and mode. Write description within 200 words.

The examination cell works under the supervision of the Head of the institute.

Continuous evaluation is carried out throughout the academic year through regular assignments, class participation, class tests, sessional, projects, student's class presentations, MCQ etc.

- **Theory Examinations:** The College conducts internal examinations, centrally through exam cell. The question papers are set by the respective faculty members teaching the course, considering university pattern. The difficulty level of the questions is kept as per the blooms taxonomy. The mapping of every question with course outcome is also prepared to check for the equal coverage of all course outcomes.
- **Practical Examinations:** Internal assessment of the practical courses is done throughout the semester. The students' assessment is based on their active participation, lab results, report writing, attendance and the mid-term/end-term viva.
- **Seminar/Presentations/Industrial Training viva/Projects:** A panel of 3-6 faculty members is formed who assess the performance of the students.

The internal exam committee is responsible for the preparation of timetable, setting of the question paper, declaration of the results of sessional tests. The main focus of the exam cell committee is the timely declaration of the results, moderation of the question papers and proper assessment.

File Description	Documents
Any additional information	View File
Link for additional information	0

2.5.2 - Mechanism to deal with internal examination related grievances is transparent, time-bound and efficient

Mechanism to deal with examination related grievances is transparent, time bound and efficient. There is complete

transparency in the internal assessment. At the beginning of the semester Exam and Result related guidelines of University is well communicated to students. In addition Institution internal examination policy is also explained to students. Faculty members inform the students about the various components in the assessment process during the semester. The internal assessment test schedules are prepared and communicated to the students well in advance. To ensure proper conduct of tests, two invigilators are assigned to each hall. Evaluation is done by the course handling faculty members within three days from the date of examination. The evaluated answer copies of the students are distributed to them for the verification by the students and they are expected to sign their copies which are considered as their consent that they are satisfied with their copy evaluation. If any grievance is found then it is redressed immediately. The continuous evaluation of students is carried out by faculty regarding theory lectures, labs, assignments, unit tests. The Institute appoints a examination controller for smooth conduction of examinations. The internal marks are sent to university.

File Description	Documents
Any additional information	View File
Link for additional information	0

2.6 - Student Performance and Learning Outcomes

2.6.1 - Teachers and students are aware of the stated Programme and course outcomes of the Programmes offered by the institution.

Methods of Communication of PO, PSO and CO among Teachers and students

- POs, PSOs and COs are all around showed on the website. (Website Link is given).
- POs, PSOs and COs are also showed on the Programme page on College Website.
- POs, PSOs and COs are also communicated to teachers through HOD/ Course Coordinators on their Institutional Email Id.
- In some Programmes POs, PSOs and COs are also included University Syllabus itself available on University website (Website Link is given).
- IQAC of the college also has organised workshops on OBE to familiarise teachers with Blooms Taxonomy.
- Program outcomes are also displayed on Departmental Notice

boards and Class Notice boards.

- While addressing the students, the HOD/ Course Coordinators/ Faculties explain various programme outcomes to the students in Program Orientation meeting and in routine workshops.
- Every faculty member prepares a lesson plan in which they mention course outcome of respective course.
- Faculties are responsible to communicate and make student understand the course outcomes of their respective Course.
- Teachers also include the questions and its connection with the course outcomes in the internal question papers.

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for Additional information	https://smslucknow.ac.in/naac-details/po-co-1
Upload COs for all courses (exemplars from Glossary)	View File

2.6.2 - Attainment of Programme outcomes and course outcomes are evaluated by the institution.

Our Institute offers MBA and B.Tech Programs affiliated to AKTU and undergraduate programs like BBA, BCA, B.COM (H), B.COM, B.VOC and B.Sc from University of Lucknow.

In order to evaluate the attainment level of program wise and course wise outcome we have used both direct and indirect assessment instruments.

1. Direct assessment methods:

Evaluation of attainment of CO and PO will be done by processing internal and external marks scored by students in each course within a particular Program. In the process of evaluation of outcome attainment, 30% and 70 % weightage has been given to the internal and external marks respectively.

LEVEL OF ATTAINMENT

MARKS SCORED

ATTAINMENT LEVEL

0-40%

0

40-60%

1

60-80%

2

80% and Above

3

Where 0 - Poor attainment, 1 - Average Attainment, 2- Good Attainment, 3- Excellent attainment

1. Indirect assessment methods:

In order to understand the level of attainment of PO we have taken Exit Survey from the Students on the completion of Program. We have also taken feedback from employer to evaluate the level of attainment of PSO in various technical programs.

While evaluating overall attainment of PO we have given 80% and 29% weightage to Direct and Indirect method respectively.

File Description	Documents
Upload any additional information	View File
Paste link for Additional information	0

2.6.3 - Pass percentage of Students during the year

2.6.3.1 - Total number of final year students who passed the university examination during the year

365

File Description	Documents
Upload list of Programmes and number of students passed and appeared in the final year examination (Data Template)	View File
Upload any additional information	No File Uploaded
Paste link for the annual report	Nil

2.7 - Student Satisfaction Survey

2.7.1 - Student Satisfaction Survey (SSS) on overall institutional performance (Institution may design its own questionnaire) (results and details need to be provided as a weblink)

<https://smslucknow.ac.in/uploads/topics/16553854863179.pdf>

RESEARCH, INNOVATIONS AND EXTENSION

3.1 - Resource Mobilization for Research

3.1.1 - Grants received from Government and non-governmental agencies for research projects / endowments in the institution during the year (INR in Lakhs)

3.1.1.1 - Total Grants from Government and non-governmental agencies for research projects / endowments in the institution during the year (INR in Lakhs)

0

File Description	Documents
Any additional information	No File Uploaded
e-copies of the grant award letters for sponsored research projects /endowments	No File Uploaded
List of endowments / projects with details of grants(Data Template)	No File Uploaded

3.1.2 - Number of departments having Research projects funded by government and non government agencies during the year

3.1.2.1 - Number of departments having Research projects funded by government and non-government agencies during the year

0

File Description	Documents
List of research projects and funding details (Data Template)	No File Uploaded
Any additional information	No File Uploaded
Supporting document from Funding Agency	No File Uploaded
Paste link to funding agency website	0

3.1.3 - Number of Seminars/conferences/workshops conducted by the institution during the year

3.1.3.1 - Total number of Seminars/conferences/workshops conducted by the institution during the year

7

File Description	Documents
Report of the event	View File
Any additional information	No File Uploaded
List of workshops/seminars during last 5 years (Data Template)	View File

3.2 - Research Publications and Awards

3.2.1 - Number of papers published per teacher in the Journals notified on UGC website during the year

3.2.1.1 - Number of research papers in the Journals notified on UGC website during the year

15

File Description	Documents
Any additional information	View File
List of research papers by title, author, department, name and year of publication (Data Template)	View File

3.2.2 - Number of books and chapters in edited volumes/books published and papers published in national/ international conference proceedings per teacher during the year

3.2.2.1 - Total number of books and chapters in edited volumes/books published and papers in national/ international conference proceedings during the year

15

File Description	Documents
Any additional information	View File
List books and chapters edited volumes/ books published (Data Template)	View File

3.3 - Extension Activities

3.3.1 - Extension activities are carried out in the neighborhood community, sensitizing students to social issues, for their holistic development, and impact thereof during the year

SMS Lucknow regularly conducts the extension activities in the neighborhood community to sensitize students to social issues for their holistic development. The Social Responsibility Committee, NSS & UBA Unit conduct various extensions activities regularly. Swatchhta Abhiyaan, Blood Donation Camp in association with Lion's Club, Tree Plantation Drive with LU, Cleanliness Drive through NSS, Household & Village Survey and Plastic Free Campaign through Unnat Bharat 2 campaign have been organized. In previous years SMS through its NSS unit conducted - Cleanliness Drive, Social Justice & Equality Rally, Rally on School Enrollment & need for Education, Women Empowerment Drive, One week camp/drive of NSS at Village Dularmau. Institute also conducted programs like Old Cloth Collection Activities, Spread a Smile Campaign, Joy of Giving Campaign and Kerala Relief Fund Collection and donation to PM fund.

The following activities were done during this academic year:

1. Sanitation drive on 20th Jan. 2021 through NSS.
2. Workshop on "Gender Sensitization" was organized on 30th January 2021.
3. Workshop on "Traffic Awareness Rules" was organized on 8th February, 2021.
4. 5 km "Run for Green" in Lucknow on 28th Feb, 2021.
5. Blanket Distribution Program on 13th Jan. 2021.
6. Distributed food during Covid 19 Pandemic.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

3.3.2 - Number of awards and recognitions received for extension activities from government / government recognized bodies during the year

3.3.2.1 - Total number of awards and recognition received for extension activities from Government/ government recognized bodies during the year

3

File Description	Documents
Any additional information	No File Uploaded
Number of awards for extension activities in last 5 year(Data Template)	View File
e-copy of the award letters	View File

3.3.3 - Number of extension and outreach programs conducted by the institution through NSS/NCC/Red cross/YRC etc., (including the programmes such as Swachh Bharat, AIDS awareness, Gender issues etc. and/or those organized in collaboration with industry, community and NGOs) during the year

3.3.3.1 - Number of extension and outreach Programs conducted in collaboration with industry, community and Non- Government Organizations through NSS/ NCC/ Red Cross/ YRC etc., during the year

6

File Description	Documents
Reports of the event organized	View File
Any additional information	No File Uploaded
Number of extension and outreach Programmes conducted with industry, community etc for the last year (Data Template)	View File

3.3.4 - Number of students participating in extension activities at 3.3.3. above during the year

3.3.4.1 - Total number of Students participating in extension activities conducted in collaboration with industry, community and Non- Government Organizations through NSS/ NCC/ Red Cross/ YRC etc., during the year

800

File Description	Documents
Report of the event	View File
Any additional information	No File Uploaded
Number of students participating in extension activities with Govt. or NGO etc (Data Template)	View File

3.4 - Collaboration

3.4.1 - The Institution has several collaborations/linkages for Faculty exchange, Student exchange, Internship, Field trip, On-the- job training, research etc during the year

8

File Description	Documents
e-copies of linkage related Document	View File
Details of linkages with institutions/industries for internship (Data Template)	View File
Any additional information	No File Uploaded

3.4.2 - Number of functional MoUs with national and international institutions, universities, industries, corporate houses etc. during the year

3.4.2.1 - Number of functional MoUs with Institutions of national, international importance, other universities, industries, corporate houses etc. during the year

6

File Description	Documents
e-Copies of the MoUs with institution./ industry/corporate houses	View File
Any additional information	No File Uploaded
Details of functional MoUs with institutions of national, international importance, other universities etc during the year	View File

INFRASTRUCTURE AND LEARNING RESOURCES

4.1 - Physical Facilities

4.1.1 - The Institution has adequate infrastructure and physical facilities for teaching- learning. viz., classrooms, laboratories, computing equipment etc.

The institution has adequate facilities for teaching -learning, viz., classrooms, laboratories, computing equipment, etc. The Institute has a total land area of 16 Acres of land. With a fore sight of additional intake, addition of new courses and change in syllabus, the institute forwards the requirement like building space, laboratory equipment, books and journals for library, IT resources, etc. to the management.

Class rooms: Each classroom is of adequate size and has enough lighting, air ventilation and good ambience. The institution has sufficient number of well-furnished, well ventilated, spacious classrooms for conducting theory classes. The institute has Laboratories to carry out Practical courses, projects and research works. All laboratories are operational and well maintained not only for carrying out curriculum oriented lab practical's but also to carry out research activities and value added courses.

Tutorial rooms: The Institute has separate tutorial room to address the personal level doubts and queries of the students.

Seminar Hall: The Institute has 3 seminar halls with public addressing systems, LCD projectors, etc. Nearly 380 members can be accommodated in the seminar halls.

Computing Equipments: Institute has 505 computers for staff and students. All computers are equipped with good speed internet of 50 MBPS speed.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	https://www.smslucknow.ac.in/uploads/topics/16555289662591.pdf

4.1.2 - The Institution has adequate facilities for cultural activities, sports, games (indoor, outdoor), gymnasium, yoga centre etc.

There are multiple sport facilities to keep our student engaged and physically fit.

Basketball

Being one of the most popular sports in college, basketball is played by a large no. of students. We have a dedicated basketball court for boys and girls.

Football

The SMS campus has a football ground with lush green grass for the most widely played and followed sports in the world.

Cricket

The SMS institute has cricket ground with natural Turf pitch.

Table Tennis

We have well equipped common room with the modern facility for playing table tennis.

Sports Activities

Outdoor and indoor sports facilities :-

Every student is encouraged to take active part in at least one activity. SMS college has setup facilities/ grounds for cricket, football, basketball, volley ball, table tennis, chess, carom etc.

Gymnasium

In order to ensure a sound body and mind, students are giving

special attention on health and fitness. A modern gym, with all the latest gadgets has been setup in the common room of the campus.

Athletics

An athletic track surrounds the football field for the athletics.

Common Room/ Multi purpose Hall

Common Room Hall with facilities for table tennis, snooker, carrom, chess and an Indoor Gym.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	https://smlucknow.ac.in/life-at-sms; https://smlucknow.ac.in/sports

4.1.3 - Number of classrooms and seminar halls with ICT- enabled facilities such as smart class, LMS, etc.

45

4.1.3.1 - Number of classrooms and seminar halls with ICT facilities

45

File Description	Documents
Upload any additional information	No File Uploaded
Paste link for additional information	https://smlucknow.ac.in/uploads/topics/16555289662591.pdf
Upload Number of classrooms and seminar halls with ICT enabled facilities (Data Template)	View File

4.1.4 - Expenditure, excluding salary for infrastructure augmentation during the year (INR in Lakhs)

4.1.4.1 - Expenditure for infrastructure augmentation, excluding salary during the year (INR in lakhs)

8.52

File Description	Documents
Upload any additional information	No File Uploaded
Upload audited utilization statements	View File
Upload Details of budget allocation, excluding salary during the year (Data Template)	View File

4.2 - Library as a Learning Resource

4.2.1 - Library is automated using Integrated Library Management System (ILMS)

School of Management Sciences (SMS) Library & Documentation Centre has established in 2008 around 5500 books including engineering & management. Now the collection in the library encompasses 19,529 books of 5442 titles varying in subjects like Engineering, Management, Humanities & Sciences. In addition to books, there are 1562 e-books including Taylor & Francis book, NPTEL and open source e-books & 92 Journals (49 Subscribe + 43 Exchange Journals with Adhyayan & Samirddhi)

The SMS Library is partially automated with using SOUL 2.0 software in its operation since 2015 which including Accessioning, Cataloging, Circulation, Barcode & OPAC facility etc. It works on the DDC (22nd edition) platform.

The Library encompasses an area of 706 sq meters and has two reading hall of capacity 150 (90 in IET & 60 in IMS). Two stack rooms (IET & IMS) hold the books and other reading materials in hard copies. Further, four rich repository of online resources have been made available to the users through the 'Digital Harbor' in the form of e-books and e-journals also through Nalanda e-Consortium, DELNET, NDL (National Digital Library of India) & NPTEL of which membership exists regularly.

File Description	Documents
Upload any additional information	View File
Paste link for Additional Information	https://www.smslucknow.ac.in/library-features

4.2.2 - The institution has subscription for the following e-resources e-journals e-ShodhSindhu Shodhganga Membership e-books Databases Remote access toe-resources

B. Any 3 of the above

File Description	Documents
Upload any additional information	No File Uploaded
Details of subscriptions like e-journals,e-ShodhSindhu, Shodhganga Membership etc (Data Template)	View File

4.2.3 - Expenditure for purchase of books/e-books and subscription to journals/e- journals during the year (INR in Lakhs)

4.2.3.1 - Annual expenditure of purchase of books/e-books and subscription to journals/e-journals during the year (INR in Lakhs)

4.36

File Description	Documents
Any additional information	View File
Audited statements of accounts	View File
Details of annual expenditure for purchase of books/e-books and journals/e- journals during the year (Data Template)	View File

4.2.4 - Number per day usage of library by teachers and students (foot falls and login data for online access) (Data for the latest completed academic year)

4.2.4.1 - Number of teachers and students using library per day over last one year

118

File Description	Documents
Any additional information	View File
Details of library usage by teachers and students	View File

4.3 - IT Infrastructure

4.3.1 - Institution frequently updates its IT facilities including Wi-Fi

IT facilities have been updated on regular basis.

In 2017-18, 11 antivirus licence, 2 Printer were purchased. 1 Access Point was purchased to upgrade to Campus Wi-Fi facility. Regular purchasing of required accessories like mouse, Keyboard and other hardware components were also done to maintain the exiting IT infrastructure.

In 2018-19, Antivirus licences renewed, 2 Laser Printer and 1 Color Printer were purchased. Two Access Point were purchased to upgrade Campus Wi-Fi and 1 managed switch was purchased to maintain computer laboratories, regular purchasing of mouse, Keyboard and other hardware components.

In 2019-20, New 32 desktop (with i3, 4GB RAM, 1 TB HDD) were purchased to upgrade existing computer laboratories. Three New Epson projectors were purchased to support ICT. Four laptop (i3, 4 GB RAM, 1TB HDD) were purchased for various uses. Regular purchasing of mouse, Keyboard and other hardware components.

In 2020-21, 1 laptop (i3, 4 GB RAM, 1TB HDD), 19 Logitech webcam, 7 Qhmp1 webcam, 9 Boya Microphone, 21 Quantum Headphone, 26 Tripod, 1 Norton Antivirus and 1 Printer Epson 2150 were purchased to support ICT. Regular purchasing of mouse, Keyboard and other hardware components.

Since 2008, continuous upgradation of internet speed to 75 Mbps.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	0

4.3.2 - Number of Computers

453	
File Description	Documents
Upload any additional information	No File Uploaded
Student – computer ratio	View File
4.3.3 - Bandwidth of internet connection in the Institution	A. ? 50MBPS
File Description	Documents
Upload any additional Information	No File Uploaded
Details of available bandwidth of internet connection in the Institution	View File
4.4 - Maintenance of Campus Infrastructure	
4.4.1 - Expenditure incurred on maintenance of infrastructure (physical and academic support facilities) excluding salary component during the year (INR in Lakhs)	
4.4.1.1 - Expenditure incurred on maintenance of infrastructure (physical facilities and academic support facilities) excluding salary component during the year (INR in lakhs)	
524.82	
File Description	Documents
Upload any additional information	No File Uploaded
Audited statements of accounts.	View File
Details about assigned budget and expenditure on physical facilities and academic support facilities (Data Templates)	View File
4.4.2 - There are established systems and procedures for maintaining and utilizing physical, academic and support facilities - laboratory, library, sports complex, computers, classrooms etc.	
The regular maintenance and support activity is the ground for the academic enhancement and up gradation to ensure the activity.	
Academic Support Facilities: Includes Class rooms, laboratories, library	

Physical Facilities: IT Infrastructure, Hostel and Mess, Recreational and sports, Medical, roof top solar plant, rain water harvesting

- Flexibility is there for the class room allocation.
- Proper Maintenance/ Complaint handling procedure is laid down
- Annual audits are the key for identification of need of additional resource and to check the functioning of the available resources.
- Instruments requirement up gradation through in-house up gradation / outsourced vendor is properly channelized, New Instruments required - Purchase Requisition - Central Store - approval by CGM
- The college is also running a mess and canteen facility on contractual basis with a vendor
- The institutes is having sufficient space for outdoor games and having basket ball, volley ball and badminton courts and grounds for cricket and football. The equipment's are issued by central store to the warden and then to users.
- Stock of basic medicines is kept and a register is maintained to administer the use of these medicines and to trace out the demand of the basic medicines.

File Description	Documents
Upload any additional information	View File
Paste link for additional information	0

STUDENT SUPPORT AND PROGRESSION

5.1 - Student Support

5.1.1 - Number of students benefited by scholarships and free ships provided by the Government during the year

5.1.1.1 - Number of students benefited by scholarships and free ships provided by the Government during the year

220

File Description	Documents
Upload self attested letter with the list of students sanctioned scholarship	View File
Upload any additional information	No File Uploaded
Number of students benefited by scholarships and free ships provided by the Government during the year (Data Template)	View File

5.1.2 - Number of students benefitted by scholarships, free ships etc. provided by the institution / non- government agencies during the year

5.1.2.1 - Total number of students benefitted by scholarships, free ships, etc provided by the institution / non- government agencies during the year

567

File Description	Documents
Upload any additional information	View File
Number of students benefited by scholarships and free ships institution / non- government agencies in last 5 years (Date Template)	View File

5.1.3 - Capacity building and skills enhancement initiatives taken by the institution include the following: Soft skills Language and communication skills Life skills (Yoga, physical fitness, health and hygiene) ICT/computing skills

A. All of the above

File Description	Documents
Link to institutional website	https://www.smslucknow.ac.in/center-of-vedic-science;https://smslucknow.ac.in/training-program/
Any additional information	No File Uploaded
Details of capability building and skills enhancement initiatives (Data Template)	View File

5.1.4 - Number of students benefitted by guidance for competitive examinations and career counseling offered by the institution during the year

653

5.1.4.1 - Number of students benefitted by guidance for competitive examinations and career counseling offered by the institution during the year

653

File Description	Documents
Any additional information	No File Uploaded
Number of students benefitted by guidance for competitive examinations and career counseling during the year (Data Template)	View File

5.1.5 - The Institution has a transparent mechanism for timely redressal of student grievances including sexual harassment and ragging cases Implementation of guidelines of statutory/regulatory bodies Organization wide awareness and undertakings on policies with zero tolerance Mechanisms for submission of online/offline students' grievances Timely redressal of the grievances through appropriate committees

A. All of the above

File Description	Documents
Minutes of the meetings of student redressal committee, prevention of sexual harassment committee and Anti Ragging committee	View File
Upload any additional information	No File Uploaded
Details of student grievances including sexual harassment and ragging cases	View File

5.2 - Student Progression

5.2.1 - Number of placement of outgoing students during the year

5.2.1.1 - Number of outgoing students placed during the year

124

File Description	Documents
Self-attested list of students placed	View File
Upload any additional information	View File

5.2.2 - Number of students progressing to higher education during the year

5.2.2.1 - Number of outgoing student progression to higher education

32

File Description	Documents
Upload supporting data for student/alumni	View File
Any additional information	No File Uploaded
Details of student progression to higher education	View File

5.2.3 - Number of students qualifying in state/national/ international level examinations during the year (eg: JAM/CLAT/GATE/ GMAT/CAT/GRE/ TOEFL/ Civil Services/State government examinations)

5.2.3.1 - Number of students qualifying in state/ national/ international level examinations (eg: JAM/CLAT/NET/ SLET/ GATE/ GMAT/CAT/GRE/ TOEFL/ Civil Services/ State

government examinations) during the year

0

File Description	Documents
Upload supporting data for the same	No File Uploaded
Any additional information	No File Uploaded

5.3 - Student Participation and Activities**5.3.1 - Number of awards/medals for outstanding performance in sports/cultural activities at university/state/national / international level (award for a team event should be counted as one) during the year****5.3.1.1 - Number of awards/medals for outstanding performance in sports/cultural activities at university/state/ national / international level (award for a team event should be counted as one) during the year.**

0

File Description	Documents
e-copies of award letters and certificates	No File Uploaded
Any additional information	No File Uploaded
Number of awards/medals for outstanding performance in sports/cultural activities at university/state/national/international level (During the year) (Data Template)	No File Uploaded

5.3.2 - Institution facilitates students' representation and engagement in various administrative, co-curricular and extracurricular activities (student council/ students representation on various bodies as per established processes and norms)

SMS Institute has constituted a student club to promote and facilitate student activities of the campus.

- o This club facilitates students to engage themselves in co-curricular and extracurricular activities of the College.
- o The members are elected from the CRs of each year.
- o Cconsists of a President, Vice President, Treasurer and members from each program.
- o All students' related activities are carried under the leadership of this club.

- Representation of students in various cells - Proctorial Board, Anti Ragging Committee ,Student's Grievance Redressal, Cell, Women Anti Harassment Committee and Training Placement Cell.
- Organize Cultural, sports, Technical, Fresher's/Farewell and other commemorative days.
- Students coordinate placement activities.
- Students volunteers in various committees of Seminar/Conferences organized by the institute.
- Students take initiatives underNSS campaign.
- Students showcase their projects in various exhibitions and Expos at different places.
- Hostel committee is functioning with student co-ordinator to ensure quality of food as well as the discipline in the hostel premises. The committee convenes periodical meetings to monitor and evaluate the functioning of hostel in the campus.
- In every bus, there is student co-ordinator along with faculty co-ordinator to ensure timely departure & arrival of the bus and also control the student & maintained discipline in the bus.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

5.3.3 - Number of sports and cultural events/competitions in which students of the Institution participated during the year (organized by the institution/other institutions)

5.3.3.1 - Number of sports and cultural events/competitions in which students of the Institution participated during the year

8

File Description	Documents
Report of the event	View File
Upload any additional information	No File Uploaded
Number of sports and cultural events/competitions in which students of the Institution participated during the year (organized by the institution/other institutions (Data Template)	View File

5.4 - Alumni Engagement

5.4.1 - There is a registered Alumni Association that contributes significantly to the development of the institution through financial and/or other support services

An Alumni Association exists at SMS Lucknow but it is not registered.

SMS Luckknow Alumni Association has been established to provide an active institutional framework for professional development, interaction and networking for the holistic development of Alumni Association of SMS Lucknow. All those students who have successfully completed their academics at SMS Lucknow are eligible for membership in SMS Lucknow Alumni Association.

SMS Lucknow Alumni Association facilitates networking among alumni by organizing alumni reunions, batch meets and other related activities. It also assists alumni in lateral career movement and career development.

SMS Lucknow Alumni Association has developed three chapters. One at Lucknow and other is at Delhi and Pune, taking care of the respective locale of the former students of SMS Lucknow. The broad objectives of SMS Alumni Association are:

1. To work with Alma mater on continuing education programs for the benefit of SMS Students.
2. To promote the philosophy and values of SMS Alumni Association.
3. To cater the networking needs of the SMS alumni by enabling frequent exchanges of views at meets and reunions.
4. To constitute a Core Committee for the Different Chapters to impress upon the composition, constitution and working

of the members.

SMS Lucknow Alumni Association Cell Contact Details:

Chairman: Dr. Dharmendra Singh (Dean SMS IT)

Co-Chairman: Mr. Surendra Srivastava

Coordinator: Dr. Amarjeet Singh

Members:

1. Mr. Vikram Singh
2. Dr. Asha Kulshrestha
3. Mr. Sunit Mishra
4. Mr. Ravi
5. Mr. Asad Kareem Usmani
6. Dr. Suchita Vishwakarma
7. Mr. Abhinav Dwivedi
8. Mr. Shyam Mani Tripathi

E-mail: alumni@smslucknow.com

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/alumni-certificate
Upload any additional information	No File Uploaded

5.4.2 - Alumni contribution during the year (INR in Lakhs)

E. <1Lakhs

File Description	Documents
Upload any additional information	No File Uploaded

GOVERNANCE, LEADERSHIP AND MANAGEMENT

6.1 - Institutional Vision and Leadership

6.1.1 - The governance of the institution is reflective of and in tune with the vision and mission of the institution

The institute policies, the impetus to grow, direction and the methodology are given by the "Governing Council" and the

"Academic Council". The Guiding Values help in defining the path of progress.

- Trust,
- Sense of Ownership,
- Sense of Contribution,
- Innovation,
- Modesty
- Mutual Respect

The Management plays a stellar role in supporting the Director, Deans and faculty. It provides the requisite financial support for infrastructural development of the campus. The faculty and non-teaching staff are encouraged for skill enhancement and updation. The institution carry the essence of moral integrity and ethical values in their functioning. Students are industry exposure through regular Guest Lectures, Departmental Workshops, Summer Trainings, Industry visits, and Live Projects.

Innovation in teaching, learning through practice, and research is encouraged. C V Raman Centre for Research & Innovation, Vedic Science Centre and publication of two Journals are a testimony to research activities at the institute. The Management contributes towards nation building through CSR activities, NSS activities and activities under Unnat Bharat Abhiyan.

Thus it can be clearly seen that the major components of the Vision and Mission Statements are all incorporated in the administrative and academic functioning of the institute.

File Description	Documents
Paste link for additional information	https://smslucknow.ac.in/vision-mission; https://smslucknow.ac.in/governance
Upload any additional information	View File

6.1.2 - The effective leadership is visible in various institutional practices such as decentralization and participative management.

The Institute has decentralized and participative system of management.

The Governing Council while formulating policies bestows the autonomy on all Units in the organizational structure to instill

responsibility and accountability to execute duties effectively.

Academic administration is done Director and Deans. The HoDs and Program Coordinators are responsible for smooth conduct of classes, assigning classes and workloads, monitoring, conduct of internal examinations, and teaching-learning outcomes and are empowered to decide and implement the necessary changes for improved quality outcomes of each program.

The Registrar under the guidance of Director looks after students enrolment, maintaining records, perform university related work, employee recruitment and other staff welfare activities.

The Chief General Manager empowered to look into administration of all support activities like general cleanliness, civil and electrical maintenance, upkeep of Library, Computer Labs, hostels, gardens, and other facilities like electric generators sets, buses, drinking water facilities, store purchases, gymnasium, etc.

The Training and Placement Cell functions independently, under the supervision of the General Manager (Corporate Affairs) and is responsible for summer training, and final placement. It also implements the EEP.

The Director through the assistance of several cells and committees ensures effective functioning and implementation of various activities of the Institute.

File Description	Documents
Paste link for additional information	https://smslucknow.ac.in/vision-mission; https://smslucknow.ac.in/governance
Upload any additional information	View File

6.2 - Strategy Development and Deployment

6.2.1 - The institutional Strategic/ perspective plan is effectively deployed

The development plan is formulated for 5 years and necessary up gradation and directional changes are made accordingly.

A long-term strategic plan is drawn for development considering the availability resources.

In future institute plans to grow as a private university.

The Institute also does benchmarking with other established Institutes.

A Broad Strategic Plan 2025 as formulated by the Management Committee of the SMS Society of which some of the major highlights are mentioned below:

- Becoming one of the best institutes in the country.
- To be admired as an Institute that is contributing to nation building.
- To have world class infrastructure for effective teaching, learning and research.
- To be recognized as an Institute that has a healthy work environment for faculty and staff.
- Most pro-active and innovative Institute in UG and PG studies.
- Attracting good students across India.
- Strong Alumni involvement.
- Be a model Institute campus in terms of sustainability.
- Generating at least 10% of its revenue through consultancy and outreach programmes.
- Having international collaborations.

Specific Plan Implementation

- Quality enhancement opportunities to the faculties
- Implementation of ERP.
- Enhancements of ICT infrastructure.
- E-Books and E-Journals have enriched the Library facilities.
- Value added Certifications courses offered.

File Description	Documents
Strategic Plan and deployment documents on the website	View File
Paste link for additional information	0
Upload any additional information	No File Uploaded

6.2.2 - The functioning of the institutional bodies is effective and efficient as visible from policies, administrative setup, appointment and service rules, procedures, etc.

Governing Council provides the leadership to the institute and frames the policies that are to be executed by other committees and the employees and staff of the Institute.

The Director holds the responsibility for both the academic and administrative functioning of the College. Dean, HOD and Program Coordinators are responsible for academic functioning.

The IQAC is the axial agency acting for internal quality assurance and enhancement. Anti-Ragging Committee and Proctorial Board deal with issues of indiscipline at the campus. Women Anti-Harassment Committee dealing with issues related to sexual harassment. Hostel and Campus Grievance Redressal Cells are involved in speedy redressal of student grievances related to the hostel. Sports Committee, Cultural & Events Committee are responsible for the extra-curricular activities. The Student Welfare Committee, Library Committee, provide the needed support. Training & Placement Cell provides assistance in Summer Training / Internships and Final Placements.

The institute has dedicated unit for maintenance and repair work under a CGM.

The Institute has well defined recruitment policy, Service Rules which spells out details like Casual Leaves, Earned Leaves, Medical Leaves, Compensatory Leaves, Special Leaves, Duty Leaves, Study Leaves, etc. The Performance Appraisal System and promotion policy are available with the Registrar.

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/naac-details/code-of-conduct ; https://www.smslucknow.ac.in/uploads/topics/16614272789855.pdf
Link to Organogram of the Institution webpage	0
Upload any additional information	View File

6.2.3 - Implementation of e-governance in areas of operation Administration Finance and Accounts Student Admission and Support Examination

D. Any 1 of the above

File Description	Documents
ERP (Enterprise Resource Planning) Document	View File
Screen shots of user interfaces	No File Uploaded
Any additional information	No File Uploaded
Details of implementation of e-governance in areas of operation, Administration etc (Data Template)	View File

6.3 - Faculty Empowerment Strategies

6.3.1 - The institution has effective welfare measures for teaching and non- teaching staff

Leave Rules:- Faculty and staff members on regular appointment are eligible to take leaves as defined in service policy. A provision to avail Study Leave willing to pursue higher studies is given to teacher who has completed 5 years of continuous service and on half pay. Both Teaching & Non-Teaching Staff are allowed OD for doing official works outside the campus as per the need.

Provision of EPF and ESI to employees:- Eligible employees are given EPF and ESI facility as per norms.

Group Insurance of Faculty and Staff members:- The College has group insurance of our faculty and staff members from Life Insurance Corporation of India.

Best Faculty and Staff Award:- The performance of faculty and staff members are evaluated on certain parameters and they are being recognized/awarded by the management.

Uniform to Class IV employees:- Class IV employees are being given Uniform by the college time to time.

Conduction of Faculty and Staff Development Programmes:- Faculty and Staff Development Programmes are being conducted in the college on regular.

Transport Facility:- We have sufficient number of buses for commuting our faculty and staff members from Lucknow City on concessional rates.

File Description	Documents
Paste link for additional information	Nil
Upload any additional information	View File

6.3.2 - Number of teachers provided with financial support to attend conferences/ workshops and towards membership fee of professional bodies during the year

6.3.2.1 - Number of teachers provided with financial support to attend conferences/workshops and towards membership fee of professional bodies during the year

19

File Description	Documents
Upload any additional information	View File
Details of teachers provided with financial support to attend conference, workshops etc during the year (Data Template)	View File

6.3.3 - Number of professional development /administrative training programs organized by the institution for teaching and non-teaching staff during the year

6.3.3.1 - Total number of professional development /administrative training Programmes organized by the institution for teaching and non teaching staff during the year

5

File Description	Documents
Reports of the Human Resource Development Centres (UGCASC or other relevant centres).	No File Uploaded
Reports of Academic Staff College or similar centers	No File Uploaded
Upload any additional information	View File
Details of professional development / administrative training Programmes organized by the University for teaching and non teaching staff (Data Template)	View File

6.3.4 - Number of teachers undergoing online/face-to-face Faculty development Programmes (FDP) during the year (Professional Development Programmes, Orientation / Induction Programmes, Refresher Course, Short Term Course etc.)

6.3.4.1 - Total number of teachers attending professional development Programmes viz., Orientation / Induction Programme, Refresher Course, Short Term Course during the year

16

File Description	Documents
IQAC report summary	View File
Reports of the Human Resource Development Centres (UGCASC or other relevant centers)	No File Uploaded
Upload any additional information	View File
Details of teachers attending professional development programmes during the year (Data Template)	View File

6.3.5 - Institutions Performance Appraisal System for teaching and non- teaching staff

Yes, a Performance Appraisal System for teaching staff is in place. Annual Increments and Promotions are dependent on the appraisal. The system has a self-appraisal format that needs to be filled-up by each faculty annually and submitted to the HoD.

The HoD does their evaluation and submits it to the Director and who in turn send his recommendation to the CEO & Secretary, for final decision and outcome of that appraisal.

As far as staff is concerned the immediate supervisor / departmental in-charge does an evaluation of the work of the staff and submits the recommendations to the Registrar / CGM (Administration) thereafter submitted to the CEO & Secretary for final decision.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

6.4 - Financial Management and Resource Mobilization

6.4.1 - Institution conducts internal and external financial audits regularly Enumerate the various internal and external financial audits carried out during the year with the mechanism for settling audit objections within a maximum of 200 words

With regard to internal audit, it is conducted every six months and it was conducted from 15th to 18 October 2020 for the period of April to September 2020 and from 12 to 15 July, for the period of October 2020 to March 2021.

Mechanism for Internal and External Audit is as follows.

Internal Audit:

- The officer in-charge scrutinizes and verifies the financial data, again scrutinized by the Finance and Account Officer for clarity, authenticity, transparency and accuracy.
- Income/Expenditure is closely monitored by the Accounts department, secretary and treasurer of the society.
- Proper purchase procedure is adopted.

External Audit: Like internal, external audit is also conducted every six months from a professional registered chartered accountant or firm. It was conducted on 26th to 28th October 2020 for the period of April to September 2020 and from 28th July to 30th July 2021 for the period of October 2020 to March 2021.

The bills and vouchers of the revenue and capital expenditure are

checked and verified. The audit objections/compliance, if any, is handled by the Accounts Department and verified.

File Description	Documents
Paste link for additional information	0
Upload any additional information	No File Uploaded

6.4.2 - Funds / Grants received from non-government bodies, individuals, philanthropers during the year (not covered in Criterion III)

6.4.2.1 - Total Grants received from non-government bodies, individuals, Philanthropers during the year (INR in Lakhs)

2.54

File Description	Documents
Annual statements of accounts	View File
Any additional information	No File Uploaded
Details of Funds / Grants received from of the non-government bodies, individuals, Philanthropers during the year (Data Template)	View File

6.4.3 - Institutional strategies for mobilization of funds and the optimal utilization of resources

Majority of the funds is mobilized through realization of the FEE for the various courses.

Budget is prepared for the optimal utilization of the funds.

Expenditure is regularly monitored to keep the funds flow in the right order.

Alternative sources for mobilization of resources through other channels are also explored such as funding for Seminars / Conferences / Workshops / Faculty Development Programs. Grants from AICTE, DST, CST, IEI, Handicraft Department of Government of India, Dr. APJ Abdul Kalam, Technical University, etc. are being explored and also have received grants from such bodies during the past years.

Additional Revenue source is through Testing Centre of ETS

Testing Partner conducting global level tests like TOEFL, GRE etc.

CISCO Networking Academy offers global level Certifications in Networking at the same time generates additional funds.

File Description	Documents
Paste link for additional information	0
Upload any additional information	View File

6.5 - Internal Quality Assurance System

6.5.1 - Internal Quality Assurance Cell (IQAC) has contributed significantly for institutionalizing the quality assurance strategies and processes

YES. The Internal Quality Assurance Cell has contributed in many ways over the year in improving the quality performance aspects in many spheres of the functioning of the Institute. The regular meetings of IQAC bring out the qualitative improvements in each quarter and also suggest further improvements in the time to come. Some of the significant contributions as an outcome of IQAC are stated below:

1. A CEO's Conclave titled "Managing Excellence with New Normal: CEO's Perspective" was conducted through a Webinar on 18th July 2020.

2. Dr. Mahendra Srivastava conducted a MDP session for Employees of VIVO Mobiles on the topic "Stop Wishing Start Doing-Leadership Skills, Team Management and How to Set Priorities" on the 20th of January, 2021. More than 80 senior officials of VIVO (i.e. from AVP - DGM) and amongst them 4 Chinese Officials attended.

3. Workshop on "Gender Sensitization" was organized on 30th January, 2021 as an IQAC initiative. The workshop was conducted by Ms. Tulika Srivastava, Executive Director, Women's Fund Asia.

4. A Workshop on "Intellectual Property Rights" was conducted on 13th February 2021 by Advocate Rakesh Kumar Singh, Founder & Partner, RKS Associate, Mumbai.

5. A Seminar on "National Education Policy 2020" was conducted on 25th March 2021.

File Description	Documents
Paste link for additional information	https://www.smslucknow.ac.in/webinar
Upload any additional information	View File

6.5.2 - The institution reviews its teaching learning process, structures & methodologies of operations and learning outcomes at periodic intervals through IQAC set up as per norms and recorded the incremental improvement in various activities

The Director is also the Chairman of the Internal Quality Assurance Cell. The Director regularly reviews the teaching learning processes being followed by each faculty, in each semester. The course files have the Lesson Plan, the Learning Outcomes, for each allotted subject and are examined for understanding the teaching methodologies followed by the teaching fraternity. The Faculty members also maintain a record of their classes manually in the 'Academic Control Sheet' that is reviewed for the course coverage, and the delivery of the teaching-learning in accordance with the plan. The record of the classes is also maintained by each faculty member on the mini ERP for academic control for Management & Commerce department through the DCPR - Daily Class Performance Report. This is also reviewed periodically by the Director and corrective actions are taken wherever they are required. Suggestions for improvements are also provided as a feedback to each faculty member.

In the Engineering Departments the monitoring of the Lesson Plans as prepared by each faculty are duly recorded in the Lecture Schedule. This is periodically reviewed by the Director General (Technical) and Dean to suggest areas of improvements that can improve the quality outcome of the teaching-learning processes.

File Description	Documents
Paste link for additional information	https://smlucknow.ac.in/naac-details/po-co-1
Upload any additional information	View File

6.5.3 - Quality assurance initiatives of the

A. All of the above

institution include: Regular meeting of Internal Quality Assurance Cell (IQAC); Feedback collected, analyzed and used for improvements Collaborative quality initiatives with other institution(s) Participation in NIRF any other quality audit recognized by state, national or international agencies (ISO Certification, NBA)

File Description	Documents
Paste web link of Annual reports of Institution	https://smslucknow.ac.in/uploads/topics/1655283519937.pdf
Upload e-copies of the accreditations and certifications	View File
Upload any additional information	View File
Upload details of Quality assurance initiatives of the institution (Data Template)	View File

INSTITUTIONAL VALUES AND BEST PRACTICES

7.1 - Institutional Values and Social Responsibilities

7.1.1 - Measures initiated by the Institution for the promotion of gender equity during the year

Gender Sensitization Policy

In pursuance of UGC (Prevention, prohibition and redressal of sexual harassment of women employees and students in higher educational institutions) Regulations, 2015 read with Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013, the Internal Complaints Committee is constituted:

1. To deal with the complaints relating to Sexual harassment at work place.
2. To spread awareness about gender-related issues and functioning of the internal committee.

The institute has a zero tolerance policy towards sexual harassment and is proactively committed to provide a safe

conducive work and academic environment to students & employees. Our Internal committee constitutes various groups to resolves the issues.

Women Anti-Harassment Cell: This cell has been constituted to empower and safe guard the rights of female members of the Institution. The WAHC works to promote gender sensitivity in the Institution and produce harmonious atmosphere on the campus. It organizes workshops and sensitization programmes by eminent psychologists and social workers.

Common Room: The Institution has established a separate room (for girls) to take rest, if required medical assistance is also available.

Awareness Initiatives- The institute conducts regularly workshops through Rangoli Competition, Poster Competition, Drama and art towards promoting gender equity.

File Description	Documents
Annual gender sensitization action plan	https://www.smslucknow.ac.in/uploads/topics/16618520401554.pdf
Specific facilities provided for women in terms of: a. Safety and security b. Counseling c. Common Rooms d. Day care center for young children e. Any other relevant information	https://www.smslucknow.ac.in/uploads/topics/16618514719492.pdf

7.1.2 - The Institution has facilities for alternate sources of energy and energy conservation measures Solar energy Biogas plant Wheeling to the Grid Sensor-based energy conservation Use of LED bulbs/ power efficient equipment

A. 4 or All of the above

File Description	Documents
Geo tagged Photographs	No File Uploaded
Any other relevant information	View File

7.1.3 - Describe the facilities in the Institution for the management of the following types of degradable and non-degradable waste (within 200 words) Solid waste management Liquid waste management Biomedical waste management E-waste management Waste recycling system

Hazardous chemicals and radioactive waste management

SMS Lucknow is committed to "zero waste" and reducing the environmental impact of its activities through its philosophy of "reduce-reuse-recycle". A prudent budgeting approach is adopted in what we purchase as a first step towards reducing waste.

- **Solid waste:** Solid waste produced from the campus in form of glass, plastics, food wastes, wood, paper, metals, cardboard materials are deposited to the local vendor (Kabadi) on a regular basis..
- **E-waste:** The E -waste generated due to disposable and redundant computer systems and accessories are disposed off as a buy-back option from the suppliers.

Liquid waste: The liquid generated from the human excreta from the hostel and the campus are disposed into the five soak pits throughout the campus.

File Description	Documents
Relevant documents like agreements/MoUs with Government and other approved agencies	View File
Geo tagged photographs of the facilities	0
Any other relevant information	View File

7.1.4 - Water conservation facilities available in the Institution: Rain water harvesting Bore well /Open well recharge Construction of tanks and bunds Waste water recycling Maintenance of water bodies and distribution system in the campus

A. Any 4 or all of the above

File Description	Documents
Geo tagged photographs / videos of the facilities	View File
Any other relevant information	View File

7.1.5 - Green campus initiatives include

7.1.5.1 - The institutional initiatives for

A. Any 4 or All of the above

<p>greening the campus are as follows:</p> <ol style="list-style-type: none"> 1. Restricted entry of automobiles 2. Use of Bicycles/ Battery powered vehicles 3. Pedestrian Friendly pathways 4. Ban on use of Plastic 5. landscaping with trees and plants 											
<table border="1"> <thead> <tr> <th data-bbox="102 512 547 577">File Description</th> <th data-bbox="547 512 1437 577">Documents</th> </tr> </thead> <tbody> <tr> <td data-bbox="102 577 547 680">Geo tagged photos / videos of the facilities</td> <td data-bbox="547 577 1437 680" style="text-align: center;">View File</td> </tr> <tr> <td data-bbox="102 680 547 745">Any other relevant documents</td> <td data-bbox="547 680 1437 745" style="text-align: center;">No File Uploaded</td> </tr> </tbody> </table>	File Description	Documents	Geo tagged photos / videos of the facilities	View File	Any other relevant documents	No File Uploaded					
File Description	Documents										
Geo tagged photos / videos of the facilities	View File										
Any other relevant documents	No File Uploaded										
<p>7.1.6 - Quality audits on environment and energy are regularly undertaken by the institution</p>											
<p>7.1.6.1 - The institutional environment and energy initiatives are confirmed through the following</p> <ol style="list-style-type: none"> 1.Green audit 2. Energy audit 3.Environment audit 4.Clean and green campus recognitions/awards 5. Beyond the campus environmental promotional activities 	<p>A. Any 4 or all of the above</p>										
<table border="1"> <thead> <tr> <th data-bbox="102 1117 547 1182">File Description</th> <th data-bbox="547 1117 1437 1182">Documents</th> </tr> </thead> <tbody> <tr> <td data-bbox="102 1182 547 1323">Reports on environment and energy audits submitted by the auditing agency</td> <td data-bbox="547 1182 1437 1323" style="text-align: center;">View File</td> </tr> <tr> <td data-bbox="102 1323 547 1426">Certification by the auditing agency</td> <td data-bbox="547 1323 1437 1426" style="text-align: center;">View File</td> </tr> <tr> <td data-bbox="102 1426 547 1529">Certificates of the awards received</td> <td data-bbox="547 1426 1437 1529" style="text-align: center;">No File Uploaded</td> </tr> <tr> <td data-bbox="102 1529 547 1599">Any other relevant information</td> <td data-bbox="547 1529 1437 1599" style="text-align: center;">No File Uploaded</td> </tr> </tbody> </table>	File Description	Documents	Reports on environment and energy audits submitted by the auditing agency	View File	Certification by the auditing agency	View File	Certificates of the awards received	No File Uploaded	Any other relevant information	No File Uploaded	
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Reports on environment and energy audits submitted by the auditing agency	View File										
Certification by the auditing agency	View File										
Certificates of the awards received	No File Uploaded										
Any other relevant information	No File Uploaded										
<p>7.1.7 - The Institution has disabled-friendly, barrier free environment Built environment with ramps/lifts for easy access to classrooms. Disabled-friendly washrooms Signage including tactile path, lights, display boards and signposts Assistive technology and facilities for persons with disabilities (Divyangjan) accessible website, screen-reading software, mechanized equipment</p> <p>5. Provision for enquiry and information : Human assistance, reader, scribe, soft copies</p>	<p>B. Any 3 of the above</p>										

of reading material, screen	reading
File Description	Documents
Geo tagged photographs / videos of the facilities	View File
Policy documents and information brochures on the support to be provided	No File Uploaded
Details of the Software procured for providing the assistance	No File Uploaded
Any other relevant information	No File Uploaded
7.1.8 - Describe the Institutional efforts/initiatives in providing an inclusive environment i.e., tolerance and harmony towards cultural, regional, linguistic, communal socioeconomic and other diversities (within 200 words).	
<p>School of Management Sciences, Lucknow exhibits a culture of tolerance towards all religion, caste, colour and creed. In the admission process equal opportunity is provided to all prospects and there is no discrimination on the basis of religion, caste, and creed or for socioeconomic status. In fact the students of diverse religion, caste, geographic regions, languages, cultural backgrounds and socio-economic conditions study together in the same class and also live in harmony in the hostels. Merit based scholarships are also provided to the deserving students, without any discrimination.</p>	
<p>Several employees belonging to diverse religion, caste, gender etc work as a team in the Institute. Various activities and events are organized, such as celebration of Deepawali, Eid, Holi, Guru-Parb, Christmas, Rakshabandhan, Republic Day, Independence day, Teachers, Day, Yoga Day, Engineer's Day, etc. Rangoli competitions, Poster making competitions, Essay writing competitions, Nukkad Natak, Annual Sports, etc are also organized to foster a sense of harmony and tolerance towards the cultural, religious, linguistic and socio-economic diversity.</p>	
<p>In nutshell, it can be stated that SMS Lucknow fosters universal brotherhood, and tolerance towards diversity and attempts to create an all inclusive environment.</p>	

File Description	Documents
Supporting documents on the information provided (as reflected in the administrative and academic activities of the Institution)	View File
Any other relevant information	No File Uploaded

7.1.9 - Sensitization of students and employees of the Institution to the constitutional obligations: values, rights, duties and responsibilities of citizens

SMS, Lucknow believes in holistic development of its students and employees. We expects our staff and students to evolve into responsible citizens conscious about their Rights, committed to Duties and obligations. 'Universal Human Values and Professional Ethics' is a common course for B.Tech and MBA students aimed to instill values essential to be responsible citizens. 'Constitution of India, Laws & Engineering' is a common subject for B.Tech 3 rd year students providing them the right kinds of inputs about the Rights & Duties of responsible citizens of India. At the UG level in Management, commerce, and Computer Science Programs' Rashtriya Gaurav and Environmental Studies 'is a common subject that exposes the students to the General Awareness about the government policies and social issues, Fundamental Rights & Duties of Indian Citizens besides learning to value the Environment. Apart from pedagogical methods to inculcate social responsibilities, SMS Lucknow organizes various programs to inculcate a sense of righteousness. The Institute has organized several interactive sessions among the students and conducted Quizzes about the Rights and Duties of responsible Citizens of India. Banners and Posters are installed at various strategic locations within the campus in order to sensitize both the students and the employees.

File Description	Documents
Details of activities that inculcate values; necessary to render students in to responsible citizens	No File Uploaded
Any other relevant information	View File

7.1.10 - The Institution has a prescribed code of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard. The

B. Any 3 of the above

Code of Conduct is displayed on the website
There is a committee to monitor adherence to the Code of Conduct
Institution organizes professional ethics programmes for students, teachers, administrators and other staff
4. Annual awareness programmes on Code of Conduct are organized

File Description	Documents
Code of ethics policy document	View File
Details of the monitoring committee composition and minutes of the committee meeting, number of programmes organized, reports on the various programs etc., in support of the claims	No File Uploaded
Any other relevant information	View File

7.1.11 - Institution celebrates / organizes national and international commemorative days, events and festivals

SMS Lucknow is committed to promote ethics and values amongst students and faculty to encourage the same, institute organizes National festivals as well as Anniversaries for the great Indian Personalities this include.

- Republic day (26th Jan)
- International Women's day (8th March)
- Dr. B. R. Ambedkar Jayanti (14th April)
- Earth Day (22nd April)
- World Environment Day (5th June)
- International Yoga day (21st June)
- Independence day (15th August)
- Dr.Sarvpalli Radha Krishnan Birth Anniversary (5th September)
- NSS day (24th Sept)
- Engineers day, Birth Anniversary of Sir Visvesvaraya (15th September)
- Mahatma Gandhi Jayanti (2nd Oct)

Our students are on a mission towards better India by breaking the boundaries of religion and caste. Thoughts of great Indian personalities sowed into the young minds through the exhibitions

and programs conducted on these days. The institution practices pluralist approach towards all religion functions and encourages the students and faculty to showcase the same. Every year our institute organizes the national festivals and birth anniversaries of the great Indian personalities.

File Description	Documents
Annual report of the celebrations and commemorative events for the last (During the year)	View File
Geo tagged photographs of some of the events	View File
Any other relevant information	No File Uploaded

7.2 - Best Practices

7.2.1 - Describe two best practices successfully implemented by the Institution as per NAAC format provided in the Manual.

A) 1. Title of Practice: Implementation of Employability Enhancement Programme.

2. Goal: To provide industry relevant training, and other skill sets to students to make them globally competitive and employable.

3. The context: The biggest challenge facing engineering management education and institutions today is the poor level of employability of graduating students. The primary reason for this, other than the disoriented quality of education, is the lack of acquiring of life skills required for surviving and performing in the industry.

(B) Vedic Science Centre, Lucknow was established under the aegis of School of Management Sciences, Lucknow on 21st April' 2015.

1. Title of Practice: Implementation of the "Yoga Classes and Prayer" 2. Goal: To provide alignment of the physical body, the mental state and the energy level to students by providing daily assembly of prayer and weekly Yoga classes. The practice is followed with a goal to enhance positivity and energy level, which increases the concentration and focus of life goals, to

overcome the challenges of the society faced in the current scenario of the highly competitive professional era.

3. The context: The Engineering and Management education is facing the biggest challenge of poor rural education background of the graduating students.

File Description	Documents
Best practices in the Institutional web site	View File
Any other relevant information	View File

7.3 - Institutional Distinctiveness

7.3.1 - Portray the performance of the Institution in one area distinctive to its priority and thrust within 200 words

The Vision of the Institute clearly highlights the thrust areas that must be focused to achieve the right outcome and a righteous performance. The distinctive area that emerge from the vision statement is: "Constantly growing centre of excellence and Fostering Ethical and Moral attributes among the beneficiaries".

SMS Lucknow takes concrete steps to grow in the areas of academics and perform in a manner so as to achieve all-round excellence. Horizontal growth has been achieved by adding new programs over the years and Vertical growth has been achieved by adding more seats in the programs that have been of high demand. C. V. Raman Centre for Research and Innovation & Vedic Science Centre. Under the Research and Innovation Centre the institute has achieved a remarkable milestone by having its "Air-O-Bike" featured in the Limca Book of Records.

The Vedic Science Centre also has achieved excellence in various ways like studying the science behind our rituals and our scriptures. Some regular activities are conducted under this Centre of Excellence like the daily Morning Prayer and regular Yoga Training sessions. There are also courses on Universal Human Values and Professional Ethics that are imparted to the students as part of the curriculum.

File Description	Documents
Appropriate web in the Institutional website	No File Uploaded
Any other relevant information	View File

7.3.2 - Plan of action for the next academic year

School of Management Sciences, Lucknow has made a step-wise plan to implement all round improvement strategies in the coming year 2021-22. Some of these annual strategic initiatives are enumerated below:

- Focus on recruitment of more faculty with PhD qualification in all the programs.
- Offer more add-on Courses so that the students gain additional knowledge which is required by the industry.
- Participate in NIRF
- Aim to get NBA Accreditation for the eligible Programs.
- Strengthen the ICT infrastructure by adding Smart Boards for teaching and learning and also making more classes equipped with ICT facilities.
- Secure membership of Professional Bodies for our faculty members.
- Conduct Gender sensitization initiatives.
- Implement a system of ERP for the major processes at the campus like admission, academics, examination and Accounts.
- Increase the CSR (Extension) Activities.
- Get more faculty to publish research papers in UGC Care Journals.
- Open Centre of Excellence for "Career Guidance and preparation for Competitive Examinations"
- Try to secure MoUs for enhanced quality of Internships / Final Placements and MDPs.
- Strengthen the Alumni Data base.