

RESEARCH AND PUBLICATION INCENTIVE POLICY

Policies & Guidelines

(w.e.f. Academic Year 2020-2021)



**SCHOOL OF MANAGEMENT SCIENCES
LUCKNOW**

Research and Publication Incentive Policy

1.0 OBJECTIVE OF THE POLICY:

The primary objective of the Research and Publication Incentive Policy is to motivate the faculty members of School of Management Sciences, Lucknow (SMS Lucknow) to undertake quality research and publication of such research outcomes along with other academic works.

2.0 SCOPE OF THE POLICY:

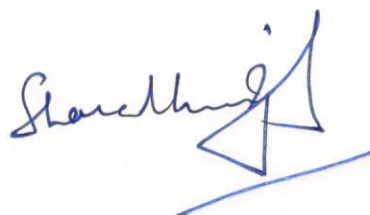
The policy covers all faculty members of SMS Lucknow. The scope of the policy envisages in particular :

- To motivate our faculty members to concentrate on research related activities also, in addition to the teaching and other academics related administrative activities, so as to publish research articles / papers in reputed refereed international and national indexed journals in Scopus / Web of Science Indexed Journal and UGC Listed Journal.
- To instigate the faculty members for making sincere efforts to write books for publication by international and national publishers of repute.
- To evince interest among the members of faculty so that they pursue efforts to establish collaborative research projects with their counterparts in reputed foreign Universities / Institutions, both the ones covered under our academic tie-ups as well as beyond. Also, to motivate them to come out with some innovative contribution and get them patented.
- To encourage our faculty members to submit proposals and secure funded research projects from various funding agencies in India and abroad.

DETAILS OF THE POLICY:

Research Publications :

Publication Category	Credits
<i>Scopus /Web of Science</i>	1
<i>UGC Listed</i>	0.5
<i>Conference Proceedings</i>	0.2



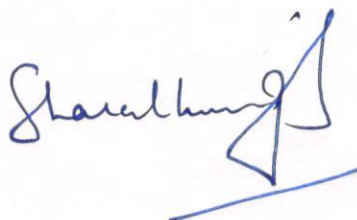
- (1) All the publications must be in the outside publications indexed in Scopus /Web of Science/UGC Listed /National or International Conference Proceedings. Publications in the In-house Journals of SMS, Lucknow and SMS, Varanasi will not be considered for any publication credit.
- (2) Preferably the publication should be of single author for getting the full credit for the publication.
- (3) In case of joint author publications where all authors are from SMS Lucknow, only the first author will be given full credit for the publication.
- (4) In case of joint author publications where one author is from SMS Lucknow and other author/s are from outside, the author from SMS Lucknow will be given full credit for the publication.
- (5) In case of joint author publications where author/s are from SMS Lucknow and other author/s are from outside of SMS Lucknow, only the first author from SMS Lucknow will be given full credit for the publication.
- (6) Incentive under the Research and Incentive policy will be given only to the author having the publication credits. Also for the purpose of counting of publication, only those faculty members will be considered who have publication credits.
- (7) For above given each category faculty members can avail maximum two entries in an academic year.

Publication of Book / Book Chapter:

The faculty members who make efforts to write and publish books or chapters are entitled to earn Credits as indicated below :

Publication Category	Published By	Credits
• Full Book	Reputed International Publisher	2.00
• Full Book	Reputed National Publisher	1.50
• Book Chapter	National / International Publisher	0.20

- (1) The book / book chapter must be published by the reputed International and National Publishers. In-house publications of SMS Lucknow will not be considered for publication credits.
- (2) Preferably the book publication should be of single author for getting the full credit for the publication.



- (3) *In case of joint author book / book chapter publications where all authors are from SMS Lucknow, only the first author will be given full credit for the book publication.*
- (4) *In case of joint author book publications where one author is from SMS Lucknow and other author/s are from outside, the author from SMS Lucknow will be given full credit for the book publication.*
- (5) *In case of joint author book publications where author/s are from SMS Lucknow and other author/s are from outside of SMS Lucknow, only the first author from SMS Lucknow will be given full credit for the book publication.*
- (6) *Incentive under the Research and Incentive policy will be given only to the author having the publication credits. Also for the purpose of counting of publication, only those faculty members will be considered who have publication credits.*
- (7) *For above given each category faculty members can avail maximum two entries in an academic year.*

Collaborative Research Project with Foreign University / Agency:

- Any Collaborative Research Project undertaken by our faculty member with a foreign university / agency with tangible outcome will entitle him / her to earn a Credit of 2.00 per Project.
- If the Project involves more than one faculty of SMS Lucknow, the total Credits will be shared equally among the participating members of faculty.

Generation of Research Grants:

Faculty members are expected to submit proposals for research grants from funding agencies in India and abroad. It is quite likely that these projects may involve modernization of laboratories, acquiring of equipment & other resources required for the research / study, or conducting of surveys, etc. The number of Credits earned will be linked to the total amount of research grant sanctioned by the sponsoring agency in the manner indicated below:

Research Grant received in Lakh (Rs.)	No. of Credits Awarded
• Upto Rs. 2.00	0.50
• Rs. 2.01 to Rs. 5.00	1.00
• Rs. 5.01 to Rs. 10.00	1.50
• Rs. 10.01 to Rs. 15.00	2.00
• Rs. 15.01 to Rs. 20.00	2.50

Shardul Singh

● Rs. 20.01 to Rs. 25.00	--	3.00
● Rs. 25.01 to Rs. 30.00	--	3.50
● Rs. 30.01 to Rs. 40.00	--	4.00
● Rs. 40.01 to Rs. 50.00	--	4.50
● Rs. 50.01 to Rs. 75.00	--	5.00
● Rs. 75.01 to Rs. 100.00	--	5.50
● Beyond Rs. 100 Lakhs	--	6.00

Since the amount being released in phases, the Credit Incentive paid will also be proportional to the amount received by SMS Lucknow. The following formula will be applied to determine the amount of Credit Incentive payable to the member(s) of faculty in a given year after receiving the slab of sanctioned amount:

Credit points = (Amount received in the year / Total amount sanctioned) × (Credits for the total amount sanctioned)

Patents Obtained :

Faculty members are also expected to come out with some original and innovative product / process / idea and to obtain patents for the same from International and National agencies. Such faculty members will earn Credits as indicated below. In case, the innovative piece involves more than one faculty of SMS Lucknow, the total Credits will be shared equally among the participating members of faculty.

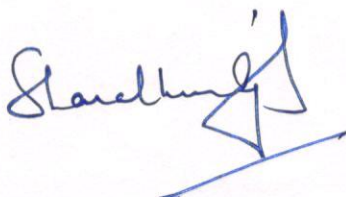
○ International agencies	----	5.0 Credits per patent
○ National agency	----	3.0 Credits per patent

4.0 PAYMENT OF INCENTIVE:

At the end of the academic year, the total Credits earned by a faculty member will be calculated by the 'Technical Cell' based upon the evidence produced by the concerned faculty member(s) and the incentive payable to such faculty member(s) will be arrived @Rs. 10,000/- per Credit and disbursed. However, for fractional Credit, the amount of Rs. 10,000/- will be proportionately paid.

5.0 POST Ph.D. INCENTIVE:

A faculty member of SMS Lucknow will be entitled for one additional increment effective from the month he / she produces needful documents of having been awarded with Ph. D degree from any recognized university / institution.



Summary of Research & Publications: Incentive Policy
(Publications in Journal / Conference Proceedings / Book / Book Chapter)

Faculty members will be allotted credit as per below mentioned policy-

1. Publication of paper in Scopus/ Web of Science Indexed Journal	:	1.00 Credit
2. Publication of paper in UGC Approved Journal	:	0.50 Credit
3. Publication of paper in National/International conference proceedings	:	0.20 Credit
4. Full Book with International Publisher	:	2.00 Credit
5. Full Book with National Publisher	:	1.50 Credit
6. Book Chapter	:	0.20 Credit

- For above given each category faculty members can avail maximum two entries in an academic year.
- If article is contributed by two or more faculty members of the Institute then only one author (first author) will be entitle for credit allotment purpose and for counting of publication purposes.

